



EVALUATION STUDY ON FUNCTIONS & OUTCOME OF DISTRICT LEVEL SINGLE WINDOW CLERANCE COMMITTEE (DLSCC)



**STUDY CONDUCTED FOR
Karnataka Evaluation Authority
Government of Karnataka**

AND

**Department of Industries & Commerce
Government of Karnataka**

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**External
Evaluation**


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PREFACE

The Government of Karnataka has enacted The Karnataka Industries (Facilitation) Act 2002 to promote new investments by simplifying the regulatory framework and reducing procedural requirements. The District Level Single Window Clearance Committees (DLSWCC) are established to facilitate the investment process at a faster rate at the district level. The evaluation of the Functions and outcome of DLSWCC was initiated by Department of industries and Commerce in co-ordination with Karnataka Evaluation Authority. The study is outsourced by KEA to the ECO APITCO. The study covers all the 30 districts in the State. The findings of the study indicate that the outcomes of DLSWCC are positive but vary across the districts in the State. The challenges still remain as the expectations of the investors are high.

I expect that the evaluation study and its findings and recommendations will be useful to the Department of Industries and Commerce to improve the functioning of DLSWCCs to facilitate the investment process in Medium, Small and Micro Enterprises.

The study received support and guidance of the Principal Secretary and the Secretary Planning, Programme Monitoring and Statistics Department, Government of Karnataka. The review of the draft report by members of the Technical Committee of KEA, and an Independent Assessor, has provided useful insights and suggestions to improve the draft report. I duly acknowledge the assistance rendered by all in successful completion of the study.

 24/8
Chief Evaluation Officer
Karnataka Evaluation Authority

ACKNOWLEDGEMENT

APITCO extends its appreciation to the Karnataka Evaluation Authority, Government of Karnataka, for their initiative and entrusting APITCO the task of conducting **“Evaluation Study on Functions & Outcome of District Level Single Window Clearance Committee (DLSWCC) in the State of Karnataka”**

We are extremely grateful and indebted to the officials of Karnataka Evaluation Authority (KEA) for their active involvement in finalisation of questionnaires and facilitating necessary inputs & guidance throughout the study, which lead to completion of the study.

Our special thanks to the officials of the Directorate of Industries & Commerce, Government of Karnataka, Members of DLSWCCs, Industry Associations and beneficiaries/industrialists for providing providing/giving required data & information and their support to the study teams during the field survey.

Our sincere acknowledgement and thanks to survey team members for completing the survey with such commitment and accuracy over many difficulties and challenging circumstances.

A survey of this magnitude would not have been possible without the generous cooperation from Members of DLSWCCs and Industry Associations at district level, who have participated in the survey. To each such person, APITCO accords its heartfelt thanks for their time, patience and willingness to share their information/data.

I am sure this study report will be a rich source of information to KEA and Government of Karnataka to improve the effectiveness of the decision taken in DLSWCCs in providing the solutions for the issues and problems of MSMEs being set up in the State of Karnataka under the fold of DLSWCCs.

**T Srinivas
Senior Consultant &
Team Leader (Research Studies)**

LIST OF ACRONYMS

	Description
DLSWCC	District Level Single Window Clearance Committee
SLSWCC	State Level Single Window Clearance Committee
SHLCC	State High Level Clearance Committee
KEA	Karnataka Evaluation Authority
MSMEs	Micro, Small & Medium Enterprises
APITCO	Andhra Pradesh Industrial & Technical Consultancy Organisation Ltd
KSPCB	Karnataka State Pollution Control Board
KIADB	Karnataka Industrial Areas Development Board
KSSIDC	Karnataka Small Scale Industries Development Corporation
DIC	District Industries Centre
KUM	Karnataka Udyog Mitra
PCB	Pollution Control Board
GoK	Government of Karnataka
KPTCL	Karnataka Power Transmission Corporation Limited
NA	Not Applicable - Some of the DICs mentioned as 'NA' in the filled-questionnaire as it means that this query is 'Not Applicable' to provide data by the respective DIC.

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7	Matrix of Compliance to the Observations made by Independent Assessor

1. EXECUTIVE SUMMARY

1.1 THE GOVERNMENT'S INTENTION

The study assumes importance in the context of the need to evaluate the functioning of the District Level Single Window Clearance Committees (DLSWCCs) as per the Karnataka Industries Facilitation Act 2002 and the main objective is to improve the effectiveness of the decisions taken in DLSWCCs in providing the solutions for the issues & problems of MSMEs being set up in the State of Karnataka under the fold of DLSWCCs.

1.2 MAIN EVALUATION QUESTIONS

The main evaluation questions and tasks to accomplish the above Government's intentions/objectives are given below:

- Whether the DLSWCCs are receiving the proposals for approval of the projects and the procedure adopted by the Committee for granting the approvals as per rules.
- How many approvals for projects have been given by the DLSWCCs each financial year in each district since the coming up of the Karnataka Industries Facilitation Act 2002? Is there any trend or significant output emerging from the data?
- What is the average time that the DLSWCC take for deciding a Project? Is the time taken by districts is significantly different? What are the causes for taking more time and methods to reduce it?
- What is the status of litigation, if it has been a byproduct, of the decisions of DLSWCC? What are the causes district-wise? What guidelines should be issued for functioning of DLSWCC to reduce litigation, if it can be avoided?
- What is the perception of the users who have approached DLSWCC for getting their projects cleared?
- Based upon the data that is generated from the field study, what is the time taken for approval given to DLSWCC in each district?
- Whether the DLSWCC is meeting regularly? If yes how frequently number of meetings are held year wise and date of meetings approval and facilitations provided, issues and problems discussed shall be analyzed. If no, reasons for not conducting the meetings shall be evaluated, analyzed and reported.
- Whether the DLSWCC is functioning as per the Industrial Facilitation Act 2002?
- Whether the decision of DLSWCC is communicated to the applicants/ departments or authorities concerned – Time taken to communicate the decision and whether the compliance report is received and reviewed by the committee.
- Whether the decision taken by DLSWCC is binding on the other departments or authorities concerned.
- Whether Meeting notices convening the meeting date/ agenda notes/ proceedings are sent in time.
- Whether projects are actually approved by the committee and if so the details of components such as land, power, water, KSPCB issues are discussed and decisions taken.

- Whether the Combined Application Form is obtained from the applicant and whether the details in the Combined Application Form are filled up and scrutinized by the Member Secretary office.
- Whether the DLSWCC meeting is effective and useful to the applicants.
- Whether all the members are personally attending the meeting or Representative of the members are attending the meetings, whether the decisions are taken by the committee based on the feedback or information given by the representatives by members.
- Whether the reports regarding approval of projects are sent to higher authorities or not, if reports are sent the quality of the report and whether any formats have been devised for submitting the report. If reports are not sent the evaluator shall suggest various means and formats required in consultation with the Head Office.
- Feedback from the District Industries Association, Chairman Member of the Committee with regard to functioning/ strengthening/ empowering of DLSWCC.
- Whether any difficulties and constraints are faced during the approval/ decision and implementation?
- Whether decision of DLSWCC pertains only to project approvals or it also covers policy matters/ suggestions for the benefit of MSME sector projects?
- How DLSWCC is monitoring the approved projects?
- What is the follow-up mechanism for implementation of approved projects
- What is the weightage given to monitoring?
- How does the DLSWCC ensure that its decisions are being implemented by all the concerned departments/ agencies?
- Proportion of MSME projects approved in the DLSWCC.

1.3 EVALUATION APPROACH AND METHODS

A) Approach: The evaluation study is required visit the District industries Centres (DICs) of Karnataka State, obtain the data for 12 years and analyze the data. Good and bad practices will be highlighted in the report. Obtaining the opinion of Chairmen, Members Secretaries and some of the important members of Committees such as KIADB, KSSIDC, KPCB, Concerned Electricity Supplying Companies and other members as deemed fit by the study. It is also required to interview few applicants for their opinion on functioning of DLSWCCs. The study is being carried out with the help of five-stage methodology. It is initiated with project inception, which is followed by desk research and literature review, field survey and personal interviews, data analysis, validation of findings, draft report preparation and report finalization.

B) Methodology Adopted: Methodology adopted for completing the study comprises of (a) Desk Research, (b) Field Survey, (c) Data Analysis, (d) Draft Report Preparation and (e) Final Report Preparation.

1.4 KEY FINDINGS AND RECOMMENDATIONS

Investors and entrepreneurs experience hurdles in obtaining timely approvals at different levels. For the investment to materialize the business environment must be conducive and the various Government Agencies should serve as facilitators, so that the investors feel at home in

the State. The expectations of the investors are high and hence the Government of Karnataka has to gear up to meet the challenges of the competitive environment.

1) DICs to be Proactive Facilitators

The District Industries Centres (DICs) to be proactive to make it as an effective Single Window Agency at District Level. Once a project is cleared in the DLSWCC, it interacts with the other agencies and obtains approvals from them without making the investor's visit to each agency for individual approvals. This process will be completed within defined a time limits.

2) Combined Application Form

It is found from the field study that it is not happening in majority of the districts. All the DLSWCCs should have to accept Combined Application Form (Form I & II) at DIC level. It will speed up the implementation process in the respective districts.

3) Lack of Monitoring System from Directorate of Industries & Commerce

- The State Level Office (Directorate of Industries & Commerce) should meet periodically at least once in a month to review the progress made at the district level and seek necessary data on the implementation of the Scheme(s).
- Review Meeting has to be conducted at least once in three months at State Level with all Committee Members of all the districts. There is less coordination/monitoring system on the Single Window Clearance System by the Directorate of Industries & Commerce, GoK and it should be strengthened.
- Similar kinds of industrial units are coming up in few districts. Awareness Generation Campaigns need to be conducted by the officials of DICs on available resources in the areas of respective districts, where there is a good scope for potential projects.
- The institutions involved in promoting industry in the State – the KUM, KIADB, DIC, KSSIDC, KSPCB, Electricity Companies, local bodies, etc will be made professionally strong to truly serve the purpose of rapid industrial development.

4) Strengthening of Industrial Infrastructure

a. Land Allotment by KIADB and Plot/Shed Allotment by KSSIDC:

- ¶ In order to ensure orderly establishment, growth and development of industries in the State, Government of Karnataka needs to ensure acquisition of land by KIADB for setting up industrial areas. There is no timeframe for completing the acquisition process/proceedings including payment of compensation. Hence, KIADB should indicate a time frame within which the land will be acquired and allotted to investors.
- ¶ Land Bank Data in KIADB should be computerized and made more comprehensive and include all data that the investor would require to take a decision.
- ¶ KIADB must display in online system a clear allotment procedure to meet the demands of the investors, on First Come First Served Basis, who will complete the all the requisite formalities online and KIADB should ensure transparency in allotment of land/plots in industrial areas. The KIADB should also allot required land to KSSIDC, which in turn allot plots/ sheds to the prospective entrepreneurs.

- b. **Land Conversion:** The Government should speed up the process of conversion of the land from agriculture to industrial land and should monitor the progress in disposal of applications.

2. INTRODUCTION

The Government of Karnataka (GoK) enacted “The Karnataka Industries (Facilitation) Act 2002” and rules for promotion of industrial development and facilitation of new investments to simplify the regulatory frame work by reducing procedural requirement and rationalizing the documents and to provide for an investors friendly environment in the State of Karnataka and also to expedient the implementation of industrial and other projects in the State by providing single point guidance and assistance to industry promoters.

As per the Karnataka Industries (Facilitation) Act 2002, the Karnataka Udyog Mitra (KUM) had been appointed as “Nodal agency “at the State level for the project cost of Rs.3.00 Crores and above.

‘District Industries Centres (DICs)’ have been nominated as the District Nodal Agency at the district level to undertake investment promotional activities and to render necessary guidance and assistance to entrepreneurs’ up to project cost of Rs. 3.00 Crores. GoK constitutes District Level Single Window Clearance Committee (DLSWCC) under the Chairmanship of Deputy Commissioner of the concerned district.

The Karnataka Evaluation Authority (KEA) is a Society registered under the Karnataka Societies Act 1960. With a view to overcoming the shortcomings and lacunae in evaluations of the past and to have a professional, unbiased and independent body with the responsibility of carrying out evaluations, the Government of Karnataka constituted the “Karnataka Evaluation Authority” in the year 2011.

The KEA, GoK has entrusted an Evaluation Study to APITCO (Andhra Pradesh Industrial & Technical Consultancy Organisation Limited) on “Functions and Outcome of District Level Single Window Clearance Committee (DLSWCC) of Karnataka State”.

APITCO is a deemed Government Company promoted by Central (SIDBI, IFCI & ICICI), State Financial Institutions (APIDC & APSFC) along with Nationalised Banks (AB, SB, SBI & IB) to promote MSMEs in the country especially in Andhra Pradesh and Telangana.

2.1 DEMOGRAPHY

APITCO conducted an evaluation study during September and October 2015 to find out the functions and outcome of District Level Single Window Clearance Committees in Karnataka State during the years 2002 to 2014 in 30 districts of Karnataka State.

Two questionnaires were designed for collecting the primary data: (1) Questionnaire 1 - For the Members of DLSWCC; and (2) Questionnaire 2 - For the

Users/Beneficiaries of DLSWCC. The two questionnaires are appended to this report as Annexure 1 and Annexure 2 respectively.

2.2 STAKEHOLDERS

a) Department of Industries & Commerce – Implementing Agency

The Department of Industries and Commerce acts as a catalyst for the overall development of the industrial sector through effective discharge of developmental and facilitation roles. With a view to promote investment and trade, the Department formulates and implements the Policies of the State, Identification of Sectoral Advantages of the State and Human resource development for sustainable and growth-oriented industrialization has been a crucial role of the Department. Facilitating the take-off of infrastructure projects that boost the industrial growth has also been the Department's forte. The Department helps enhance the competitiveness of domestic industry through modernization, technology upgradation and adoption of best practices. It also provides a forum for entrepreneurs and industrialists through their associations to represent their needs to the Government, which translates into Policies of the State.

Some of the crucial infrastructure projects facilitated by the Department include Growth Centers across the State, Export Promotion Industrial Parks, International Technology Park Ltd., Electronic city, Food and Agro-technology parks, Agro Export zones, Special Economic Zones, Bengaluru International Airport, etc.

The Department is able to reach out to the small businesses as well as Industrial Houses by a great degree of decentralization within the organizational structure. The Department functions through the Districts Industries Centers, various Boards & Corporations and Special purpose vehicles. The implementation of Policies of the Government is done through various schemes and the implementation of these schemes is decentralized for faster delivery of services.

The Department has established the Single Window Mechanism for faster, single point clearances to be given to projects seeking infrastructure facilities/ incentives/ concessions and help in establishing industries and businesses in Karnataka. Karnataka Udyog Mitra (KUM) is the Nodal Agency under the Single Window Scheme set up for project cost of more than Rs.15 Crores and DLSWCCs as the Nodal Agencies for the projects up to Rs.15.00 Crores, which is revised from Rs.3.00 Crore as fixed earlier.

There are several Self-employment generation schemes formulated by the State and Central Governments from time to time and are being implemented.

2.3 OTHER STAKEHOLDERS

- The Karnataka Industrial Areas Development Board [KIADB]
- Karnataka Small Scale Industries Development Corporation (KSSIDC)
- Karnataka State Pollution Control Board (KSPCB)

- Factories, Boilers, Industrial Safety & Health Department
- Town Planning Department and Local Planning Authorities
- Municipal Administration Department
- Electricity Companies viz., BESCOM/GESCOM/HESCOM/CESCOM/MESCOM
- Karnataka State Fire and Emergency Services Department
- Water Resources Department
- Commercial Tax Department

2.4 IMPORTANT LICENCES/CLEARANCES REQUIRED FOR INDUSTRIES

The entrepreneurs shall be filed with the project proponents, whose projects are approved by either DLSWCC or SLSWCC or SHLCC and who require specific approval/ clearances from concerned departments depending upon the nature/type of industry/project. The following are the main/important departments to get approvals/clearances.

1. Karnataka Industrial Areas Development Board
2. Karnataka Small Scale Industries Development Corporation
3. Karnataka State Pollution Control Board
4. Factories, Boilers, Industrial Safety & Health Department
5. Industries and Commerce Department
6. Town Planning Department and Local Planning Authorities.
7. Municipal Administration Department
8. BESCOM/ GESCOM/ HESCOM/ CESCOM/ MESCOM
9. Karnataka State Fire and Emergency Services Department
10. Water Resources Department
11. Department of IT, BT and S&T
12. Commercial Tax Department

Important Licenses/Approvals required for Industry/Project

S.No	Organisation Name	Type of Clearance/NOC/Approval to Industry
1.	Karnataka Industrial Areas Development Board	<ul style="list-style-type: none"> • Land Allotment Letter, Confirmation Letter • Possession Certificate • Execution of Lease Deed and plan approval
2.	Karnataka Small Scale Industries Development Corporation	<ul style="list-style-type: none"> • Plot/Shed allotment letter, • Possession certificate, • Execution of Lease Deed and Plan approval
3.	Karnataka State Pollution Control Board	<ul style="list-style-type: none"> • Consent for establishment under Water (Prevention and Control of Pollution) Act, 1974 and Consent for establishment under Air (Prevention and Control of Pollution) Act, 1981, in respect of orange and green category industries (Form-OG). • Consent for establishment under Water (Prevention and Control of Pollution) Act, 1974, in respect of red category industry.

S.No	Organisation Name	Type of Clearance/NOC/Approval to Industry
		<ul style="list-style-type: none"> Consent for establishment under Air (Prevention and Control of Pollution) Act, 1981, in respect of red category industry.
4.	Factories, Boilers, Industrial Safety & Health Department	<ul style="list-style-type: none"> Plan approval for factory building and layout of plant & machinery under Rule 3(2) of the Karnataka Factories Rules, 1969.
5.	Director of Commerce & Industries Department	<ul style="list-style-type: none"> Stamp duty exemption certificate and concessional registration charges certificate for registration of land, plot and shed documents and also for registering loan documents. Industrial Entrepreneurs Memorandum-part-I (already “on-line application filing system is in force and same will be link to e-Udyami”) Entry tax exemption on purchase of capital goods during implementation of the project.
6.	Town Planning Department and Local Planning Authorities	<ul style="list-style-type: none"> Change of Land use Layout Plan approval Building Plan approval
7.	Municipal Administration Department	<ul style="list-style-type: none"> License for establishment of enterprise License for building construction and plan approval
8.	Electricity Board(s) [BESCOM/ GESCOM/ HESCOM/ CESCO/ MESCOM]	<ul style="list-style-type: none"> Application for Power Supply on High Tension and Application for Power Supply on Low Tension (BESCOM/GESCOM/HESCOM/ CESCO/MESCOM) Supply of Energy under Electricity Act – 2003
9.	Karnataka State Fire and Emergency Services Department	<ul style="list-style-type: none"> Application for Fire NOC/ Clearance Certificate
10.	Water Resources Department/ BWSSB	<ul style="list-style-type: none"> Allocation of Water
11.	Department of IT,BT and S&T	<ul style="list-style-type: none"> For projects related to IT/ ITES/ Start-up/ Animation/ Gaming/ Computer Graphics/ Telecom/ BPO/ KPO/ Other Knowledge based Industries
12.	Commercial Tax Department	<ul style="list-style-type: none"> To get registration with the Department for assessment of VAT, CST, Sales Tax, Professional Tax, Entry Tax, Luxury Tax and Other Taxes

2.5 LIMITATIONS OF THE STUDY

Following are the important limitations of the study.

1. The study is essentially based on primary data covering a period of 12 years i.e. 2003-2014. The data for each and every DLSWCC had to be collected for each of these 12 years. Hence different tables, year wise, had to be prepared so as to reflect the broad emergence

and implementation of DLSWCCs Scheme over the period of 12 years. This year-wise analysis of each DLSWCC is as per the terms of reference given to the study team. This field study is confronted with so many practical difficulties in the collection of data year-wise for each and every DLSWCC.

2. The present study is first of its kind in particular in the State of Karnataka and the earlier studies were not available which could have been taken as the reference studies. This is mainly exploratory study without having earlier parallel studies made either in the State of Karnataka and other States as well. This is also one of the limitations of the present study.
3. The study team initially covered the total number of 30 districts in the State of Karnataka and all the DLSWCCs in these 30 districts were asked to support the study by duly giving the information required, however, ten districts have backed out and they did not provide data though initially they have accepted to provide. Hence the study covers only 20 districts instead of all the 30 districts of Karnataka. However, the validity of the conclusions emerging from the field from the field study may be deemed as a census study because of wide and extensive coverage of the State.
4. Some of these 20 districts did not provide data at least for the initial years because of the fact that these districts were not formed by that time. Hence lack of data is recorded at relevant places. Even some of the DLSWCCs, which provided data during certain years continuous availability data all through these 12 years were not available as there could be several reasons for this such as lack of available industrial plots and sheds, non-availability of applications, non-availability of files/data, etc.
5. The independent assessor has pointed out that at many places in tables NA (Non Availability) of data was shown for eliciting reasons for such non availability. It is felt that in the tables NA should be mentioned wherever the data are not available and this the correct way presentation of the table instead of deleting NA from the table as suggested by the independent assessor. The assessor has also pointed out that specific reasons for NA are not given however it may be added that in the course of interpretation of the tables the reasons for NA (Non availability) of data were stated by the study team.
6. All the respondents were requested to provide required data/information and sent structured questionnaires through post and also emailed the same in advance before meeting the respective DICs in person. APITCO officials also contacted the respective Joint Directors of DICs several times for readiness to provide information/data to collect the same in person from them in structured questionnaires. Majority of the JDs did not provided data/information in a structured questionnaire when met them personally and they informed the officials of APITCO that they will send it through post. Very few JDs (2 - 3) provided the data when met them in person.

3. THEORY OF CHANGE

In order to provide exclusive attention for industrial promotion / facilitation activities in the state, Government of Karnataka has enacted the Karnataka Industries (Facilitation) Act, 2002. This act is brought to provide for the promotion of industrial development and facilitation for the new investments and to simplify the regulatory frame work by reducing procedural requirements and rationalizing documents and to provide for an investors friendly environment

by providing single point guidance and assistance to promoters and to ensure smooth and speedy implementation of projects in the State of Karnataka.

3.1 KARNATAKA UDYOG MITRA (KUM)

Karnataka Udyog Mitra (KUM), A Government of Karnataka undertaking, appointed as a “single point contact” for Project proposal. Project proponent with investment more than INR 15 crores need to contact KUM to understand the salient features of Karnataka, its unique advantages and discuss about project identification, suitable location, human resources, approvals required, procedures involved etc..

KUM works on the model of a Single Window Clearance mechanism. KUM will liaise between your organization and the various government departments for assistance in land identification, land allocation, power, water, environmental clearance, etc. to ensure smooth and timely implementation of your projects. Now, the prospective entrepreneurs need not required to approach one department to another department or wait in line or hop multiple websites for information and services.

Projects with investments between Rs.15 to 100 Crores will be cleared by the State Level Single Window Clearance Committee (SLSWCC), chaired by the Minister for Large and Medium Scale Industries, Government of Karnataka.

If the investment is above Rs.100 Crores it will be cleared by the State High Level Clearance Committee (SHLCC), chaired by the Chief Minister, Government of Karnataka.

3.2 ONLINE CLEARANCE OF PROJECTS IN KARNATAKA

Once registered with the Karnataka Government’s Single Window Clearance Agency, investors in Karnataka can track their project proposals at each step through a recently launched online portal and the investors would not have to go from one department to the other seeking clearance and status updates.

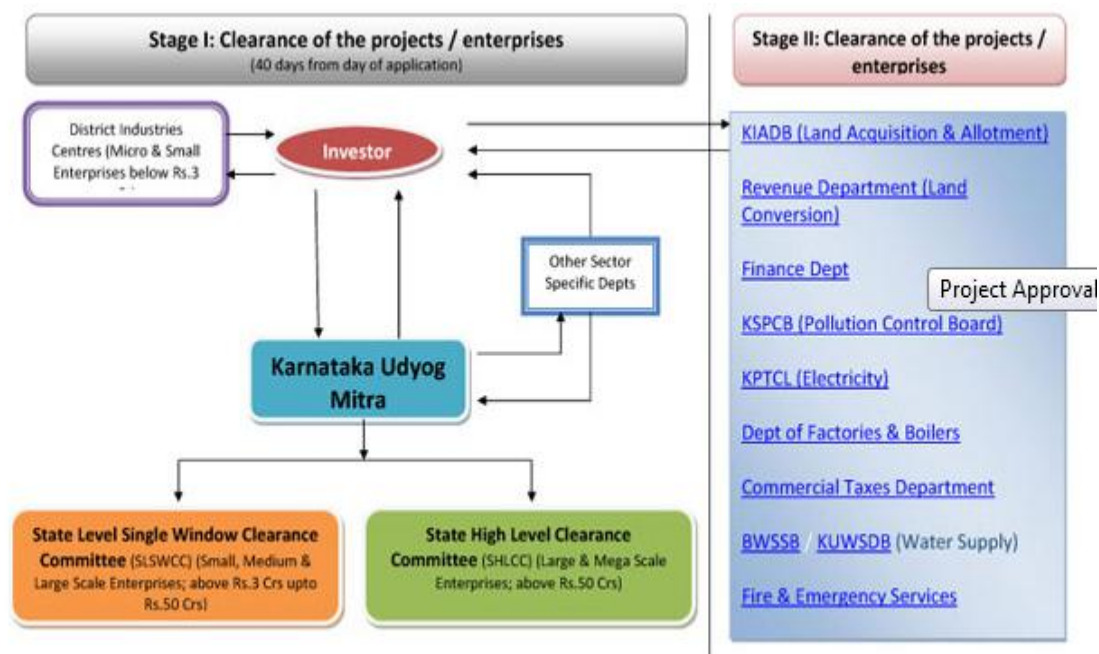
Essentially, anybody who wants to set up shop in Karnataka will have to approach the Single window Agency called Karnataka Udyog Mitra and register with the agency. Login information to the portal is sent to the e-mail of the registered person after which the investor can track status of the project online. Karnataka is the first State to launch such initiative for investors.

The portal launched earlier can be used to track movement of files from department to department and can be used to monitor the progress of a project at each step.

Presently, investors who have registered with the Karnataka Udyog Mitra during the Global Investors meet held during 2010 and 2012 are using the portal to track their projects. This can be used by all entrepreneurs. After completing logging process into the portal, investors can apply online for various clearances, incentives, subsidies etc. Essentially, all necessary applications forms can be accessed online by registered investors. Karnataka Udyog Mitra, the single contact point for investors has started the initiative to bring transparency.

The portal, e-udyami aims to create an online system for all investors, independent of their current location, to invest in Karnataka without the hassle of rounding-up multiple state departments. In addition to that, online portal would help in streamlining the process and increasing transparency.

3.3 FLOW CHART ON CLEARANCE PROCESS IN KARNATAKA



Project Approval Process in Karnataka (Image: Advantagekarnataka.com)

4. PROGRESS REVIEW

4.1 NATURE AND SCOPE OF GOVERNMENT INTERVENTION

a) Functions of the District Level Single Window Clearance Committee

The Committee should meet at such times and such places and shall adopt procedures to transact its business as prescribed. The District Level Single Window Clearance Committee should examine the proposals for setting up industrial undertakings and should take decision and communicate its decision to the entrepreneurs and departments or authorities concerned within such time as prescribed.

b) Powers of the Committee

The District Level Single Window Clearance Committee (DLSWCC) shall be the final authority in granting of approvals for the projects placed before it. The approvals given by the

Committee at the district level shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearances within the stipulated time subject to compliances by the entrepreneurs of provisions of the applicable Central or State Acts and the rules made there under.

c) Evaluation Scope, Purpose and Objective

The importance of taking evaluation of the DLSWCCs is to study the effectiveness of the functioning of the Committee as per the Facilitation Act provided, the main objective is to improve the effectiveness of the decision taken in DLSWCC in providing the solutions for the issues and problems of MSMEs that are taken up in the DLSWCC meetings.

4.2 INVESTMENT APPROVALS BY COMMITTEES

Three committees are constituted by Karnataka Industries (Facilitation) Act, 2002 for approval of the projects. The Committee's decision is final and binding on all the concerned departments or authorities. The details of the committees are as follows:

- District Level Single Window Clearance Committee (DLSWCC) chaired by the Deputy Commissioner of the district and meets at least once in a month to approve projects with investments up to Rs.15 crores.
- State Level Single Window Clearance Committee (SLSWCC) chaired by Chief Secretary to the Government and meets every month to approve projects with investments between Rs.15 crores to Rs.100 crores.
- State High Level Clearance Committee (SHLCC) chaired by Hon'ble Chief Minister and meets once in two months to approve projects with investments above Rs.100 crores.

Karnataka Udyog Mitra (KUM) is the nodal agency at the State level and acts as the Secretariat for the SHLCC and SLSWCC for grant of approvals and infrastructure facilities for project proposal. The District Industries Centre's are the nodal agencies at the district level to undertake investment and promotional activities and to render necessary guidance and assistance to entrepreneurs.

In order to introduce efficient and effective follow up process of investment in the state and to ensure best possible investment environment for the investors in the State, The Government has introduced an online portal called e-Udyami w.e.f. 1.04.2013. The objective of portal is to facilitate the investors to file applications irrespective of their location and monitor the status of the applications filed.

4.3 RECEIPT OF PROPOSALS BY DLSWCCs

It is understood from the field study that all the DLSWCCs are receiving the proposals for approval of the projects under Karnataka Industries (Facilitation) Act, 2002. It is observed that majority of the proposals pertain to allotment of shed/plot/land by KSSIDC/KIADB or land conversion.

It is observed that the entrepreneurs want to avail government developed land/plot or shed at cheaper rate for setting up of industries. The entrepreneurs also find easy to get shed/plot through DLSWCC and opting to move proposals through DLSWCC.

4.4 RESULTS ACHIEVED SO FAR

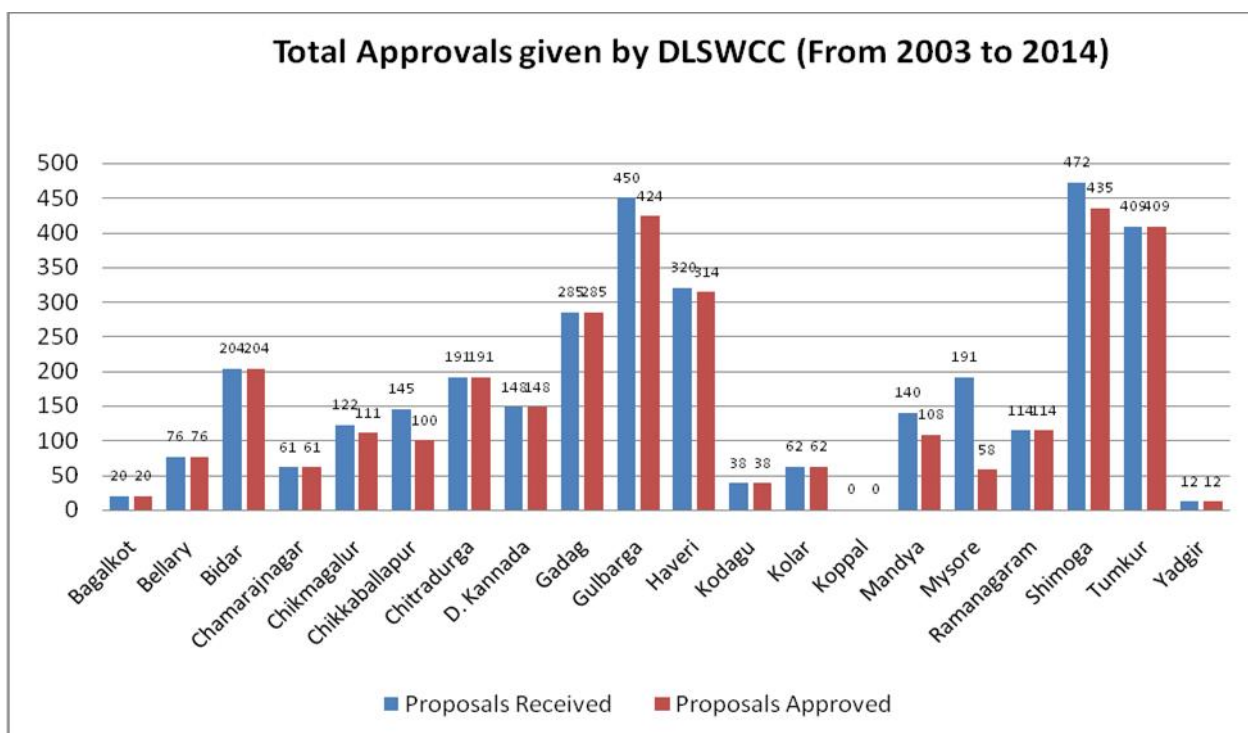
a) Outcome/Approvals given by DLSWCCs for 12 Years (From 2003 to 2014)

The study team collected the data on the total outcome from DLSWCCs in Karnataka State i.e total proposals received, approved, rejected and the proposals postponed to next meetings for the years 2003 to 2014 (for 12 years) from the respective Joint Directors of DICs and are presented in the following table.

Total Approvals given by DLSWCC (From 2003 to 2014)

Sl. No	Name of District	Total No. of Proposals (from 2003 to 2014)						
		Received Proposals	Approved Proposals		Rejected Proposals		Postponed to Next Meetings	
			Nos.	%age	Nos.	%age	Nos.	%age
1.	Bagalkot	20	20	100.00%	0	0.00%	0	0.00%
2.	Bellary	76	76	100.00%	0	0.00%	0	0.00%
3.	Bidar	204	204	100.00%	0	0.00%	0	0.00%
4.	Chamarajnagar	61	61	100.00%	0	0.00%	0	0.00%
5.	Chikmagalur	122	111	91.00%	11	9.00%	0	0.00%
6.	Chikkaballapur	145	100	69.00%	45	31.00%	0	0.00%
7.	Chitradurga	191	191	100.00%	0	0.00%	0	0.00%
8.	D. Kannada	148	148	100.00%	0	0.00%	0	0.00%
9.	Gadag	285	285	100.00%	0	0.00%	0	0.00%
10.	Gulbarga	450	424	94.20%	23	5.10%	3	0.70%
11.	Haveri	320	314	98.10%	6	1.90%	0	0.00%
12.	Kodagu	38	38	100.00%	0	0.00%	0	0.00%
13.	Kolar	62	62	100.00%	0	0.00%	0	0.00%
14.	Koppal	0	0	0.00%	0	0.00%	0	0.0%
15.	Mandya	140	108	77.10%	32	22.90%	0	0.00%
16.	Mysore	191	58	30.40%	5	2.60%	128	67.00%
17.	Ramanagaram	114	114	100.00%	0	0.00%	0	0.00%
18.	Shimoga	472	435	92.20%	37	7.80%	0	0.00%
19.	Tumkur	409	409	100.00%	0	0.00%	0	0.00%
20.	Yadgir	12	12	100.00%	0	0.00%	0	0.00%
	Total	3460	3170	91.60%	159	4.60%	131	3.80%
	Percentage of Approved Proposals (3170) against Proposals Received (3460)							91.62%
	Percentage of Rejected Proposals (162) against Proposals Received (3460)							4.60%
	Percentage of Postponed Proposals (134) against Proposals Received (3460)							3.78%

Source: Questionnaire –I



It can be observed from the above table that the first four districts with maximum approvals are Shimoga, Gulbarga, Tumkur and Haveri that together accounted for about the half of the total number of approvals (49.9%). It is therefore necessary to analyze the reasons for this phenomenon which could be higher level of economic, industrial and infrastructural and entrepreneurial development of these four districts.

On the other extreme, it is also observed that the districts with lowest level of approvals together accounted for 13.7 percent of the total approvals during the period under consideration i.e., 2003-2014. It is necessary to analyze the reasons for the poor response from these districts which include, among others Bagalkot, Yadgir, Mandya, and Haveri. The reasons could be lack of industrial infrastructure, entrepreneurial development and lack of awareness about DLS Programme. The persistence of this tendency of lower approvals for some districts may lead to growing inter-district imbalances.

It may be observed from the table that the DIC, Shimoga district approved 435 (13.7%) proposals/applications, followed by Gulbarga - 450 (13.4%), Tumkur - 409 (12.9%), Haveri - 314 (9.9%) and Gadag - 285 (9%) in Karnataka State. The DICs in other districts viz., Bagalkot - 20 (0.6%), Bellary - 76 (2.4%), Bidar - 204 (6.4%), Chamarajnagar - 61 (1.9%), Chikmagalur - 111 (3.5%), Chikkaballapur - 100 (3.2%), Chitradurga - 191 (6%), D.Kannada - 148 (4.7%), Kodagu - 38 (1.2%), Kolar - 62 (2%), Mandya - 108 (3.4%), Mysore - 58 (1.8%), Ramanagaram - 114 (3.6%), and Yadgir - 12 (0.4%) received a few proposals for approval and are approved by concerned District Industries Centres (DICs).

It may be observed that the DIC, Koppal district has not received any proposals from 2003 to 2014. The Chikkaballapur and Ramanagaram districts were formed as new district

headquarters during 2007-08. The Yadgir district was formed on 31.12.2009 and in the case of Tumkur district, the DLSWCC was formed in 2004.

It is found from the above table that 3170 (91.5%) proposals were approved out of 3460 (100%) proposals received by all the 20 DICs in Karnataka State; only 162 (4.6%) proposals out of 3460 (100%) proposals received were rejected due to various reasons; and 134 (3.9%) proposals received were postponed to next meetings due to various reasons during the years from 2003 to 2014 in Karnataka State.

Following are some of the inferences that may be drawn from the Table.

1. There has been good response from the entrepreneurs during the period under study i.e. 2003-2014 and hence the concept of Single Window System has widely reached different parts of the State with greater degree of acceptability. This may be attributed to emerging entrepreneurship particularly from the first generation entrepreneurs for creating a good industrial climate in the State of Karnataka.
2. The first four districts with maximum approvals are Shimoga, Gulbarga, Tumkur and Haveri, that together accounted for about a half of the total approvals (49.9). On the other hand there were districts which accounted for less number of approvals /proposals. The reasons for this phenomena
 - i) creation of new districts,
 - ii) lack of entrepreneurial back ground because of under developed nature of the districts concerned.

Though we cannot attribute this lack of approvals or low approvals entirely to the industrial stagnation, it can be inferred that these districts too have got potentiality for their industrial entrepreneurial development in course of time when once other developed districts have reaped the benefits DLSWCC programme through which industrial development areas and estates are being promoted.

From the tables it is clearly depict year wise picture of proposals received and approved consecutively from the year 2003 -2014. These tables are prepared broadly to reflect the year to year progress in the promotion of the concept of DLSWCC and the industrial developments and estates programme. Some of the districts which did not receive proposals and approvals have received good response from entrepreneurs during the period under consideration. The reasons for the gradual picking up of support for DLSWCC concept in different districts could be many. However many government policies focus towards the districts with poor response in the initial years and the acquisition and development of industrial plots is being taken up.

b) No. of Meetings conducted by DLSWCCs during 2003-2014

The main objective of this chapter on 'Analysis of meetings of DLSWCC' is to have an empirical study on various aspects of conducting the meetings. Information and data have been collected for this purpose from different functionaries of the scheme. Following are the detailed aspects covered in this chapter

- i) Number of meetings conducted during 2003 -2014 covering a period of twelve years.
- ii) Number of meetings conducted by DLSWCCs year wise.
- iii) Issues and problems discussed in the DLSWCCs
- iv) Communication of decisions to applicants.
- v) Perception of applicants on the DLSWCC meetings
- vi) Other administrative problems in the conduct of meetings.

This aspect based on field study and empirical analysis assumes importance in the context of smooth conduct of meetings without delays because the crucial aspect of approvals/rejections and subsequent follow up etc. are based upon the decisions taken at the meetings. Though the Facilitation Act had laid down the guidelines for the conduct of meetings little or greater variation is bound to exist due to bureaucratic and administrative issues.

The DLSWCC shall meet at least once in a month at District Headquarters or such other place or places as the Chairman may specify to transact business of the Committee as per the Notification (No.CI 208 SPI 2002, dated 4th Aug 2004) issued by Commerce and Industries Secretariat, Bengaluru.

It is found from the field study that all the DICs are conducting DLSWCC meetings and transacting business depending on necessity/requirement of DLSWCC Meeting for sanction of project approvals and are not conducting once in a month under the Chairmanship of Deputy Commissioner as per the above Notification and not followed the guidelines.

The Consultants of APITCO collected the data from the concerned Joint Directors of DICs on meetings conducted in each of the districts for the years from 2003 to 2014 and are presented in the following table.

Number of Meetings conducted by DLSWCCs – Year wise

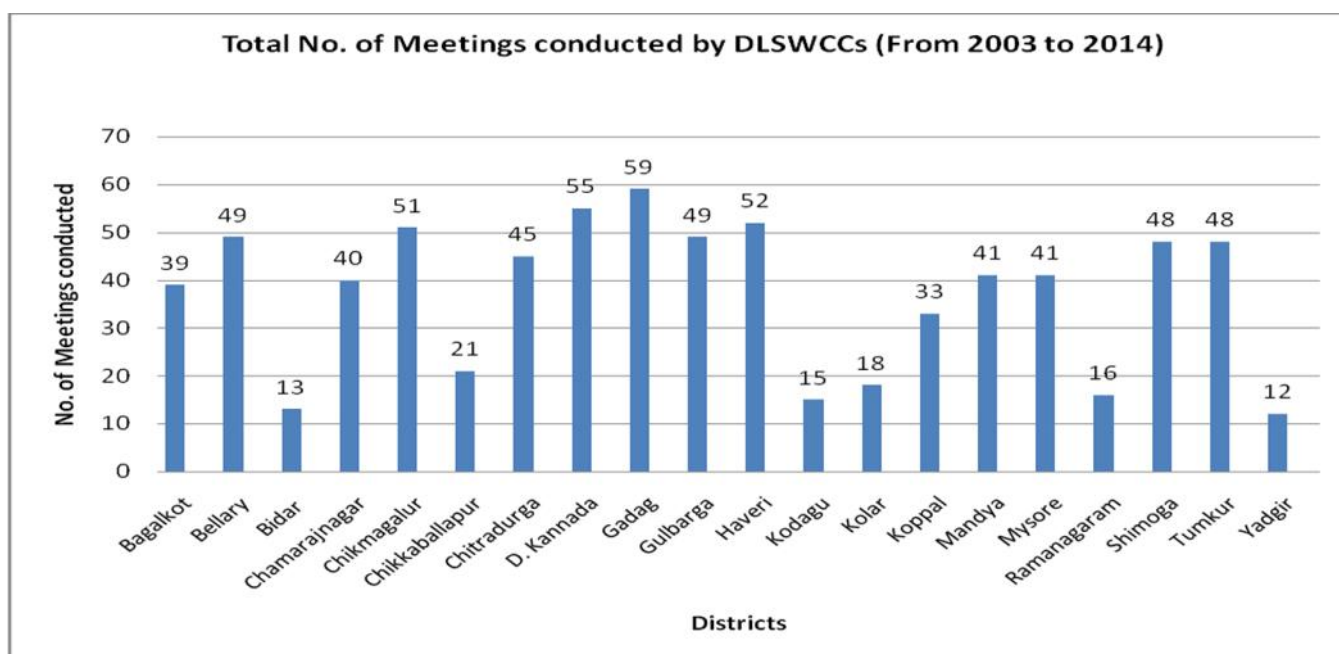
Sl. No	Name of District	No. of Meetings conducted by DLSWCCs during the Years -												Total No. of Meetings conducted	%age to Grand Total
		2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014		
1.	Bagalkot	2	3	2	5	5	2	2	3	5	4	4	2	39	5.2%
2.	Bellary	4	4	4	8	7	6	4	5	2	2	1	2	49	6.6%
3.	Bidar	--	--	--	--	--	--	--	3	2	2	4	2	13	1.7%
4.	Chamarajnagar	2	3	4	6	3	4	2	3	3	3	3	4	40	5.4%
5.	Chikmagalur	2	4	6	5	4	3	9	5	3	5	3	2	51	6.8%
6.	Chikkaballapur	District formed in 2007-08					5	2	3	4	2	3	2	21	2.8%
7.	Chitradurga	3	2	7	4	5	6	3	2	4	2	4	3	45	6.0%
8.	D. Kannada	2	1	4	8	5	6	3	4	5	5	5	7	55	7.4%
9.	Gadag	1	7	10	4	6	6	4	4	8	3	3	3	59	7.9%
10.	Gulbarga	2	5	3	7	5	4	3	6	5	4	3	2	49	6.6%
11.	Haveri	4	3	5	7	4	6	5	4	2	5	4	3	52	7.0%
12.	Kodagu	1	2	3	1	3	1	1	1	1	0	0	1	15	2.0%
13.	Kolar	--	--	--	--	--	1	2	3	4	2	3	3	18	2.4%
14.	Koppal	3	3	3	3	3	3	3	3	3	1	4	1	33	4.4%
15.	Mandya	3	3	6	4	5	3	3	3	3	3	3	2	41	5.5%

Sl. No	Name of District	No. of Meetings conducted by DLSWCCs during the Years -												Total No. of Meetings conducted	%age to Grand Total
		2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014		
16.	Mysore	--	--	4	6	7	7	3	1	4	4	3	2	41	5.5%
17.	Ramanagaram	--	--	--	--	--	--	1	6	2	2	2	3	16	2.1%
18.	Shimoga	4	7	6	4	5	4	4	4	3	2	3	2	48	6.4%
19.	Tumkur	--	3	5	7	3	1	5	6	6	4	4	4	48	6.4%
20.	Yadgir	District was formed on 30.12.09								6	2	1	3	12	1.6%
	Total	33	50	72	79	70	68	59	69	75	57	60	53	745	100%

Note: "--" = Data not available/ provided by DICs.

Source: Questionnaire -I

It is found from the above table that all the DLSWCCs conducted few meetings depending on requirement to approve the project approvals under the Chairmanship of the DC but not conducted once in a month.



It is observed from the table that the DIC, Gadag district conducted a total meetings of 59 (7.9%), followed by D.Kannada – 55 (7.4%), Haveri – 52 (7%), Chikmagalur – 51 (6.8%), Bellary – 49 (6.6%), Gulbarga – 49 (6.6%), Shimoga – 48 (6.4%), Tumkur – 48 (6.4%), Chitradurga – 45 (6%), Mandya – 41 (5.5%), Mysore – 41 (5.5%), Chamarajnagar – 40 (5.4%), Bagalkot 39 – (5.2%), Koppal – 33 (4.4%), Chikkaballapur – 21 (2.8%), Kolar – 18 (2.4%), Ramanagaram – 16 (2.1%), Kodagu 15 – (2%), Bidar – 13 (1.7%) and Yadgir – 12 (1.6%).

It is found from the table that no DLSWCC out of 20 DLSWCCs, conducted meeting once in a month in Karnataka State.

It may be concluded from the foregoing analysis that none of the districts is conducting meetings in a month systematically as laid down in the Facilitation Act. The reasons, among others, include non- availability of applications, officials, lack of developed plots, lack of need

or requirement for meetings etc. All the same the appreciable situation is that the meetings are conducted keeping in view the requirements and the need for such meetings. It may be expected that as the investment activity and entrepreneurship picks up momentum leading to increased requirement for plots and sheds, the frequency in the conduct of meeting is expected to increase.

4.5 MAJOR APPROVALS GIVEN BY DLSWCCs

It is observed from the study that majority of the entrepreneurs are contacting DLSWCCs for availing land/plot from KIADB or plot/shed from KSSIDC or for conversion of agriculture land to industry purpose along with other approvals. The districts, where industrial estates/areas are developed and where there is good scope for industries, the applications from entrepreneurs for land/plot or shed are more. In Koppal, DLSWCC have not transacted any activities due to non-availability of land/plot/shed.

5. PROBLEM STATEMENT

5.1 STATUS OF LITIGATIONS

The responses received (from the filled-questionnaires) provided by all the DLSWCCs on the status of litigations, a byproduct of decisions taken in DLSWCCs, whether guidelines issued to reduce or avoid the litigations for effective functioning of DLSWCCs are presented in the following table.

Responses on Status of Litigations in DLSWCCs

Sl. No	Name of District	Response on What is the status of litigations	Responses on Whether the DLSWCC is issuing guidelines to reduce or avoid litigations
1.	Bagalkot	Nil	Yes
2.	Bellary	Nil	Yes
3.	Bidar	Nil	Yes
4.	Chamarajnagar	Nil	NA
5.	Chikmagalur	Nil	Yes
6.	Chikkaballapur	Nil	Yes
7.	Chitradurga	Nil	NA
8.	D. Kannada	Nil	NA
9.	Gadag	Nil	NA
10.	Gulbarga	Nil	Yes
11.	Haveri	Nil	Yes
12.	Kodagu	Nil	Yes
13.	Kolar	Nil	Yes
14.	Koppal	Nil	NA
15.	Mandya	Nil	Yes
16.	Mysore	Nil	Yes
17.	Ramanagaram	Nil	Yes
18.	Shimoga	Less	--
19.	Tumkur	Less	Yes
20.	Yadgir	Nil	No

Source: Questionnaire-I

NA = Not Applicable/Non-availability

It is found from the above table that all the DLSWCCs expressed that there are no (nil) litigations in the decisions taken by DLSWCCs as they follow as per the existing laws framed by the Government of Karnataka in approving the projects/clearances. It is also observed that there are no litigations out of the decisions taken in DLSWCCs.

It is quite heartening to note that there are no litigations in the clearance of the proposals. However it is necessary for creation of suitable mechanisms for earlier disposal of litigations which may crop up at any stage of implementation. In this context establishments of suitable Grievance Redressal Cell and frequent interaction with the investors or entrepreneurs may be suggested.

It may be added at the end that though the responses are indicating all positivizes with no litigations, it cannot be construed that there is a better understanding between the entrepreneurs and DLSWCC mechanism. The proposed Grievance Redressal Cell should have one of its objectives as creating happy and better understanding and reconciliation on a continuous basis for favorable investment climate.

5.2 MONITORING THE APPROVED PROJECTS BY DLSWCCs

The existence of effective system of monitoring at every stage cannot be over emphasized because any conflict, lack of clarity may create a stumbling block for efficient execution of the projects cleared.

The main objectives of the chapter are as follows.

1. To study the responses of DLSWCC in monitoring the projects
2. To study the responses of DLSWCCs in implementing the projects
3. To analyze the opinions of the members of DLSWCCs on the usefulness of the DLSWCCs

The study team collected responses from (filled-questionnaires provided by) the Members of DLSWCCs on whether monitoring the approved projects by DLSWCC and how? The responses given by the DLSWCCs are provided in the following table.

Responses on Monitoring of Projects by DLSWCCs

Sl. No	Name of District	Response on whether DLSWCC is monitoring the approved projects	Responses on how DLSWCC is monitoring
1.	Bagalkot	Yes	The progress will be revived in subsequent meetings.
2.	Bellary	Yes	By writing letters and phone calls, etc.
3.	Bidar	Yes	Member Secretary of DLSWCC and JD, DIC monitor the approved projects with various departments for implementation of project immediately.
4.	Chamarajnagar	Yes	We will take separate follow up meetings and discuss the problems and solve the problems.

Sl. No	Name of District	Response on whether DLSWCC is monitoring the approved projects	Responses on how DLSWCC is monitoring
5.	Chikmagalur	Yes	Status of implementation of projects on regular basis is done and reduces the problems if any faced by the applicant.
6.	Chikkaballapur	Yes	By having liaison with the concerned departments and financial institutions.
7.	Chitradurga	Yes	(1) Benefit as per the policy will be conveyed to the entrepreneurs to avail it. (2) If the entrepreneurs face any problems or grievances, it should be addressed with the concerned developments.
8.	D. Kannada	Yes	Land registrations, power connections, water supply, etc will be monitored from time-to-time.
9.	Gadag	Yes	(1) Benefits as per policy will be told to the entrepreneurs to avail it. (2) If the entrepreneur, face any problem or grievances, it should be addressed with the concern departments.
10.	Gulbarga	Yes	Action has been taking as per the decision taken in DLSWCC meeting under the Chairmanship of Deputy Commissioner by communicating related Boards/ Corporations and other departments.
11.	Haveri	Yes	The DICs are following-up the projects.
12.	Kodagu	Yes	Provide timely assistance to sort out the problems in implementation of the project.
13.	Kolar	Yes	Writing to proposed industries for their problems and finding solutions.
14.	Koppal	Yes	Ask them to get the clearances from other Departments.
15.	Mandya	Yes	By visiting and discussed with the entrepreneurs of the units.
16.	Mysore	Yes	Reviews the progress of implementation with concerned departments.
17.	Ramanagaram	Yes	Escort services to be given which are the projects approved in DLSWCC meeting.
18.	Shimoga	Yes	Department-wise monitoring is there.
19.	Tumkur	Yes	(1) Issue of certificate of registration; (2) Sanction of loan; (3) Allotment of land/shed; (4) Plan sanction from concerned Boards; (5) Approval of power; (6) To start the industrial production activity.

Sl. No	Name of District	Response on whether DLSWCC is monitoring the approved projects	Responses on how DLSWCC is monitoring
20.	Yadgir	Yes	The DICs are following-up the projects which have been approved till they come into production.

Note: NA = Not Applicable

Source: Questionnaire -I

It is found from the above table that 100% of respondents expressed that they are monitoring the projects and also provided different types of handhold services including issue of project approvals/clearances as stated in the above table.

5.3 FOLLOW-UP MECHANISM FOR IMPLEMENTATION OF APPROVED PROJECTS

The study team collected responses from (filled-questionnaires provided by) the members of DLSWCCs on whether DLSWCCs are following-up and what kind of mechanism is adopted in implementation of approved projects. The responses given by the DLSWCCs are provided in the following table.

Responses on Follow-up Mechanism in Implementation of Approved Projects

Sl. No	Name of District	Responses on whether DLSWCC following-up the approved projects for implementation	Responses on what is the follow-up mechanism for implementation of approved projects and what is the weightage given for monitoring
1.	Bagalkot	Yes	The problems of the entrepreneur will be solved by consulting the concerned specific departments for taking immediate action and follow-up with compliance which placed before the SWA.
2.	Bellary	Yes	If entrepreneur gets any problem, we write letters to concern department and same is discussed in the next DLSWCC.
3.	Bidar	Yes	Member Secretary of DLSWCC and JD, DIC all the concerned departments call for discussion and implementation of approved projects speedily. The approved projects status will be taken from the concerned agencies and discussed in the regular meetings of DLSWCC.
4.	Chamarajnagar	Yes	Follow-up meetings will be taken and solved within the frame work (Department and Other Department)
5.	Chikmagalur	Yes	Implementation of project and problems faced if any will be monitored regularly and addressed.
6.	Chikkaballapur	Yes	By having follow-up meeting of approved project holders to know their present status.

Sl. No	Name of District	Responses on whether DLSWCC following-up the approved projects for implementation	Responses on what is the follow-up mechanism for implementation of approved projects and what is the weightage given for monitoring
7.	Chitradurga	Yes	(1) By visiting the unit location, the officers will conduct follow-up. (2) Give the concerned departments with suitable directions, where the projects are struck up like power, water, etc.
8.	D. Kannada	Yes	Priority is given for the speedy implementation of approved projects. Concerned departments are reviewed for their progress in the DLSWCC till the completion of the project.
9.	Gadag	Yes	(1) By visiting the unit locations, the official will conduct follow-up. (2) Give the concerned departments with suitable directions, where the project as struck up viz., power supply, water, etc.
10.	Gulbarga	Yes	DLSWCC is being monitoring the implementation of projects approved in the meeting timely.
11.	Haveri	Yes	Escort service will be given for the approved projects. And letter will be addressed the proposed units and states report is obtaining from the units if any difficulty is by the units from other department that will be solved through DLSWCC.
12.	Kodagu	Yes	Will be in regular follow up with the promoter of the project through e-mail phone calls.
13.	Kolar	Yes	Providing follow up with line Departments, providing escort services; facilitation work and conducting follow-up meetings with entrepreneurs for speedy implementation.
14.	Koppal	Yes	(1) Getting loan (guidance to approach banks); (2) Getting land; (3) Providing incentives and concessions in different stages.
15.	Mandya	Yes	By having follow-up meeting of approved project holders to know their present status.
16.	Mysore	Yes	Reviews the progress periodically and take up the problems if any with respective departments.

Sl. No	Name of District	Responses on whether DLSWCC following-up the approved projects for implementation	Responses on what is the follow-up mechanism for implementation of approved projects and what is the weightage given for monitoring
17.	Ramanagaram	Yes	BESCOM Clearance, water board clearance, pollution control clearance, boiler act clearance and other relevant clearance for project implementations.
18.	Shimoga	Yes	Based on the issues, departments are addressing the issues.
19.	Tumkur	Yes	The concerned DIC, officials like JD, DD, AD, Industrial Promotional Officer (IPO) & Industrial Extensions Officers (IEOs) periodically check up their status of the project, conducting of meeting there to assist to the promoters, if they wants like sanctions of powers and any difficulties in other departments for the clearances of the projects. Following action will take place over phone or in presence in each step.
20.	Yadgir	Yes	Through the follow-up meetings of the DIC.

Note: NA = Not Applicable

Source: Questionnaire -I

It is found from the above table that 100% of respondents (DLSWCC) expressed that they are monitoring the approved projects and also following-up with the entrepreneurs for implementation of approved projects by conducting various meetings with the entrepreneurs, providing escort services to entrepreneurs, solving the problems & pending clearances with other departments and guiding the entrepreneurs to avail loans, investment subsidies and other benefits from the Central and State Government.

It is found from the field study that all the DLSWCCs are giving 100% weightage is giving for follow-up mechanism and support to entrepreneurs in establishment of industries in their districts.

5.4 IMPLEMENTATION OF DECISIONS TAKEN BY CONCERNED DEPARTEMENTS

The responses collected from (filled-questionnaires provided by) the DLSWCCs on whether the concerned departments are implementing the decisions taken in DLSWCCs. The responses given by the DLSWCCs are given in the following table.

Implementation of Decisions taken by Concerned Departments

Sl. No	Name of District	Response on implementation of decisions taken in DLSWCCs by all the concerned departments
1.	Bagalkot	By consulting the concerned specific departments and the entrepreneurs.
2.	Bellary	By reviewing in next DLSWCC.
3.	Bidar	By regular monitoring and discussions with the concerned departments and agencies and also feedback from applicants.

Sl. No	Name of District	Response on implementation of decisions taken in DLSWCCs by all the concerned departments
4.	Chamarajnagar	All agencies like KIADB, KSSIDC, KSFC, Corporations, Boards, Pollution Control Board, and Other Agencies implemented these decisions of DLSWCC meetings.
5.	Chikmagalur	By getting feedback and compliance from the concerned department/ agency.
6.	Chikkaballapur	(1) By writing separate letters to the concerned Departments/Agencies; (2) By having discussions with the concerned Departments/Agencies.
7.	Chitradurga	Compliance report will be asked in the follow-up meetings to review the projects.
8.	D. Kannada	Regular monitoring is done with respective decisions taken in the DLSWCC with the concerned departments.
9.	Gadag	Compliance report will be asked in the following meeting to review the progress.
10.	Gulbarga	DLSWCC is being monitoring the implementation of projects approved in the meeting timely.
11.	Haveri	According with agendas.
12.	Kodagu	Through feedback from the promoter/ proprietor of the project.
13.	Kolar	Frequent reminders.
14.	Koppal	All the Department Heads will be directed by the Deputy Commissioner to issue concern clearances regarding industry under the Industrial Policy.
15.	Mandya	(1) By writing separate letters to the concerned Departments/Agencies; (2) By having discussions with the concerned Departments/Agencies.
16.	Mysore	By reviewing the progress.
17.	Ramanagaram	Follow-up actions are reviewed in the very next meeting.
18.	Shimoga	DLSWCC will review the issues discussed in previous meetings. Hence, all departments address the issues as per the stipulated time in accordance with the agenda.
19.	Tumkur	Moderate
20.	Yadgir	The decisions are reviewed in the next meeting to see that it has been complied or not. An action taken report (ATR) is taken from all the concerned Departments and agencies which are then placed in the meeting.

Source: Questionnaire -I

It is found from the above table that all the DLSWCCs (100%) are implementing all the decisions taken in their meetings with the help of concerned departments viz., issuing orders, sending proceedings of DLSWCC, personal follow-ups, writing letters, conducting review meetings, asking compliance reports, etc.

5.5 OPINIONS OF THE COMMITTEE MEMBERS ON THE USEFULNESS OF DLSWCCs

The survey team collected the opinions from all the Members of DLSWCCs on the usefulness of DLSWCCs for development of industries in the respective districts. The opinions given by the members of DLSWCCs are presented in the following table.

Responses of Committee Members on Usefulness of DLSWCCs

Sl. No	Name of District	Opinion of the Committee Members on the usefulness of the DLSWCC
1.	Bagalkot	It is of the opinion of the members that there is more coordination and cooperation for effective implementation of the projects and redressal the grievances of the entrepreneurs.
2.	Bellary	DLSWCC is only useful to the units, who want to buy land under Section 109 of KLR Act.
3.	Bidar	Suggestions of Committee Members are very helpful in taking decisions.
4.	Chamarajnagar	Useful for the MSME units.
5.	Chikmagalur	It is very much useful.
6.	Chikkaballapur	Since Deputy Commissioner is the Chairman having administrative control over the departments in the district, the decisions taken in the DLSWCC are implemented on priority basis, thereby speedy obtaining of clearances will lead to faster implementation of the projects.
7.	Chitradurga	(1) Entrepreneur will get clearances faster and also developed land in case of availability. (2) As per Industrial Policy, Incentives and concessions will be provided. (3) Any grievances will be addressed at District level only instead of State level.
8.	D. Kannada	In general, it is very useful to solve the problems locally. It facilitates speedy implementation of the projects.
9.	Gadag	(1) Entrepreneur will get clearances faster and also developed land in case of availability. (2) Incentives and concessions as per policy will be provided. (3) Any grievances will be addressed at District level only instead of state level.
10.	Gulbarga	DLSWCC meeting is concludes after having opinions expressed by the members unanimously.
11.	Haveri	DLSWCC meetings are useful as we are able to take the decision across the table. There is no to long in decisions from all the departments.
12.	Kodagu	It is opined that more useful to the entrepreneurs.
13.	Kolar	It is very much useful to the entrepreneurs.
14.	Koppal	DLSWCC is much needed to entrepreneurs to get all district level approval. Hence, it is very much benefitted to the entrepreneurs.

Sl. No	Name of District	Opinion of the Committee Members on the usefulness of the DLSWCC
15.	Mandya	In this committee, the Deputy Commissioner is attending and giving solutions for all the industrial issues. Hence, it is useful for development industries at district level. Some of the powers may be delegated to district level authorities.
16.	Mysore	Useful for the speedy clearances of new projects.
17.	Ramanagaram	It is very useful to resolve the industrialists' problems.
18.	Shimoga	As all the departments are coming together to address the issues related to new and existed industries concern, we are able to address the various issues then and there, so we are reducing the time.
19.	Tumkur	It is useful to the entrepreneurs.
20.	Yadgir	The DLSWCC is a platform which helps the entrepreneurs in getting their approvals and for resolving issues connected to the various departments. It is highly effective as it is chaired by the District Deputy Commissioner who is the administrative head for all departments in the district.

Source: Questionnaire -I

5.6 TYPE OF PROJECTS COMING FOR APPROVAL

The study team collected the data/information from (filled-questionnaires provided by) the Members of DLSWCCs regarding what type of projects coming in the respective districts for approvals/ clearances to DLSWCCs and the same are presented in the following table.

Type of Projects coming for approvals by DLSWCCs

S. No	Name of District	Type of projects coming for approval of DLSWCC
1.	Bagalkot	(1) Allotment of site in KIADB Areas; (2) Allotment of sites/sheds in KSSIDC Areas; (3) Purchase of land U/S 109; (4) Power Sanction; (5) Approval of incentives and concessions of Industrial Policy for the projects.
2.	Bellary	All types of manufacturing units like cotton ginning, tyre retrading, non-conventional energy generation, rice mill units, steel industries, ancillaries, etc.
3.	Bidar	1) For allotment of land and sheds in the Industrial Area; 2) Sanction of Electricity; 3) Sanction of loans; 4) KSPCB Clearances; 5) Land Conversion; 6) Problems for registration of plots and sheds.
4.	Chamarajnagar	Allotment of land, shed, plot, power, finance, etc for the units of agro based, mineral based (granite polishing units), engineering industries, textiles, etc.
5.	Chikmagalur	Resource based industries - all are MSME Projects.
6.	Chikkaballapur	Food based, granite cutting & polishing, garments, engineering based industries, bio-fuel, agarbatties manufacturing, TMT bars, corrugated boxes, UPVC doors & windows, adhesive tapes, etc.

S. No	Name of District	Type of projects coming for approval of DLSWCC
7.	Chitradurga	For land allotments to agro-based industries, fabrication units, engineering activity, etc, 100% MSME projects get approved in the DLSWCC.
8.	D. Kannada	(1) Land allotment, power supply, water supply are major proposals coming for approval of DLSWCC. (2) All the proposals are pertains to MSME projects only.
9.	Gadag	(1) For land allotment to agro based industries, fabrication units, engineering units (nut bolts, other general fabrication), plastic units, construction materials/building materials, etc.
10.	Gulbarga	Various proposals regarding setting up of industries, the DLSWCC meeting is examining the proposals and approves as per the Industries Facilitation Act and MSMED Act, 2005.
11.	Haveri	Small and medium scale industries.
12.	Kodagu	Majority are MSME for allotment of land, more of tourism projects.
13.	Kolar	Maximum MSME Projects only Products: Automobile industries, general fabrication, general engineering, pressed components, sheet metal components, granite cutting & polishing and chemical industries. Locations: Narasapura Industrial Area; Malur Industrial Area; and Vemagal Industrial Area.
14.	Koppal	Sponge Iron - Rs.5 to 10 Cr of Project Cost; Poultry Feed - About Rs.5 to 10 Cr PC; Girkin Units - PC Upto Rs.5 Cr; Granite Cutting & Polishing Units - PC Up to Rs.6 Cr; Solar power projects - PC Upto Rs.15 Cr; Power Projects (Rice Husk) - PC Upto Rs.10 Cr.
15.	Mandya	Food based, granite cutting & polishing, garments, general engineering, agarbatti manufacturing, solar energy, wooden furniture works, printing & packaging, packaged drinking water, etc and all MSME projects.
16.	Mysore	Land conversion, permission for purchase of land under Sec.109 of KLRA and Project approvals.
17.	Ramanagaram	Land Revenue Act klr 1961 column 109 act, land clearance (for N.A Conversion), Land allotment.
18.	Shimoga	All types of proposals are coming. Medium, small, micro enterprise projects are coming up. 90% proposals are approved.
19.	Tumkur	(1) Project approvals within Rs.15 Cr investment; (2) Land conversion/allotment of land/sheds on the priority basis; (3) Loan sanction for industries true financial institute; (4) Incentives and concessions from the Department.
20.	Yadgir	(1) Project approvals - 40%; (2) Land allotment proposals (KIADB) - 25%; (3) Land/plot allotment (KSSIDC) - 25%; and (4) Vishwa Sheds allotments - 10%.

Source: Questionnaire -I

It is found from the above table that the industries came up in the respective districts based on the availability of resources; availability of industrial infrastructure viz., power, water, common facilities, etc; availability of land/plot/sheds in industrial estate; demand for output; bank finance/scheme, industrial environment in the respective district; subsidies by Government; entrepreneurial skills of the persons in the district and other facilities/benefits available in the respective districts.

The following conclusions emerge from the chapter.

1. All the DLSWCCs under study have responded that they have evolved a suitable system of monitoring depending upon the requirement and by taking appropriate action.
2. The responses collected from the DLSWCCs by the study team reflect proper follow up measures with the beneficiaries, departments and by visiting the units depending upon the need.
3. The responses of committee members on the usefulness of DLSWCCs indicate that this scheme is quite useful for prompt clearance of the projects and there is an optimistic view of the scheme.
4. Individual DLSWCCs have got their own method of monitoring the projects by taking up suitable measures. However the existence of a suitable central monitoring mechanism/unit would solve some of the issues of monitoring the projects associated with different departments on the one hand and the investors on the other.

6. OBJECTIVES AND THE ISSUES FOR EVALUATION

6.1 OBJECTIVES

The importance of taking the evaluation of DLSWCCs is to study the effectiveness of the functioning of the Committees as per the Karnataka Industries Facilitation Act provided, the main objective is to improve the effectiveness of the decision taken in DLSWCCs in providing the solutions for the issues & problems of MSMEs that are taken up in meetings.

6.2 ISSUES FOR EVALUATION

a) Period for Evaluation

The study is essentially based on primary data covering a period of 12 years i.e. 2003-2014. The data for each and every DLSWCC had to be collected for each of these 12 years. Hence different tables, year wise, had to be prepared so as to reflect the broad emergence and implementation of DLSWCCs Scheme over the period of 12 years.

b) Segments of the Target Population & Geographic Coverage

The study team initially covered the total number of 30 districts in the State of Karnataka and all the DLSWCCs in these 30 districts were asked to support the study by duly giving the information required, however, ten districts have backed out and they did not provide data though initially they have accepted to provide. Hence, the study covers only 20 districts instead of all the 30 districts of Karnataka State.

c) Specific Outcomes/Impacts and Guiding Objectives for Evaluation

The main evaluation questions and tasks to accomplish the above outcomes/impacts of and guiding objectives for evaluation are given below.

- Whether the DLSWCCs are receiving the proposals for approval of the projects and the procedure adopted by the committee for granting the approvals as per rules.
- How many approvals for projects have been given by the DLSWCCs each financial year in each district since the coming up of the Karnataka Industries Facilitation Act 2002? Is there any trend or significant output emerging from the data?
- What is the average time that the DLSWCC take for deciding a Project? Is the time taken by districts is significantly different? What are the causes for taking more time and methods to reduce it?
- What is the status of litigation, if it has been a byproduct, of the decisions of DLSWCC? What are the causes district-wise? What guidelines should be issued for functioning of DLSWCC to reduce litigation, if it can be avoided?
- What is the perception of the users who have approached DLSWCC for getting their projects cleared?
- Based upon the data that is generated from the field study, what is the time taken for approval given to DLSWCC in each district?
- Whether the DLSWCC is meeting regularly? If yes how frequently number of meetings are held year wise and date of meetings approval and facilitations provided, issues and problems discussed shall be analyzed. If no, reasons for not conducting the meetings shall be evaluated, analyzed and reported.
- Whether the DLSWCC is functioning as per the Industrial Facilitation Act 2002?
- Whether the decision of DLSWCC is communicated to the applicants/ departments or authorities concerned – Time taken to communicate the decision and whether the compliance report is received and reviewed by the committee.
- Whether the decision taken by DLSWCC is binding on the other departments or authorities concerned.
- Whether Meeting notices convening the meeting date/ agenda notes/ proceedings are sent in time.
- Whether projects are actually approved by the committee and if so the details of components such as land, power, water, KSPCB issues are discussed and decisions taken.
- Whether the Combined Application Form is obtained from the applicant and whether the details in the Combined Application Form are filled up and scrutinized by the Member Secretary office.
- Whether the DLSWCC meeting is effective and useful to the applicants.
- Whether all the members are personally attending the meeting or Representative of the members are attending the meetings, whether the decisions are taken by the committee based on the feedback or information given by the representatives by members.
- Whether the reports regarding approval of projects are sent to higher authorities or not, if reports are sent the quality of the report and whether any formats have been devised for submitting the report. If reports are not sent the evaluator shall suggest various means and formats required in consultation with the Head Office.

- Feedback from the District Industries Association, Chairman Member of the Committee with regard to functioning/ strengthening/ empowering of DLSWCC.
- Whether any difficulties and constraints are faced during the approval/ decision and implementation?
- Whether decision of DLSWCC pertains only to project approvals or it also covers policy matters/ suggestions for the benefit of MSME sector projects?
- How DLSWCC is monitoring the approved projects?
- What is the follow-up mechanism for implementation of approved projects
- What is the weightage given to monitoring?
- How does the DLSWCC ensure that its decisions are being implemented by all the concerned departments/ agencies?
- Proportion of MSME projects approved in the DLSWCC.

7. EVALUATION DESIGN, METHODOLOGY, DATA COLLECTION & ANALYSIS

7.1 DESIGN, METHODOLOGY, DATA COLLECTION & ANALYSIS

This evaluation study required visit to the District industries Centres (DICs), to obtain the data for 12 years and analyze the data. Good and bad practices will be highlighted in the report. Obtaining the opinion of Chairman, Members Secretary and some of the important members such as KIADB, KSSIDC, KPCB, Concerned Electricity supplying company and other members as deemed fit by the study. It is also required to interview few applicants for their opinions on the functioning of DLSWCCs.

The study is being carried out with the help of five-stage methodology. It is initiated with project inception, which is followed by desk research and literature review, field survey and personal interviews, data analysis, validation of findings, draft report preparation and report finalization.

i) Methodology Adopted

Methodology adopted for completing the study comprises of (a) Desk Research, (b) Field Survey, (c) Data Analysis, (d) Draft Report Preparation and (e) Final Report Preparation.

a) Desk Research

- Desk research was undertaken to collect secondary data pertaining to 30 districts of Karnataka State and letters/e-mails are sent to all the DICs in 30 districts of Karnataka State.
- Desk research was undertaken to collect list of respondents with addresses from Directorate of Industries & Commerce, Bengaluru
- Interacted with the respondents for understanding the scheme implementation process.

A structured questionnaire for data collection was prepared by APITCO in consultation with the Karnataka Evaluation Authority (KEA) covering all the aspects as per the objectives of the study. The same is administered for collection of data from the respondents.

b) Field Survey

- Field survey was conducted in 24 districts out of 30 districts of Karnataka State. Out of 24 districts, APITCO collected 20 filled-questionnaires personally from the respective DICs. Some of the Joint Directors (JDs) of District Industries Centres (DICs) have not provided data or not available at that time when the survey team personally visited their good offices and advised the Consultants that they will send the filled-questionnaires by post/courier.
- Three Consultants from APITCO conducted field survey in the respective districts of Karnataka State and are post-graduates in Economics and Management.
- Imparted classroom training to the Consultants, who involved in the survey, by the Team Leader (Research Studies) of APITCO.
- The field survey was conducted during September and October, 2015.
- The field survey was supervised and monitored by the Team Leader (Research Studies) of APITCO at Head Office.

c) Data Analysis

- Developed a software package for data entry and analysis in MS Excel.
- Entered the collected data into the software package and analysed the data.
- One Consultant is involved for analysis of data to match the objectives of the study (evaluation questions as per scope of the study/work).

ii) Chapterisation

The present empirical analysis made based on field study and personal interviews with the stakeholders concerned is broadly divided into following paras.

(1) Executive Summary; (2) Introduction; (3) Theory of Change; (4) Progress Review; (5) Problem Statement; (6) Objectives and the Issues for Evaluation; (7) Evaluation Design, Methodology, Data Collection and Analysis; (8) Findings and Discussion; (9) Reflection and Conclusions; and (10) Recommendations.

7.2 REVIEW OF LITERATURE

The study team had surveyed the available literature on the subject of DLSWCCs as detailed below.

1. The Karnataka Industries (Facilitation) Act, 2010 basically provides various rules and regulations for the administration of DLSWCCs in different districts. This is a mandatory legislation underlying the study.
2. The government of Andhra Pradesh had also issued guidelines and certain preliminary studies and these also constitute for the reference study
3. The reports from associations and several agencies also form the basis for literature review.

As the concept DLSWCC is relatively a new, not many studies were conducted in different States. The study team collected reports and surveyed the available literature on the subject.

8. FINDINGS AND DISCUSSION

8.1 YEAR-WISE OUTCOME/APPROVALS GIVEN BY DLSWCCs

The study team collected detailed year-wise data on number of proposals/applications received, approved and rejected by DLSWCCs. The data was analysed and presented in the following tables for each of the 12 years from 2003 to 2014.

1) Approvals given by DLSWCC for Projects in 2003

The district-wise and year-wise data received from the respective Joint Directors of District Industries Centers are presented in the following table.

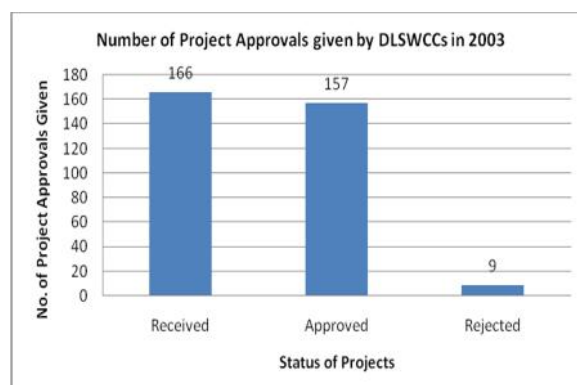
Approvals given by DLSWCC for Projects in 2003

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	0	0	0	0	N.A
2.	Bellary	Data not available/provided by DIC.			--	N.A
3.	Bidar	Data not available/provided by DIC.			--	N.A
4.	Chamarajnagar	0	0	0	0	N.A
5.	Chikmagalur	7	7	0	4%	N.A
6.	Chikkaballapur	District formed in 2007-08			--	N.A
7.	Chitradurga	61	61	0	39%	N.A
8.	D. Kannada	6	6	0	4%	N.A
9.	Gadag	4	4	0	3%	N.A
10.	Gulbarga	16	16	0	10%	N.A
11.	Haveri	29	28	1	18%	Reasons are not provided/ mentioned.
12.	Kodagu	7	7	0	4%	N.A
13.	Kolar	Data not available/provided by DIC.			--	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	5	1	4	1%	Absence of entrepreneurs.
16.	Mysore	--	--	--	--	N.A
17.	Ramanagaram	District was formed in 2007-08			--	N.A
18.	Shimoga	31	27	4	17%	Reasons are not provided/ mentioned.
19.	Tumkur	Committee formed in 2004 by DIC.			--	N.A
20.	Yadgir	District formed on 30.12.09			--	N.A
	Total	166	157	9	100%	
	Percentage of Approved Proposals against Proposals Received					95%
	Percentage of Rejected Proposals against Proposals Received					5%

N.A = Not Applicable; DIC = District Industries Centre

Source: Questionnaire –I

It may be observed from the table that the DIC, Chitradurga district approved 61 (39%) proposals/applications, followed by Haveri - 28 (18%), Shimoga - 27 (17%) and Gulbarga - 16 (10%) in Karnataka State. The DICs in other districts viz., Chikmagalur, D.Kannada, Gadag, and Kodagu received few proposals as mentioned in the above table for approval and are approved by concerned District Industries Centres (DICs).



It is found from the above table that DICs in the districts of Bagalkot, Chamarajnagar and Koppal districts did not receive any proposals during 2003. The DICs in Bellary, Bidar and Kolar districts did not possess/provide any data. The DICs in Chikkaballapur, Ramanagaram and Yadgir were not formed as new districts till 2003 and in the case of Tumkur district, the DLSWCC was formed in 2004.

It is found from the above table that 95% of proposals were approved by the DICs and only 5% of proposals received by DICs were rejected during the year 2003 due to various reasons viz., absence of entrepreneurs to DLSWCC in Karnataka State.

2) Approvals given by DLSWCC for Projects in 2004:

The district-wise data for 2004 received from the respective Joint Directors of District Industries Centers are presented in the following table.

Approvals given by DLSWCC for Projects in 2004

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	0	0	0	0%	N.A
2.	Bellary	Data not available/provided by DIC			--	N.A
3.	Bidar	Data not available/provided by DIC			--	N.A
4.	Chamarajnagar	0	0	0	0%	N.A
5.	Chikmagalur	12	12	0	4%	N.A
6.	Chikkaballapur	District was formed in 2007-08			--	N.A
7.	Chitradurga	46	46	0	17%	N.A
8.	D. Kannada	7	7	0	3%	N.A
9.	Gadag	23	23	0	9%	N.A
10.	Gulbarga	44	37	7	14%	Non-submission of proper documents by entrepreneurs.
11.	Haveri	22	19	3	7%	Reasons are not provided/ mentioned.
12.	Kodagu	8	8	0	3%	N.A
13.	Kolar	Data not available/provided by DIC			--	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	13	13	0	5%	N.A
16.	Mysore	Data not available/provided by DIC			--	N.A
17.	Ramanagaram	District was formed in 2007-08			--	N.A
18.	Shimoga	100	97	3	36%	Reasons are not provided/ mentioned.
19.	Tumkur	7	7	0	3%	N.A
20.	Yadgir	District was formed on 30.12.09			--	N.A
	Total	282	269	13	100%	
	Percentage of Approved Proposals against Proposals Received					95%
	Percentage of Rejected Proposals against Proposals Received					5%

N.A = Not Applicable; DIC = District Industries Centre

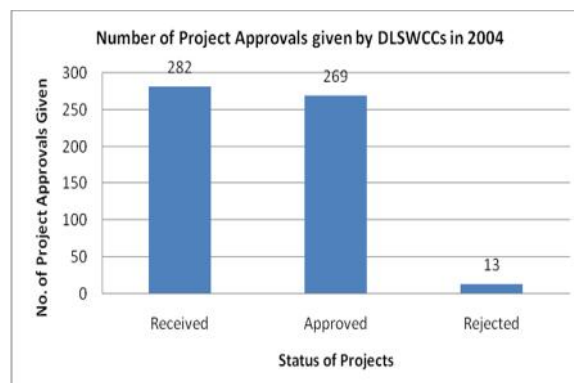
Source: Questionnaire- I

It may be observed from the table that the DIC, Shimoga district approved 97 (36%) proposals/applications, followed by Chitradurga - 46 (17%), Gulbarga - 37 (14%) and Gadag - 23 (9%) in Karnataka State. The DICs in other districts viz., Chikmagalur, D.Kannada,

Haveri, Kodagu, Mandya and Tumkur received few proposals as mentioned in the above table for approval and are approved by concerned DICs.

It is found from the above table that the DICs in Bagalkot, Chamarajnagar and Koppal districts did not receive any proposals during the year 2004. The DICs of Bellary, Bidar, Kolar, and Mysore districts did not possess/provide any data to survey team. The DICs at Chikkaballapur, Ramanagaram and Yadgir were not formed as new districts till 2004.

It is found from the above table that 95% of proposals were approved by the DICs and only 5% of proposals received by DICs were rejected during the year due to various reasons viz., non-submission of required documents by entrepreneurs to DLSWCC, etc.



3) Approvals given by DLSWCC for Projects in 2005:

The district-wise and year-wise data received from the respective Joint Directors of District Industries Centres are presented in the following table.

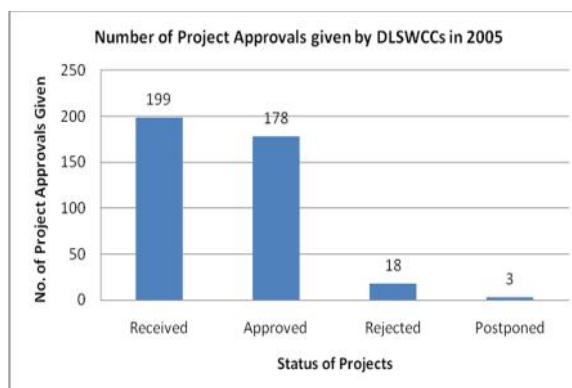
Approvals given by DLSWCC for Projects in 2005

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	0	0	0	0%	N.A
2.	Bellary	Data not provided/possessed by DIC			--	N.A
3.	Bidar	Data not provided/possessed by DIC			--	N.A
4.	Chamarajnagar	0	0	0	0%	N.A
5.	Chikmagalur	7	7	0	4%	N.A
6.	Chikkaballapur	District was formed in 2007-08			--	N.A
7.	Chitradurga	0	0	0	0%	N.A
8.	D. Kannada	6	6	0	3%	N.A
9.	Gadag	1	1	0	1%	N.A
10.	Gulbarga	0	0	0	0%	N.A
11.	Haveri	45	45	0	25%	N.A
12.	Kodagu	13	13	0	7%	N.A
13.	Kolar	Data not provided/possessed by DIC			--	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	27	21	6	12%	Non-compliance by entrepreneurs; non-availability of land, etc.
16.	Mysore	4	1	*	1%	3 Projects approvals were postponed to next meeting.
17.	Ramanagaram	District was formed in 2007-08			--	N.A
18.	Shimoga	54	42	12	24%	Reasons are not provided/ mentioned.
19.	Tumkur	42	42	0	24%	N.A
20.	Yadgir	District was formed on 30.12.09			--	N.A
	Total	199	178	18	100%	
	Percentage of Approved Proposals against proposals received					89%
	Percentage of Rejected Proposals against proposals received					11%

Note: * 3 Proposals were postponed to next meeting in Mysore District.

Source: Questionnaire-I

It may be observed from the table that the DIC, Haveri district approved 45 (25%) the proposals/applications, followed by Shimoga - 42 (24%), Tumkur - 42 (24%) and Mandya - 21 (12%) in Karnataka State. The DICs in other districts viz., Chikmagalur (4%), D.Kannada (3%), Gadag (1%), and Mysore (1%) received and approved few proposals by concerned DICs.



It is found from the above table that the DICs of Bagalkot, Chamarajnagar, Chitradurga, Gulbarga and Koppal districts did not receive any proposals during the year 2005. DICs at Bellary, Bidar, Kolar and Mysore districts did not possess/provide any data to survey team. The DICs of Chikkaballapur, Ramanagaram and Yadgir districts were not formed as new districts till 2005.

It is found from the above table that 89% of proposals were approved by the DICs and only 11% of proposals received were rejected during the year due to various reasons viz., Non-compliance by entrepreneurs; non-availability of land, 3 Projects approvals were postponed to next meeting and Shimoga district not provided the reasons.

4) Approvals given by DLSWCC for Projects in 2006:

The district-wise and year-wise data received from the respective Joint Directors of District Industries Centers are presented in the following table.

Approvals given by DLSWCC for Projects in 2006

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	0	0	0	0%	N.A
2.	Bellary	33	33	0	14%	N.A
3.	Bidar	Data not provided/possessed by DIC				N.A
4.	Chamarajnagar	0	0	0	0%	N.A
5.	Chikmagalur	12	12	0	5%	N.A
6.	Chikkaballapur	District was formed in 2007-08				N.A
7.	Chitradurga	0	0	0	0%	N.A
8.	D. Kannada	11	11	0	5%	N.A
9.	Gadag	5	5	0	2%	N.A
10.	Gulbarga	44	40	*	18%	4 proposals postponed to next meeting
11.	Haveri	56	56	0	25%	N.A
12.	Kodagu	0	0	0	0%	N.A
13.	Kolar	Data not provided/possessed by DIC				N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	14	10	4	4%	Non-availability of land.
16.	Mysore	5	5	0	2%	N.A
17.	Ramanagaram	District was formed in 2007-08				N.A
18.	Shimoga	31	30	1	13%	Reasons were not provided/ mentioned.

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
19.	Tumkur	26	26	0	11%	N.A
20.	Yadgir	District was formed on 30.12.09				N.A
	Total	237	228	5	100%	
	Percentage of Approved Proposals against proposals received					96%
	Percentage of Rejected Proposals against proposals received					4%

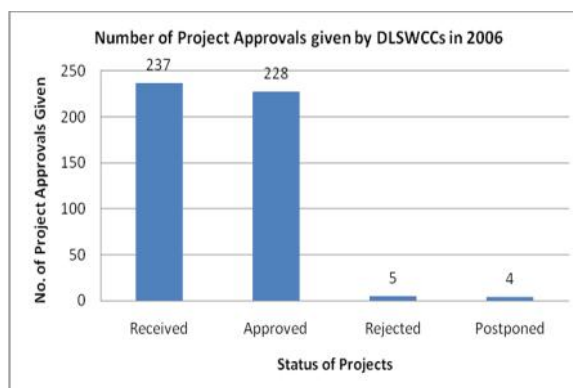
Note: * 4 Proposals postponed to next meeting as KIADB Officer has not attended the meeting in Gulbarga District.

Source: Questionnaire –I

NA = Not Applicable

It may be observed from the above table that the DIC, Haveri district approved 56 (25%) proposals/applications, followed by Gulbarga - 40 (18%), Bellary - 33 (14%) and Shimoga - 30 (13%) districts in Karnataka State. The DICs of other districts viz., Chikmagalur (5%), D.Kannada (5%), Gadag (2%), Mandya (4%) and Mysore (2%) received and approved few proposals by concerned DICs.

It is found from the above table that DICs in Bagalkot, Chamarajnagar, Chitradurga, Kodagu and Koppal districts did not receive any proposals during the year 2006. DICs at Bidar and Kolar districts did not possess/provide any data. The DICs of Chikkaballapur, Ramanagaram and Yadgir districts were not formed as new districts till the year 2006.



It is found from the above table that 96% of proposals were approved by the concerned DICs and only 4% of proposals received were rejected during the year due to various reasons viz., non-availability of land, 4 Projects approvals were postponed to next meeting by Gulbarga district, etc.

5) Approvals given by DLSWCC for Projects in 2007:

The district-wise data for 2007 received from the respective Joint Directors of District Industries Centres by the survey team are presented in the following table.

Approvals given by DLSWCC for Projects in 2007

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	5	5	0	3%	N.A
2.	Bellary	5	5	0	3%	N.A
3.	Bidar	Data not provided/possessed by DIC			--	N.A
4.	Chamarajnagar	0	0	0	0%	N.A
5.	Chikmagalur	12	12	0	6%	N.A
6.	Chikkaballapur	District was formed in 2007-08			--	N.A
7.	Chitradurga	0	0	0	0%	N.A
8.	D. Kannada	6	6	0	3%	N.A
9.	Gadag	6	6	0	3%	N.A

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
10.	Gulbarga	51	51	0	26%	N.A
11.	Haveri	41	39	2	20%	Reasons are not provided/ given by DIC.
12.	Kodagu	2	2	0	1%	N.A
13.	Kolar	Data not provided/possessed by DIC			--	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	1	1	0	1%	N.A
16.	Mysore	9	7	*	4%	DIC postponed two approvals to next meeting.
17.	Ramanagaram	District was formed in 2007-08			--	N.A
18.	Shimoga	51	51	0	26%	N.A
19.	Tumkur	15	15	0	8%	N.A
20.	Yadgir	District was formed on 30.12.09			--	N.A
	Total	204	200	2	100%	
	Percentage of Approved Proposals against proposals received					98%
	Percentage of Rejected Proposals against proposals received					2%

Note: * Two Project Approvals postponed to next meeting in Mysore District.

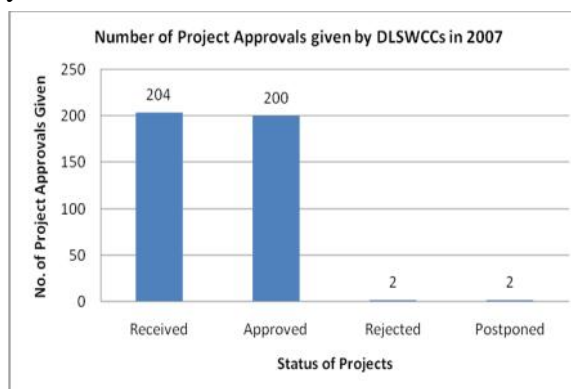
Source: Questionnaire-I

NA = Not Applicable/Non-availability

It may be observed from the above table that each of the DICs of Gulbarga and Shimoga districts approved 51 (26%) proposals/applications, followed by Haveri - 41 (20%), Tumkur - 15 (8%) and Chikmagalur - 12 (6%) districts in Karnataka State. The DICs of other districts viz., Bagalkot – 5 (3%), Bellary – 5 (3%), D.Kannada – 6 (3%), Gadag – 6 (3%), Kodagu – 2 (1%), Mysore – 7 (4%) and Mandya – 1 (1%) received and approved a few proposals by concerned DICs during the year 2007.

It may be observed from the above table that DICs in Chamarajnagar, Chitradurga and Koppal districts did not receive any proposals during the year 2007. DICs at Bidar and Kolar districts did not possess/provide any data. The DICs of Chikkaballapur, Ramanagaram and Yadgir districts were not formed as new districts till the year 2007.

It is found from the above table that 98% of proposals were approved by the concerned DICs and only 2% of proposals received were rejected during the year due to various reasons viz., two projects approvals were postponed to next meeting by Mysore district and the reasons for rejection are not provided for rejection of two projects by DIC, Haveri.



6) Approvals given by DLSWCC for Projects in 2008:

The district-wise and year-wise data received from the respective Joint Directors of District Industries Centres are presented in the following table.

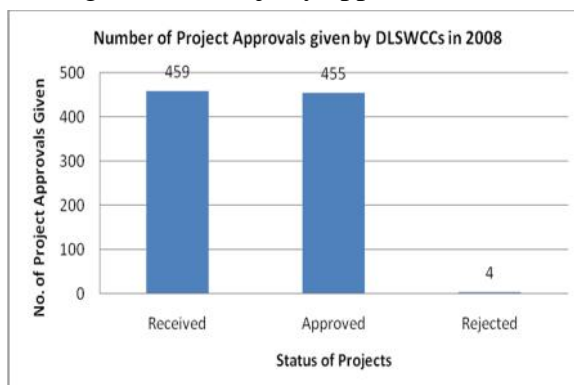
Approvals given by DLSWCC for Projects in 2008

S.No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	2	2	0	0.44%	N.A
2.	Bellary	15	15	0	3.30%	N.A
3.	Bidar	Data not provided/possessed by DIC			--	N.A
4.	Chamarajnagar	0	0	0	0.00%	N.A
5.	Chikmagalur	24	24	0	5.27%	N.A
6.	Chikkaballapur	19	17	2	3.74%	Entrepreneurs were not attended the Meeting.
7.	Chitradurga	4	4	0	0.88%	N.A
8.	D. Kannada	23	23	0	5.05%	N.A
9.	Gadag	14	14	0	3.08%	N.A
10.	Gulbarga	269	269	0	59.12%	N.A
11.	Haveri	42	42	0	9.23%	N.A
12.	Kodagu	1	1	0	0.22%	N.A
13.	Kolar	0	0	0	0.00%	N.A
14.	Koppal	0	0	0	0.00%	N.A
15.	Mandya	1	1	0	0.22%	N.A
16.	Mysore	7	7	0	1.54%	N.A
17.	Ramanagaram	District was formed in 2007-08			--	N.A
18.	Shimoga	26	24	2	5.27%	Reasons are not provided/ mentioned by the DIC.
19.	Tumkur	12	12	0	2.64%	N.A
20.	Yadgir	District was formed on 30.12.09			--	N.A
	Total	459	455	4	100%	
	Percentage of Approved Proposals against Proposals Received					99%
	Percentage of Rejected Proposals against Proposals Received					1%

Source: Questionnaire-I

NA = Not Applicable

It is observed from the above table that the DIC, Gulbarga district majorly approved 269 (59%) proposals/applications, followed by Haveri - 42 (9%), Chikmagalur - 24 (5%), D.Kannada – 23 (5%) and Shimoga – 24 (5%) districts in Karnataka State. The DICs of other districts viz., Bagalkot – 2 (0.4%), Bellary – 15 (3%), Chikkaballapur – 17 (4%), Chitradurga – 4 (0.9%), Gadag – 14 (3%), Kodagu – 1 (0.2%), Mandya – 1 (0.2%), Mysore – 7 (2%) and Tumkur – 12 (3%) received and approved a few proposals by concerned DICs during the year 2008.



It is found from the above table that DICs in Chamarajnagar, Kolar and Koppal districts did not receive any proposals during the year 2008. DIC, Bidar district has not possessed/ provided any data. The DIC, Ramanagaram district has not formed DLSWCC till the end of 2008. The DIC, Yadgir was not formed as new district till the year 2008.

It is found from the above table that 99% of proposals were approved by the concerned DICs and only 1% of proposals received were rejected during the year due to various reasons viz., two projects approvals were rejected due to non-appearance of entrepreneurs before DLSWCC by DIC, Chikkaballapur district and the reasons for rejection were not given for rejection of two projects by DIC, Shimoga.

7) Approvals given by DLSWCC for Projects in 2009:

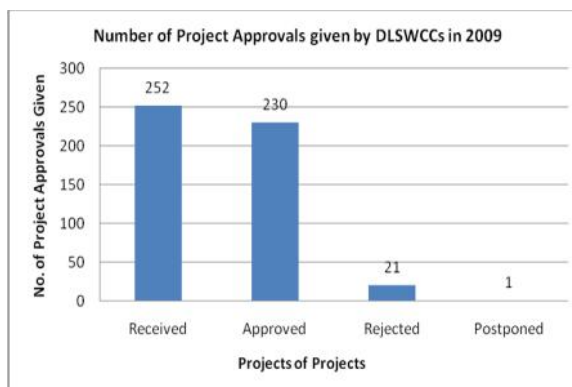
The district-wise and year-wise data received from the respective Joint Directors of District Industries Centres are presented in the following table.

Approvals given by DLSWCC for Projects in 2009

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	2	2	0	0.9%	N.A
2.	Bellary	6	6	0	2.6%	N.A
3.	Bidar	Data not provided/possessed by DIC			--	N.A
4.	Chamarajnagar	0	0	0	0.0%	N.A
5.	Chikmagalur	18	18	0	7.8%	N.A
6.	Chikkaballapur	28	14	14	6.1%	Non-availability of plots/sheds in Industrial Area.
7.	Chitradurga	2	2	0	0.9%	N.A
8.	D. Kannada	24	24	0	10.4%	N.A
9.	Gadag	33	33	0	14.3%	N.A
10.	Gulbarga	0	0	0	0.0%	N.A
11.	Haveri	29	29	0	12.6%	N.A
12.	Kodagu	3	3	0	1.3%	N.A
13.	Kolar	0	0	0	0.0%	N.A
14.	Koppal	0	0	0	0.0%	N.A
15.	Mandya	11	7	4	3.0%	Non-availability of plots/sheds in Industrial Area.
16.	Mysore	2	1	*	0.4%	One Project approval was postponed to next meeting.
17.	Ramanagaram	4	4	0	1.7%	N.A
18.	Shimoga	36	33	3	14.3%	Reasons are not provided/mentioned by the DIC.
19.	Tumkur	54	54	0	23.5%	N.A
20.	Yadgir	District was formed on 30.12.09				N.A
	Total	252	230	21	100%	
	Percentage of Approved Proposals against Proposals Received					91%
	Percentage of Rejected Proposals against Proposals Received					9%

Note: * One Project Proposal postponed to next meeting by DIC, Mysore District. Source: Questionnaire-I
Source: Questionnaire-I NA = Not Applicable

It is observed from the above table that the DIC, Tumkur district approved 54 (24%) proposals/applications, followed by Gadag - 33 (14%), Shimoga - 33 (14%), Haveri - 29 (13%) and D.Kannada - 24 (10%) districts in Karnataka State. The DICs of other districts viz., Bagalkot - 2 (1%), Bellary - 6 (3%), Chikmagalur - 18 (8%), Chikkaballapur - 14 (6%), Chitradurga - 2 (1%), Kodagu - 3 (1%), Mandya - 7 (3%), Mysore - 1 (0.4%) and Ramanagaram - 4 (2%) received and approved few proposals by concerned DICs during the year 2009.



It is found from the above table that DICs in Chamarajnagar, Gulbarga, Kolar and Koppal districts did not receive any proposals during the year 2009. The DIC, Bidar district has not possessed/provided any data. The DIC, Yadgir was formed on 30.12.2009 and not conducted any meetings.

It is found from the above table that 91% of proposals were approved by the concerned DICs and only 9% of proposals received were rejected during the year due to various reasons viz., non-availability of plots/sheds in Industrial Area in Chikkaballapur and Mandya districts, one project approval was postponed to next meeting by Mysore and the reasons for rejection are not given for rejection of three projects by the DIC, Shimoga.

8) Approvals given by DLSWCC for Projects in 2010:

The district-wise data for 2010 received from the respective Joint Directors of District Industries Centres are presented in the following table.

Approvals given by DLSWCC for Projects in 2010

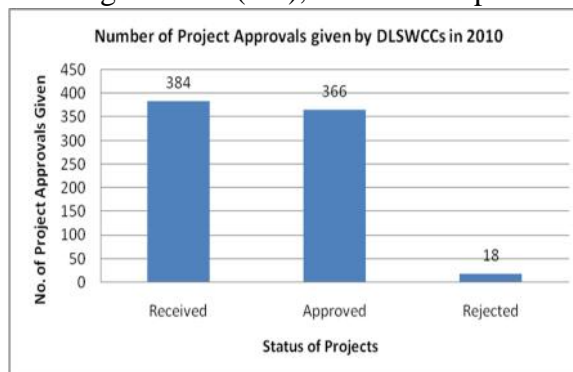
Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	3	3	0	0.8%	N.A
2.	Bellary	1	1	0	0.3%	N.A
3.	Bidar	47	47	0	12.8%	N.A
4.	Chamarajnagar	0	0	0	0.0%	N.A
5.	Chikmagalur	4	4	0	1.1%	N.A
6.	Chikkaballapur	12	12	0	3.3%	N.A
7.	Chitradurga	2	2	0	0.5%	N.A
8.	D. Kannada	41	41	0	11.2%	N.A
9.	Gadag	117	117	0	32.0%	N.A
10.	Gulbarga	26	11	15	3.0%	Non-availability of land.
11.	Haveri	10	10	0	2.7%	N.A
12.	Kodagu	2	2	0	0.5%	N.A
13.	Kolar	0	0	0	0.0%	N.A
14.	Koppal	0	0	0	0.0%	N.A
15.	Mandya	3	3	0	0.8%	N.A
16.	Mysore	2	1	1	0.3%	Approval for stone crushing unit in residential area was rejected as per law.

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
17.	Ramanagaram	37	37	0	10.1%	N.A
18.	Shimoga	55	53	2	14.5%	Reasons are not provided/ mentioned by the DIC.
19.	Tumkur	22	22	0	6.0%	N.A
20.	Yadgir	District was formed on 30.12.09				N.A
	Total	384	366	18	100%	
	Percentage of Approved Proposals against Proposals Received					95%
	Percentage of Rejected Proposals against Proposals Received					5%

Source: Questionnaire-I

NA = Not Applicable

It may be observed from the above table that the DIC, Gadag district approved 117 (32%) proposals/applications, followed by Shimoga - 53 (15%), Bidar - 47 (13%), D.Kannada – 41 (11%) and Ramanagaram – 37 (10%) districts in Karnataka State. The DICs of other districts viz., Bagalkot – 3 (0.8%), Bellary – 1 (0.4%), Chikmagalur – 4 (1%), Chikkaballapur – 12 (3%), Chitradurga – 2 (0.5%), Gulbarga – 11 (3%), Haveri – 10 (3%), Kodagu – 2 (0.5%), Mandya – 3 (0.8%), Mysore – 1 (0.3%) and Tumkur – 22 (6%) received and approved few proposals by concerned DICs during the year 2010.



It is found from the above table that DICs in Chamarajnagar, Kolar and Koppal districts did not receive any proposals during the year 2010. The DIC, Yadgir was formed on 30.12.2009 and not conducted any meetings in the year 2010.

It is found from the above table that 95% of proposals were approved by the concerned DICs and only 5% of proposals received were rejected during the year due to various reasons viz., non-availability of plots/sheds in Industrial Area in Gulbarga district, one project approval for stone crushing unit in residential area was rejected by DIC, Mysore and the reasons for rejection are not given by the DIC, Shimoga.

9) Approvals given by DLSWCC for Projects in 2011:

The district-wise data for 2011 received from the respective Joint Directors of District Industries Centers are presented in the following table.

Approvals given by DLSWCC for Projects in 2011

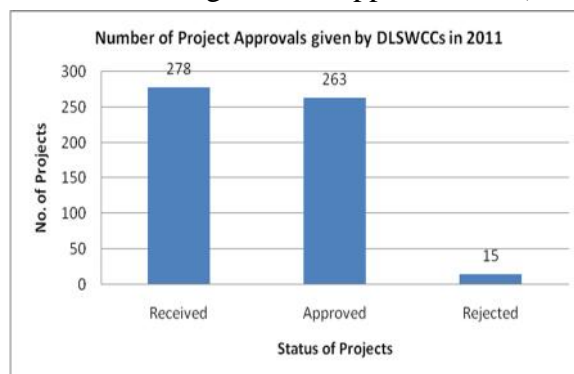
Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	5	5	0	2%	N.A
2.	Bellary	2	2	0	1%	N.A
3.	Bidar	3	3	0	1%	N.A
4.	Chamarajnagar	39	39	0	15%	N.A
5.	Chikmagalur	0	0	0	0%	N.A

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
6.	Chikkaballapur	37	24	13	9%	Promoters were not attended the meeting.
7.	Chitradurga	4	4	0	2%	N.A
8.	D. Kannada	3	3	0	1%	N.A
9.	Gadag	59	59	0	22%	N.A
10.	Gulbarga	0	0	0	0%	N.A
11.	Haveri	8	8	0	3%	N.A
12.	Kodagu	2	2	0	1%	N.A
13.	Kolar	8	8	0	3%	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	4	3	1	1%	Possession Certificate not enclosed by promoter.
16.	Mysore	0	0	0	0%	N.A
17.	Ramanagaram	34	34	0	13%	N.A
18.	Shimoga	23	22	1	8%	Reasons are not provided/ mentioned by the DIC.
19.	Tumkur	45	45	0	17%	N.A
20.	Yadgir	2	2	0	1%	N.A
	Total	278	263	15	100%	
	Percentage of Approved Proposals against Proposals Received					95%
	Percentage of Rejected Proposals against Proposals Received					5%

Source: Questionnaire-I

NA = Not Applicable

It may be observed from the above table that the DIC, Gadag district approved 59 (22%) proposals/applications, followed by Tumkur - 45 (17%), Chamarajnagar - 39 (15%), Ramanagaram - 34 (13%) and Chikkaballapur - 24 (9%) districts in Karnataka State. The DICs of other districts viz., Bagalkot - 5 (2%), Bellary - 3 (1%), Bidar - 3 (1%), Chitradurga - 4 (2%), D.Kannada - 3 (1%), Haveri - 8 (3%), Kodagu - 2 (1%), Kolar - 8 (3%), Mandya - 3 (1%), Shimoga - 22 (8%), and Yadgir- 2 (1%) received and approved a few proposals by the concerned DICs during the year 2011. It is found from the above table that the DICs in Chamarajnagar, Gulbarga, Koppal and Mysore districts did not receive any proposals during the year 2011.



It is found from the above table that 95% of proposals were approved by the concerned DICs and only 5% of proposals received were rejected during the year due to various reasons viz., 13 proposals for non-attendance of promoters in the meeting by the DIC, Chikkaballapur, one proposal by the DIC, Mandya for non-submission of possession certificate by the promoter and the reasons for rejection are not given for one project by the DIC, Shimoga.

10) Approvals given by DLSWCC for Projects in 2012:

The district-wise data for 2012 received from the respective Joint Directors of District Industries Centres are presented in the following table.

Approvals given by DLSWCC for Projects in 2012

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	1	1	0	1%	N.A
2.	Bellary	6	6	0	4%	N.A
3.	Bidar	10	10	0	7%	N.A
4.	Chamarajnagar	0	0	0	0%	N.A
5.	Chikmagalur	21	10	11	7%	Reasons are not provided/ given by the DIC.
6.	Chikkaballapur	36	31	5	22%	Promoters are not attended the DLSWCC Meeting.
7.	Chitradurga	0	0	0	0%	N.A
8.	D. Kannada	4	4	0	3%	N.A
9.	Gadag	3	3	0	2%	N.A
10.	Gulbarga	0	0	0	0%	N.A
11.	Haveri	18	18	0	13%	N.A
12.	Kodagu	0	0	0	0%	Not conducted meetings.
13.	Kolar	0	0	0	0%	N.A
14.	Koppal	0	0	0	0%	N.A
15.	Mandya	20	12	8	8%	Due to detailed discussions with the projects' promoters.
16.	Mysore	6	5	*	3%	One Project approval was postponed to next meetings.
17.	Ramanagaram	4	4	0	3%	N.A
18.	Shimoga	17	13	4	9%	Reasons were not provided/ mentioned by the DIC.
19.	Tumkur	26	26	0	18%	N.A
20.	Yadgir	0	0	0	0%	N.A
Total		172	143	28	100%	
Percentage of Approved Proposals against Proposals Received						83%
Percentage of Rejected Proposals against Proposals Received						17%

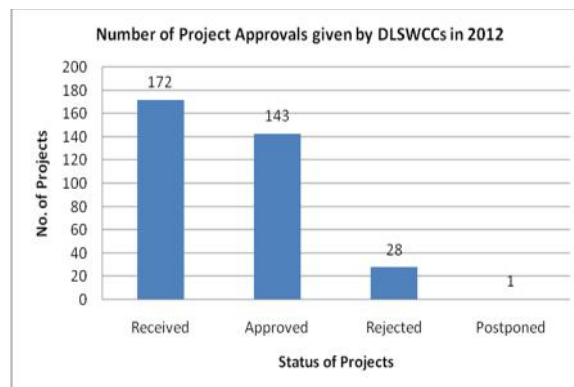
Note: * One Project Proposal postponed to next meeting by the DIC, Mysore District.

Source: Questionnaire –I

NA = Not Applicable

It may be observed from the above table that the DIC, Chikkaballapur district approved 31 (22%) proposals/applications, followed by Tumkur - 26 (18%), Haveri - 18 (13%), Shimoga – 13 (9%) and Mandya – 12 (8%) districts in Karnataka State. The DICs of other districts viz., Bagalkot – 1 (1%), Bellary – 6 (4%), Bidar – 10 (7%), Chikmagalur – 10 (7%), D.Kannada – 4 (3%), Gadag – 3 (2%), Mysore – 5 (3%) and Ramanagaram – 4 (3%) received and approved few proposals by concerned DICs during the year 2012. It is found that DICs in Chamarajnagar, Chitradurga, Gulbarga, Kodagu, Kolar, Koppal and Yadgir districts did not receive any proposals during the year 2012.

It is found from the above table that 83% of proposals were approved by the concerned DICs and only 17% of proposals received were rejected during the year due to various reasons viz., non-attendance of promoters to DLSWCC Meeting in Chikkaballapur district, due to detailed discussions on the projects taken up by the promoters in Mandya district, and the reasons for rejection are not given for rejection of two projects by the DICs of Chikmagalur and Shimoga.



11) Approvals given by DLSWCC for Projects in 2013:

The district-wise data for 2013 received from the respective Joint Directors of District Industries Centres are presented in the following table.

Approvals given by DLSWCC for Projects in 2013

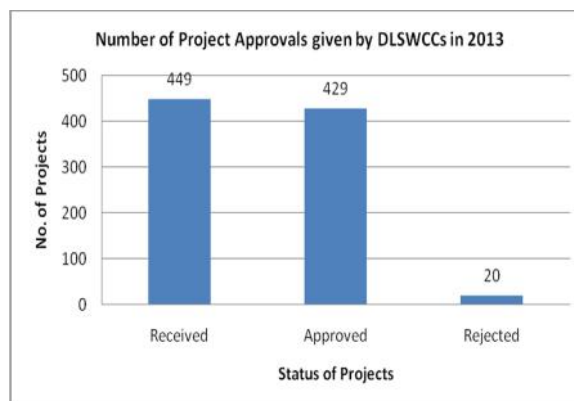
Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	0	0	0	0.0%	N.A
2.	Bellary	2	2	0	0.5%	N.A
3.	Bidar	125	125	0	29.1%	N.A
4.	Chamarajnagar	0	0	0	0.0%	N.A
5.	Chikmagalur	3	3	0	0.7%	N.A
6.	Chikkaballapur	12	1	11	0.2%	(1) Industrial Area not fully developed; (2) Projects applied for approvals are water incentive based and polluting industries.
7.	Chitradurga	72	72	0	16.8%	N.A
8.	D. Kannada	2	2	0	0.5%	N.A
9.	Gadag	10	10	0	2.3%	N.A
10.	Gulbarga	0	0	0	0.0%	N.A
11.	Haveri	15	15	0	3.5%	N.A
12.	Kodagu	0	0	0	0.0%	Not conducted any meetings during the year.
13.	Kolar	28	28	0	6.5%	N.A
14.	Koppal	0	0	0	0.0%	N.A
15.	Mandya	29	24	5	5.6%	Due to detailed discussions with the projects' promoters.
16.	Mysore	1	0	1	0.0%	Land conversion for stationery business, which is not come under industry was rejected.
17.	Ramanagaram	13	13	0	3.0%	N.A
18.	Shimoga	27	24	3	5.61%	Reasons are not provided/ mentioned by the DIC.
19.	Tumkur	110	110	0	25.6%	N.A

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
20.	Yadgir	0	0	0	0.0%	N.A
	Total	449	429	20	100%	
	Percentage of Approved Proposals against Proposals Received					96%
	Percentage of Rejected Proposals against Proposals Received					4%

Source: Questionnaire-I

NA = Not Applicable

It may be observed from the above table that the DIC, Bidar district approved 125 (29%) proposals/applications, followed by Tumkur - 110 (26%), Chitradurga - 72 (17%) and Kolar – 28 (7%) districts in Karnataka State. The DICs of other districts viz., Bellary – 2 (0.5%), Chikmagalur – 3 (0.7%), Chikkaballapur – 1 (0.2%), D.Kannada – 2 (0.5%), Gadag – 10 (2.3%), Haveri – 15 (3%), Mandya – 24 (6%), Ramanagaram – 13 (3%) and Shimoga - 24 (6%) received and approved few proposals by concerned DICs during the year 2013. It is found that DICs of Bagalkot, Chamarajnagar, Gulbarga, Kodagu, Koppal and Yadgir districts did not receive any proposals during the year 2013.



It is found from the above table that 96% of proposals were approved by the concerned DICs and only 4% of proposals received were rejected during the year due to various reasons viz., 11 proposals due to Industrial Area not fully developed; and Projects applied for approvals are water incentive based and polluting industries by DIC, Chikkaballapur; five proposals for detailed discussions with the projects' promoters by DIC, Mandya; one proposal due to Land conversion for stationery business, which is not come under industry by DIC, Mysore; and the reasons for rejection are not given for rejection of three projects by the DIC, Shimoga.

12) Approvals given by DLSWCC for Projects in 2014:

The district-wise data for 2014 received from the respective Joint Directors of District Industries Centres are presented in the following table.

Approvals given by DLSWCC for Projects in 2014

Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
1.	Bagalkot	2	2	0	0.8%	N.A
2.	Bellary	6	6	0	2.4%	N.A
3.	Bidar	19	19	0	7.5%	N.A
4.	Chamarajnagar	22	22	0	8.7%	N.A
5.	Chikmagalur	2	2	0	0.8%	N.A
6.	Chikkaballapur	1	1	0	0.4%	N.A
7.	Chitradurga	0	0	0	0.0%	N.A

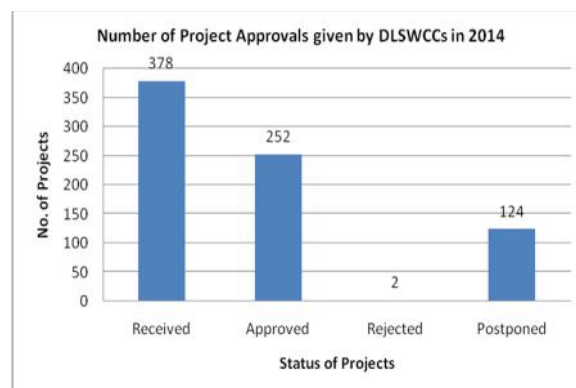
Sl. No	Name of District	Year-wise No. of Proposals			%age of Approved Proposals	Reasons/ Constraints for not approving
		Received	Approved	Rejected		
8.	D. Kannada	15	15	0	6.0%	N.A
9.	Gadag	10	10	0	4.0%	N.A
10.	Gulbarga	0	0	0	0.0%	N.A
11.	Haveri	5	5	0	2.0%	N.A
12.	Kodagu	0	0	0	0.0%	N.A
13.	Kolar	26	26	0	10.3%	N.A
14.	Koppal	0	0	0	0.0%	N.A
15.	Mandya	12	12	0	4.8%	N.A
16.	Mysore	155	31	*	12.3%	124 Project approvals were postponed to next meetings.
17.	Ramanagaram	22	22	0	8.7%	N.A
18.	Shimoga	21	19	2	7.5%	Reasons are not provided/ mentioned by the DIC.
19.	Tumkur	50	50	0	19.8%	N.A
20.	Yadgir	10	10	0	4.0%	N.A
	Total	378	252	2	100%	
	Percentage of Approved Proposals against Proposals Received					67%
	Percentage of Rejected Proposals against Proposals Received					1%
	Percentage of Postponed Proposals against Proposals Received					33%

Note: * 124 Project Approvals were postponed to next meeting by the DIC, Mysore District.

Source: Questionnaire-I

NA = Not Applicable

It may be observed from the above table that the DIC, Tumkur district approved 50 (20%) proposals/applications, followed by Mysore - 31 (12%), Kolar - 26 (10%), Chamarajnagar – 22 (9%) and Ramanagaram – 22 (9%) districts in Karnataka State. The DICs of other districts viz., Bagalkot – 2 (0.8%), Bellary – 6 (2%), Bidar – 19 (8%), Chikmagalur – 2 (0.8%), Chikkaballapur – 1 (0.4%), D.Kannada – 15 (6%), Gadag – 10 (4%), Haveri – 5 (2%), Mandya – 12 (5%), Shimoga – 19 (8%) and Yadgir - 10 (4%) received and approved few proposals by concerned DICs during the year 2013. It is found that DICs of Chitradurga, Gulbarga, Kodagu and Koppal districts did not receive any proposals during the year 2014.



It is found from the above table that 67% of proposals were approved by the concerned DICs; 33% of the proposals were postponed to next meetings by the DIC, Mysore district; and only 1% of proposals received were rejected during the year due to various reasons viz., the reasons for rejection are not given for rejection of two projects by the DIC, Shimoga.

It may be observed from the foregoing analysis that the districts with lowest number of approvals during earlier years have picked up with good response in terms of approvals during

later years. On the other hand, it is also observed that some of the districts with highest number of approvals did not maintain consistency during the period 2003-2014

It is necessary to analyze the reasons for the rejections though the percentage of rejections is very less (4.6%) during the period. It may be added that online monitoring of the proposals from the pre submission stage would go a long way in expeditious approval of the proposals.

8.2 PROCEDURE ADOPTED BY THE COMMITTEE FOR GRANT OF APPROVALS

The district-wise responses (from the filled-questionnaires provided by the respective DICs) received from the respective Joint Directors of District Industries Centres on the procedure adopted by the DLSWCCs for grant of approvals are presented in the following table.

Procedure adopted by the DLSWCCs

S.No	Name of District	Responses on “Procedure adopted by the DLSWCCs”
1.	Bagalkot	The received proposals will be scrutinized at DIC level and the same will be placed before SWA for approval.
2.	Bellary	Obtained the required information in prescribed form, placing it before Committee for decision and approval.
3.	Bidar	After receiving the proposals for approval, the Sub-committee will conduct Screening Committee Meeting and recommend viable projects for approval by the DLSWCC.
4.	Chamarajnagar	As per Facilitation Act, the proposals received are placed before the DLSWCC and granted the approvals as per the Committee decisions.
5.	Chikmagalur	The proposals are scrutinized, placed before District Level Screening Committee and finally before DLSWCC for approval.
6.	Chikkaballapur	Based on the availability of land/sheds in industrial areas/estates; and viability of the project (project appraisal), the projects will be approved in the DLSWCC.
7.	Chitradurga	Member Secretary places the proposals before the DLSWCC Committee. The Committee discussed and approved the projects.
8.	Dakshana Kannada	For land and shed allotment, Sub-committee meeting will be held before placing DLSWCC. After verifying the merits of the applicants, DLSWCC makes allotments.
9.	Gadag	The approvals are given by following the guidelines of respective Departments viz., KIADB, KSSIDC, etc.
10.	Gulbarga	The projects will be approved as per Karnataka Facility Act.
11.	Haveri	The projects will be approved as per Karnataka Facility Act.
12.	Kodagu	Under the Chairmanship of the DC, Kodagu, DLSWCC meetings were conducted and approved the projects.
13.	Kolar	Under the Chairmanship of the DC, approvals are granted in the DLSWCC.
14.	Koppal	The DIC is receiving the proposals from entrepreneurs and placing before the Committee for approval. Based on the meeting proceedings, project

S.No	Name of District	Responses on “Procedure adopted by the DLSWCCs”
		approval certificates were issued from the JD, DIC to entrepreneurs.
15.	Mandya	Put the proposals in Sub-committee meeting after that, DLSWCC sanctions land or plot.
16.	Mysore	Sub-committee scrutinizes the applications before placing DLSWCC.
17.	Ramanagaram	The DLSWCC Meetings were conducted as per Karnataka Facility Act.
18.	Shimoga	The DLSWCC Meetings were conducted as per Karnataka Facility Act.
19.	Tumkur	The DLSWCC Meetings were conducted as per Karnataka Facility Act.
20.	Yadgir	The proposal for project clearance in prescribed format is placed in the DLSWCC meeting along with the project details and cleared after deliberations.

Source: Questionnaire-1

It is understood that the procedure adopted by the DLSWCCs are the same in almost all the districts. The concerned Joint Director (JD) receives the proposals from the prospective entrepreneurs, scrutinizes at District Industries Centre (DIC) level and the same will be placed before DLSWCC for approval headed by the Deputy Commissioner.

8.3 DISTRICT-WISE AVERAGE TIME TAKEN TO APPROVE/REJECT THE PROPOSALS

The study team collected data on “the average time taken for deciding on the project approvals from each of the DLSWCCs (as per the filled-questionnaires by the respective JDs), causes for those taking more time and methods to reduce the approval time” are collected from the concerned DICs and the responses given by each of the DICs are presented in the following table.

District-wise Average Time taken by DLSWCCs to approve Projects

Sl. No	Name of District	Average time taken by DICs for Projects		Suggested causes from DLSWCC for taking more time to approve the projects	Suggestions from DLSWCC in reducing the time to approve the projects
		Approving	Rejecting		
1.	Bagalkot	1-2 Months	1-2 Months	The SWA meeting will be conveyed as per the convenient date given by the Deputy Commissioner and whenever the proposals received by the entrepreneur, meeting will be conducted as early as possible.	Present system/ directions are holds good.
2.	Bellary	As and when DLSWCC Meeting takes place	As and when DLSWCC Meeting takes place	(1) Normally once in three months; (2) Pre-occupation by Chairman in other works; and (3) Not many proposals for approval.	--

Sl. No	Name of District	Average time taken by DICs for Projects		Suggested causes from DLSWCC for taking more time to approve the projects	Suggestions from DLSWCC in reducing the time to approve the projects
		Approving	Rejecting		
3.	Bidar	After recommendation of Sub-Committee, the Member Secretary of the DLSWCC put up the proposals immediately before the DLSWCC for approval.	As the Sub-committee recommends viable projects only, hence there is no question of rejection by the DLSWCC.	After approval of the projects by the DLSWCC, Member Secretary of the DLSWCC and Joint Director, DIC send the proceedings to the concerned department for immediate allotment/sanction/ approvals of the projects.	Conducting frequent DLSWCC will reduce time in approving the projects. Some of the powers should be allocated to the Deputy Development Officer, KIADB, Bidar regarding the allotment of plots in KIADB Industrial Area from Development Officer, KIADB, Kalaburagi. The Office of the KSSIDC should be strengthened at Bidar for smooth functioning of the concerned corporation related to Bidar district Industrial Estates.
4.	Chamarajnagar	30 days	30 days	NA	Every month the meeting to be taken as per Facilitation Act. But some problems, it will take 2-3 months for conducting meeting.
5.	Chikmagalur	30 to 45 days	30 to 45 days	NA	NA
6.	Chikballapur	2 Months	2 Months	NA	NA
7.	Chitradurga	1 Week	1 Week	NA	NA
8.	D. Kannada	1 Month	1 Month	Non-availability of land.	(1) Quick development of industrial estates readily available for allotment. (2) Continuous power supply to industrial estates.
9.	Gadag	Within 3 Months	1 – 2 Years (Not directly rejecting)	The reasons is concerned departments like KIADB, KSSIDC, HESCOM, Water Resource Department, local authorities will take time to give clearances to the project.	The Departments should be given time frame for clearing the projects, if the promoters given the required documents. Otherwise it should be treated as deemed to be approved.
10.	Gulbarga	Approved in the same DLCC Meeting	Rejected in the same DLCC Meeting.	Project proposal has been approved in the meeting on the same day.	NA

Sl. No	Name of District	Average time taken by DICs for Projects		Suggested causes from DLSWCC for taking more time to approve the projects	Suggestions from DLSWCC in reducing the time to approve the projects
		Approving	Rejecting		
11.	Haveri	2 – 3 Months	2 – 3 Months	For want of date and time from the Deputy Commissioner/ Chairman of DLSWCC.	Since, Haveri district is an agro based district, there are very meager proposals are coming. There is no need to reduce the time.
12.	Kodagu	6 Months	--	Delay in obtaining opinion from other departments.	Instructions to be given to the concerned department to expedite the approval.
13.	Kolar	2 Months	1 Month	NA	NA
14.	Koppal	2 Months	2 Months	After receiving the applications from the entrepreneurs, the DIC will put a note to the Deputy Commissioner to fix the DLWCC Meeting. Accordingly, on fixing of the date by the DC, the meeting will be conducted.	The DIC is issuing the clearances after proceedings of the meeting to the entrepreneurs.
15.	Mandya	2 Months	2 Months	NA	NA
16.	Mysore	2 Months	2 Months	The causes mainly not conducting monthly DLSWCC and participation of DLSWCC members in fast decision making.	By conducting monthly DLSWCC.
17.	Rama-nagaram	Within 1 week	Within 1 week	NA	NA
18.	Shimoga	1 Week	1 Week	Depends on the small issues like power, marketing, land acquisition, etc	If we conduct regular DLSWCC meetings, we can reduce the time in approving the projects.
19.	Tumkur	1 Month	1 Month	Because internal Departmental approval/ licensing procedure of the Department.	NA
20.	Yadgir	2 Months	2 Months	Meetings are being held bimonthly because of busy schedules.	Meetings should be held every month.

Note: NA = Not Applicable/Non-availability

Source: Questionnaire -I

It may be observed from the above table that majority of the DICs expressed that it will take 2 to 3 months' average time to approve or reject the proposal in the DLSWCC depending upon the quantum of proposals received, time availability of Deputy Commissioner, availability of infrastructure (land/sheds, power, etc), immediate necessity to conduct the meeting for project approvals, etc. The project approvals/ issues in each of the districts are different based on the availability of infrastructure facilities for projects in each of the districts. It is found from the

table that the average time to approve or reject the proposal varies from 1 week to 6 months depending upon the conditions prevailing in some of the districts and fulfillment of formalities by entrepreneurs to get from the respective departments/ agencies/local bodies.

It is observed from the discussions with the officials of DICs during the field study that the Deputy Commissioner (DC) will take his own time to fix the date of meeting based on the busy schedule/preoccupation of the DC and immediate importance to fix DLSWCC meeting. It also depends on the infrastructure facilities readily available for industries/projects in the respective districts viz., availability of land/sheds, power lines, water supply, roads, sewerage facilities, transportation facilities, etc. In some of the cases, the entrepreneurs have to fulfill the stipulated formalities/conditions for approving/getting licenses from Pollution Control Board, Electricity Board, Local Bodies and other departments. The entrepreneurs are also supposed to get other licenses, which will be approved on submission of minimum documents/formalities. All these stipulated conditions to issue licenses vary from Department/Board/Corporation to Department/Board/Corporation.

It is found from the discussions with the officials of DICs during the field study that if DLSWCC meetings were conducted every month, then the time taking to approve the projects may be reduced to some extent. The Departments like KIADB, KSSIDC, Electricity Companies, Water Resource Department, local authorities/bodies will take time to give clearances to the project.

The DLSWCCs will issue/approve provisional clearances to entrepreneurs in principle to start/set up the projects in the respective districts and the entrepreneurs have to fulfill all the stipulated conditions/formalities or have to submit required documents to get licenses from respective agencies/departments/boards as per rules.

It can be seen from the foregoing analysis that there is a wide disparity among the districts in the average time taken for approvals it is as low as one week in the case of Chitradurg and Shimoga and on the other extreme the districts of Kodagu is taking 6 months for the clearance of the proposals. There are many reasons for delay in clearing the proposals including lack of availability of developed estates preoccupation of chairman in other works etc. It is therefore necessary to have some uniform time frame for clearance of the approvals and this may facilitate in the reduction of average time required for the clearance of the proposals. The mandatory requirements regarding the conduct of meetings irrespective of availability of proposals may be complied with to reduce the average time.

The issue of average time taken to approve the proposals has got far reaching economic implications having an influence on the cost structure, finances, marketing and other functions of business proposed to be carried out in the estates. Obviously a long time gap between the filing up of application and approval would result in cost overruns and time overruns due to delays in execution of the projects hence there is every need to take minimal possible time to approve the projects by reducing the bureaucratic procedures through available electronic communication system.

ANALYSIS OF MEETINGS OF DLSWCCs

8.4 YEARWISE NUMBER OF MEETINGS CONDUCTED BY DLSWCCs

The study team collected the year-wise data on meetings conducted by DLSWCCs for the years from 2003 to 2014 and are presented in Tables 3.2 to 3.13.

1) Number of Meetings conducted by DLSWCCs in 2003

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2003 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2003

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Gadag and Kodagu
2.	2	Bagalkot, Chamarajnagar, Chikmagalur, D. Kannada and Gulbarga
3.	3	Chitradurga, Koppal and Mandya
4.	4	Bellary, Haveri and Shimoga
5.	Data not available/ provided by DIC.	Bidar, Kolar, Mysore and Ramanagaram
6.	N.A (District not yet formed)	Chikkaballapur and Yadgir
7.	DLSWCC not yet formed	Tumkur

Source: Questionnaire –I

NA = Not Applicable

It may be observed from the above table that four DLSWCC meetings were conducted by three DICs (Bellary, Haveri and Shimoga) compare to other DICs; three meetings by three DICs (Chitradurga, Koppal and Mandya); two meetings by five DICs (Bagalkot, Chamarajnagar, Chikmagalur, D. Kannada and Gulbarga) and only one meeting by two DICs (Gadag and Kodagu) during the year 2003 in Karnataka State.

It may be observed from the above table that four DICs (Bidar, Kolar, Mysore and Ramanagaram) did not provide data due to non-availability. Two districts (Chikkaballapur and Yadgir) did not form as new districts till 2003. The DIC, Tumkur did not form DLSWCC in 2003.

It is found from the above table that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve the project approvals under the Chairmanship of the DC but not conducted once in a month as per guidelines.

2) Number of Meetings conducted by DLSWCCs in 2004

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2004 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2004

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	D. Kannada
2.	2	Chitradurga and Kodagu
3.	3	Bagalkot, Chamarajnagar, Haveri, Koppal, Mandya and Tumkur
4.	4	Bellary and Chikmagalur
5.	5	Gulbarga
6.	7	Gadag and Shimoga
7.	Data not available/ provided by DIC.	Bidar, Kolar, Mysore and Ramanagaram
8.	0 (District not yet formed)	Chikkaballapur and Yadgir

Source: Questionnaire –I

It is observed from the above table that seven DLSWCC meetings were conducted by two DICs (Gadag and Shimoga) compare to other DICs; five meetings by one DIC (Gulbarga); four meetings by two DICs (Bellary and Chikmagalur); three meetings by six DICs (Bagalkot, Chamarajnagar, Haveri, Koppal, Mandya and Tumkur); two meetings by two DICs (Chitradurga and Kodagu) and only one meeting by one DIC (D. Kannada) during the year 2004 in Karnataka State.

It is observed from the above table that four DICs (Bidar, Kolar, Mysore and Ramanagaram) did not provide data due to non-availability; and two districts (Chikkaballapur and Yadgir) did not form as new districts till 2004. It is found that all the DICs conducted few DLSWCC Meetings depending on requirement to approve the project approvals under the Chairmanship of the DC.

3) Number of Meetings conducted by DLSWCCs in 2005

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2005 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2005

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	2	Bagalkot
2.	3	Gulbarga, Kodagu and Koppal
3.	4	Bellary, Chamarajnagar, D. Kannada and Mysore
4.	5	Haveri and Tumkur
5.	6	Chikmagalur, Mandya and Shimoga
6.	7	Chitradurga
7.	10	Gadag
8.	Data not available/ provided by DIC.	Bidar, Kolar and Ramanagaram
9.	0 (District not yet formed)	Chikkaballapur and Yadgir

Source: Questionnaire – I

It may be observed from the above table that ten DLSWCC meetings were conducted by one DIC (Gadag) compare to other DICs; seven meetings by one DIC (Chitradurga); six meetings by three DICs (Chikmagalur, Mandya and Shimoga); five meetings by two DICs (Haveri and Tumkur); four meetings by four DICs (Bellary, Chamarajnagar, D. Kannada and Mysore); three meetings by three DICs (Gulbarga, Kodagu and Koppal) and two meetings by one DIC (Bagalkot) during the year 2005 in Karnataka State.

It is observed from the above table that four DICs (Bidar, Kolar and Ramanagaram) did not provide data due to non-availability; and two districts (Chikkaballapur and Yadgir) did not form as new districts till 2005. It is found that all the DICs conducted few DLSWCC Meetings depending on requirement to approve the project approvals under the Chairmanship of the DC.

4) Number of Meetings conducted by DLSWCCs in 2006

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2006 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2006

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu
2.	3	Koppal
3.	4	Chitradurga, Gadag, Mandya and Shimoga
4.	5	Bagalkot and Chikmagalur
5.	6	Chamarajnagar and Mysore
6.	7	Gulbarga, Haveri and Tumkur
7.	8	Bellary and D. Kannada
8.	Data not available/ provided by DIC.	Bidar, Kolar and Ramanagaram
9.	0 (District not yet formed)	Chikkaballapur and Yadgir

Source: Questionnaire - I

It may be observed from the above table that eight DLSWCC meetings were conducted by two DICs (Bellary and D. Kannada) compare to other DICs; seven meetings by three DICs (Gulbarga, Haveri and Tumkur); six meetings by two DICs (Chamarajnagar and Mysore); five meetings by two DICs (Bagalkot and Chikmagalur); four meetings by four DICs (Chitradurga, Gadag, Mandya and Shimoga); three meetings by one DIC (Koppal) and only one meeting by one DIC (Kodagu) during the year 2006 in Karnataka State.

It may be observed from the above table that three DICs (Bidar, Kolar and Ramanagaram) did not provide data due to non-availability; and two districts (Chikkaballapur and Yadgir) did not form as new districts till 2006.

It is found from the above table that all the DICs conducted few DLSWCC Meetings depending on requirement to approve project approvals under the Chairmanship of the Deputy Commissioner of the District.

5) Number of Meetings conducted by DLSWCCs in 2007

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2007 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2007

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	3	Chamarajnagar Kodagu Koppal Tumkur
2.	4	Chikmagalur Haveri
3.	5	Bagalkot Chitradurga D. Kannada Gulbarga Mandya Shimoga
4.	6	Gadag
5.	7	Bellary Mysore
6.	Data not available/ provided by DIC.	Bidar, Kolar and Ramanagaram
7.	0 (District not yet formed)	Chikkaballapur and Yadgir

Source: Questionnaire - I

It is observed from the above table that eight DLSWCC meetings were conducted by two DICs (Bellary and D. Kannada) compare to other DICs; seven meetings by three DICs (Gulbarga, Haveri and Tumkur); six meetings by two DICs (Chamarajnagar and Mysore); five meetings by two DICs (Bagalkot and Chikmagalur); four meetings by four DICs (Chitradurga, Gadag, Mandya and Shimoga); three meetings by one DIC (Koppal) and only one meeting by one DIC (Kodagu) during the year 2007 in Karnataka State.

It is observed from the above table that three DICs (Bidar, Kolar and Ramanagaram) did not provide data due to non-availability; and two districts (Chikkaballapur and Yadgir) did not form as new districts till 2007. It is found from the above that all the DICs conducted few DLSWCC Meetings depending on requirement to approve project approvals under the Chairmanship of the Deputy Commissioner.

3) Number of Meetings conducted by DLSWCCs in 2008

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2008 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2008

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu, Kolar and Tumkur
2.	2	Bagalkot
3.	3	Chikmagalur, Koppal and Mandya
4.	4	Chamarajnagar, Gulbarga and Shimoga
5.	5	Chikkaballapur
6.	6	Bellary, Chitradurga, D. Kannada, Gadag and Haveri

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
7.	7	Mysore
8.	Data not available/ provided by DIC.	Bidar and Ramanagaram
9.	0 (District not yet formed)	Yadgir

Source: Questionnaire - I

It is understood from the above table that seven DLSWCC meetings were conducted by one DIC (Mysore) compare to other DICs; six meetings by five DICs (Bellary, Chitradurga, D. Kannada, Gadag and Haveri); five meetings by one DIC (Chikkaballapur); four meetings by three DICs (Chamarajnagar, Gulbarga and Shimoga); three meetings by three DICs (Chikmagalur, Koppal and Mandya); two meetings by one DIC (Bagalkot) and only one meeting by three DICs (Kodagu, Kolar and Tumkur) during the year 2008 in Karnataka State.

It is observed from the above table that three DICs (Bidar and Ramanagaram) did not provide data due to non-availability; and Yadgir district did not form as new district till 2008. It is found that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve project under the Chairmanship of the DC.

7) Number of Meetings conducted by DLSWCCs in 2009

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2009 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2009

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu and Ramanagaram
2.	2	Bagalkot, Chamarajnagar, Chikkaballapur and Kolar
3.	3	Chitradurga, Gulbarga, Koppal, Mandya and Mysore
4.	4	Bellary, D. Kannada, Gadag and Shimoga
5.	5	Haveri and Tumkur
6.	9	Chikmagalur
8.	Data not available/ provided by DIC.	Bidar
9.	0 (District not yet formed)	Yadgir District was formed on 30.12.09

Source: Questionnaire – I

It is observed from the above table that nine DLSWCC meetings were conducted by one DIC (Chikmagalur) compare to other DICs; five meetings by two DICs (Haveri and Tumkur); four meetings by four DICs (Bellary, D. Kannada, Gadag and Shimoga); three meetings by five DICs (Chitradurga, Gulbarga, Koppal, Mandya and Mysore); two meetings by four DICs (Bagalkot, Chamarajnagar, Chikkaballapur and Kolar) and only one meeting by two DICs (Kodagu and Ramanagaram) during the year 2009 in Karnataka State.

It is observed from the above table that one DIC (Bidar) has not provided data due to non-availability. Yadgir district was formed as new district on 30.12.2009 and unable to form DLSWCC. It is found that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve project under Chairmanship of the DC.

8) Number of Meetings conducted by DLSWCCs in 2010

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2010 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2010

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu and Mysore
2.	2	Chitradurga
3.	3	Bagalkot, Bidar, Chamarajnagar, Chikkaballapur, Kolar, Koppal and Mandya
4.	4	D. Kannada, Gadag, Haveri and Shimoga
5.	5	Bellary and Chikmagalur
6.	6	Gulbarga, Ramanagaram and Tumkur
7.	0 (District not yet formed)	Yadgir District was formed on 30.12.09 and not formed DLWCC.

Source: Questionnaire - I

It is observed from the above table that six DLSWCC meetings were conducted by three DICs (Gulbarga, Ramanagaram and Tumkur) compare to other DICs; five meetings by two DICs (Bellary and Chikmagalur); four meetings by four DICs (D. Kannada, Gadag, Haveri and Shimoga); three meetings by seven DICs (Bagalkot, Bidar, Chamarajnagar, Chikkaballapur, Kolar, Koppal and Mandya); two meetings by one DIC (Chitradurga) and only one meeting by two DICs (Kodagu and Mysore) during the year 2010 in Karnataka State. Yadgir district was formed as new district on 30.12.2009 and is unable to form DLSWCC, so not conducted any meetings.

It is found from the above table that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve project approvals under Chairmanship of the DC.

9) Number of Meetings conducted by DLSWCCs in 2011

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2011 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2011

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu
2.	2	Bellary, Bidar, Haveri and Ramanagaram

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
3.	3	Chamarajnagar, Chikmagalur, Koppal, Mandya & Shimoga
4.	4	Chikkaballapur, Chitradurga, Kolar and Mysore
5.	5	Bagalkot, D. Kannada and Gulbarga
6.	6	Tumkur and Yadgir
7.	8	Gadag

Source: Questionnaire - I

It may be observed from the above table that eight DLSWCC meetings were conducted by one DIC (Gadag) compare to other DICs; six meetings by two DICs (Tumkur and Yadgir); five meetings by three DICs (Bagalkot, D. Kannada and Gulbarga); four meetings by four DICs (Chikkaballapur, Chitradurga, Kolar and Mysore); three meetings by five DICs (Chamarajnagar, Chikmagalur, Koppal, Mandya & Shimoga); two meetings by four DICs (Bellary, Bidar, Haveri and Ramanagaram) and only one meeting by one DIC (Kodagu) during the year 2011 in Karnataka State.

It is found that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve projects under Chairmanship of the DC.

10) Number of Meetings conducted by DLSWCCs in 2012

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2012 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2012

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	0	Kodagu
2.	1	Koppal
3.	2	Bellary, Bidar, Chikkaballapur, Chitradurga, Kolar, Ramanagaram, Shimoga and Yadgir
4.	3	Chamarajnagar, Gadag and Mandya
5.	4	Bagalkot, Gulbarga, Mysore and Tumkur
6.	5	Chikmagalur, D. Kannada and Haveri

Source: Questionnaire – I

It may be observed from the above table that five DLSWCC meetings were conducted by three DICs (Chikmagalur, D. Kannada and Haveri) compare to other DICs; four meetings by four DICs (Bagalkot, Gulbarga, Mysore and Tumkur); three meetings by three DICs (Chamarajnagar, Gadag and Mandya); two meetings by eight DICs (Bellary, Bidar, Chikkaballapur, Chitradurga, Kolar, Ramanagaram, Shimoga and Yadgir) and only one meeting by one DIC (Koppal) during the year 2012 in Karnataka State. The DIC, Kodagu has not conducted any meetings in 2012 as there is no business/project approval to transact during the year.

It is found that all the DICs except DIC, Kodagu district conducted a few DLSWCC Meetings depending on requirement to approve projects under Chairmanship of the DC.

11) Number of Meetings conducted by DLSWCCs in 2013

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2013 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2013

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	0	Kodagu
2.	1	Bellary and Yadgir
3.	2	Ramanagaram
4.	3	Chamarajnagar, Chikmagalur, Chikkaballapur, Gadag, Gulbarga, Kolar, Mandya, Mysore and Shimoga
5.	4	Bagalkot, Bidar, Chitradurga, Haveri, Koppal and Tumkur
6.	5	D.Kannada

Source: Questionnaire - I

It may be observed from the above table that five DLSWCC meetings were conducted by one DIC (D.Kannada) compare to other DICs; four meetings by six DICs (Bagalkot, Bidar, Chitradurga, Haveri, Koppal and Tumkur); three meetings by nine DICs (Chamarajnagar, Chikmagalur, Chikkaballapur, Gadag, Gulbarga, Kolar, Mandya, Mysore and Shimoga); two meetings by one DIC (Ramanagaram) and only one meeting by two DICs (Bellary and Yadgir) during the year 2013 in Karnataka State. The DIC, Kodagu district has not conducted any meetings in 2013 as there is no business/project approval(s) to approve during the year.

It is found that all the DICs except DIC, Kodagu district conducted a few DLSWCC Meetings depending on requirement to approve projects under Chairmanship of the DC.

12) Number of Meetings conducted by DLSWCCs in 2014

The study team collected year-wise data on the meetings conducted by DLSWCCs from the respective DICs for the year 2014 and is presented in the following table.

Number of Meetings conducted by DLSWCCs in 2014

Sl. No	Number of Meetings conducted by DLSWCCs	Name of Districts
1.	1	Kodagu and Koppal
2.	2	Bagalkot, Bellary, Bidar, Chikmagalur, Chikkaballapur, Gulbarga, Haveri, Mandya, Mysore and Shimoga
3.	3	Chitradurga, Gadag, Kolar, Ramanagaram and Yadgir
4.	4	Chamarajnagar and Tumkur
5.	7	D. Kannada

Source: Questionnaire - I

It may be observed from the above table that seven DLSWCC meetings were conducted by one DIC (D.Kannada) compare to other DICs; four meetings by two DICs (Chamarajnagar and Tumkur); three meetings by five DICs (Chitradurga, Gadag, Kolar, Ramanagaram and Yadgir); two meetings by ten DICs (Bagalkot, Bellary, Bidar, Chikmagalur, Chikkaballapur, Gulbarga, Haveri, Mandya, Mysore and Shimoga) and only one meeting by two DICs (Kodagu and Koppal) during the year 2014 in Karnataka State. It is found that all the DICs conducted a few DLSWCC Meetings depending on requirement to approve projects under Chairmanship of the DC.

It can be seen from the foregoing analysis that lot of year to year variation in the conduct of the meetings and the reasons for this variation may include availability of proposals, officials and other members etc. the conduct of meetings with physical presence of members would involve a difficult procedure and hence it is necessary to involve suitable mechanism using the available technology. For instance online conferences would avoid the complicated procedures at the same time leading to expeditious disposal of the applications and clearances.

8.5 ISSUES AND PROBLEMS DISCUSSED IN THE DLSWCCs

The study team collected responses (from the filled-questionnaires provided by the respective DICs) on the issues discussed in the DLSWCCs from each of the districts and are presented in the following table.

Issues and Problems discussed in DLSWCCs

Sl. No	Name of District	Responses on the “Issues discussed in DLSWCCs”
1.	Bagalkot	Allotment of sites in KSSIDC Estates, Reservation of land for public utility, Commencement of New Industrial Estates, Utilisation of Vishwa Sheds, Allotment of land to KIADB, Green Food Park, Entrepreneurs problems, Disposal of solid waste in Industrial Estates, Sick Industries, Land allotment & fixation of price, Water supply problems, Transfer of land from Forest Department to KIADB, PMEGP Progress review, Implementation of Sarojini Mahishi Report, Allotment of land/ sheds to industrialists, industrial infrastructure development, GIM-2012, Electricity problems, Kalavaibhav Exhibition, Land Bank, approval for new projects, New Industrial Policy 2014-19, etc
2.	Bellary	Regarding basic industrial infrastructure facilities, project approvals, power problems, water problems, clearances from pollution control board, etc
3.	Bidar	Allotment of land and sheds in the industrial area; sanction of electricity, sanction of loans, KSPCB clearances, land conversion, problems for registration of plots and sheds.
4.	Chamaraj-nagar	New Industrial Estate, Formation of Export Orientation, Allotment of Vishwa Sheds to Handloom Society, Power Problems, Export Facilitation, Review of sick units, Dr Sarojini Mahishi, Stamp Duty, Release of Investment Subsidy, Land Conversion, Allotment of KSSIDC Plots, Industrial Meet, Allotment

Sl. No	Name of District	Responses on the “Issues discussed in DLSWCCs”
		Industrial Plots, PMEGP, PMRY, Cluster Development, Investors Meet, KIADB Industrial Area, etc.
5.	Chikmagalur	Land/shed allotments, clearances from KSFCB, labour, factories & boilers, local bodies.
6.	Chikka-ballapur	Regarding infrastructure issues and various problems of approved projects in their implementation, etc.
7.	Chitradurga	Allotment of plots/sheds; Land conversions; Government land sanction & allotment; Project clearances; Declaration of sick industry; Electricity exemption; Road clearances in KIADB; Loan assistance to industries; etc
8.	D. Kannada	Land allotment, Sarojini Mahishi, Industrial Area Estate Problems, PMRY Implementation, VISWA Industrial Estate Problems, Road, Drainage and Street Lighting, UGDC, Shed Allotments, Global Investors Meet, Power problems, Investment Subsidy, KSSIDC Sheds allotment, KIADB land allotment, etc.
9.	Gadag	Land/plot allotments, power problems, water problems, infrastructure, etc.
10.	Gulbarga	Infrastructure, power, water, road, drainage, street lighting, etc. Allotment of Industrial land/sheds.
11.	Haveri	Providing infrastructure to industrial estate, providing KJP to industrial unit in the estate setting up industrial estate solving power. Promoters’ day-to-day problems can be addressed in the meeting.
12.	Kodagu	Allotment of lands/sheds of KSSIDC; PMRY; VISWA; Dr Sarojini Mahishi; Stamp duty exemption; Allotment of living cum work sheds; KSSIDC Stalls; Power connection; Investment subsidy; Employment problems in the district; Acquiring of land for industry purpose; Plots to SC Beneficiaries; etc
13.	Kolar	Allotment of lands/sheds of KSSIDC; PMRY; Dr Sarojini Mahishi; Stamp duty exemption; Power problems; Investment subsidy; Investment subsidy; etc
14.	Koppal	Conducted meetings to discuss general issues. No project proposals were received for approval.
15.	Mandya	Allotment of land/shed; electricity supply of industrial area; implementation of Sarojini Mahishi; Infra Development of Industrial area; Sick unit rehabilitation; Development of Industrial Area; Allotment of Vishwa sheds; water supply; Police out post; Food Park; Garment Zone; Granite Park and Land Bank; Land acquisition; KPTCL MUSS Station; Maintenance of effluents; Police Station in Industrial Area; etc
16.	Mysore	Hotel and software Projects approvals, Project approvals, sales tax, NA Conversions, Project clearances, Infrastructure facilities at Industrial area, Industrial Townships, power issues, cluster development, water supply to IA, Infra at IA, lorry parking facilities, street lighting, Industrial estates, land bank, Global Industries Meet in Mysore, KSSIDC plots allotment, land allotments to entrepreneurs, etc.

Sl. No	Name of District	Responses on the “Issues discussed in DLSWCCs”
17.	Rama-nagaram	Project approvals, land/sheds allotments, power clearances, water board clearances, pollution control clearances, boiler act clearances and other relevant project implementation clearances.
18.	Shimoga	Allotment of land, power problems/clearances, street lighting, water problems and other industry related problems.
19.	Tumkur	The approval projects like land allotments in KIADB, KSSIDC Industrial Estates and private Industrial Area and also given approvals from power, water supply, road and other facilities for industries viz., transportation, ESI, Police Out Post, PCSB Problems, etc.
20.	Yadgir	Identification of new Industrial Area; Employment to local persons; Review of Industrial Development Schemes; Allotment of land/ sheds; Problems of Industrial Area; etc

Source: Questionnaire - I

It may be observed from the above table that all the DLSWCCs have been discussing the industry related problems/clearances for fast implementation and development of industries in Karnataka State in addition to other industry related matters.

Analysis of the issues discussed in DLS meetings in different districts reveals that a wide range of topics is discussed in the meetings. However there is a need to streamline the system by the process of prioritization. For instance decisions relating to relatively small and medium sized projects may be expedited as these projects could be launched with ease after clearances. Projects involving huge investments that may take a longer time for decision making can be disposed off after examining various issues. Similarly, the decisions relating to:

- 1) pre-stage submission of proposals,
- 2) post submission of the proposals,
- 3) pre-investment and post investment,
- 4) project establishment and launching
- 5) taking up of production and marketing

These aspects can be taken into consideration by the DLSWS for prioritization of issues being discussed at the meetings.

8.6 REASONS FOR NOT CONDUCTING THE MEETINGS REGULARLY

The study team collected the responses (from the filled-questionnaires provided by the respective DICs) on “whether DLSWCC is conducting meetings regularly and the reasons for not conducting meeting regularly” were collected from the DLSWCCs of the concerned districts and are presented in the following table (as given in the filled-questionnaires by the respective DICs).

Reasons for not conducting DLSWCC Meetings Regularly

S. No	Name of District	Response given by DLSWCC on conduct of Regular Meetings	Reasons given by DICs for not conducting meetings regularly
1.	Bagalkot	Yes	NA
2.	Bellary	No	(1) Pre-occupancy by Chairman and Vice-Chairman in other works. (2) Not many proposals for approval.
3.	Bidar	Yes	1) There is no vacancy of plots/ sheds in Industrial Estate and plots in Industrial Areas in the district. 2) Lack of infrastructure facilities in Industrial Estate and Industrial Areas in the district. 3) Sometimes due to no issues.
4.	Chamarajnagar	No	Some time the Chairman & DLSWA having other work and also no proposals and no papers to take before the Committee. It will be postponed for next month.
5.	Chikmagalur	No	NA
6.	Chikkaballapur	No	(1) Projects are not forthcoming for approval; (2) The issues which are repetitive in nature will be resolved by means of mutual discussions with the concerned authorities.(3) The district has got two industrial areas.
7.	Chitradurga	Yes	NA
8.	D. Kannada	Yes	NA
9.	Gadag	Yes	NA
10.	Gulbarga	Yes	DLSWCC Meetings are being conducted after fixation of date given by the Deputy Commissioner, Kalaburagi (Gulbarga).
11.	Haveri	Yes	NA
12.	Kodagu	Yes	Nil
13.	Kolar	Yes	Since DC is Chairperson of DLSWCC, as and when he allots time, the meeting is conducted. The other reasons are (1) Lack of projects; (2) Land issues; and (3) Limited Industrial Areas.
14.	Koppal	Yes	NA
15.	Mandya	Yes	(1) Projects are not forthcoming for approval; (2) The issues which are repetitive in nature will be resolved by means of mutual discussions with the concerned authorities. (3) The district has got only three industrial areas.
16.	Mysore	Yes	NA
17.	Ramanagaram	Yes	NA
18.	Shimoga	--	As per the Collector's time availability, we are conducting the meeting.

S. No	Name of District	Response given by DLSWCC on conduct of Regular Meetings	Reasons given by DICs for not conducting meetings regularly
19.	Tumkur	Yes	As per Department order the conducting regularly.
20.	Yadgir	No	Meetings are not being conducted due to busy schedules of Chairman, who is Deputy Commissioner of the District and some times due to lack of issues. Also the DICs are having more vacancies and therefore lack of staff.

Note: 'NA' & '-- ' = Not Applicable & Not provided any data by the respective DIC in the Questionnaire.

Source: Questionnaire - I

It is found from the discussions of officials of DICs during the field study that due to many reasons the DLSWCCs are not conducting meetings regularly once in a month because of :

- DLSWCC Meetings are being conducted after fixation of date by the Deputy Commissioners (DC) keeping in view of pre-occupancy/busy schedules of DC in other works.
- Due to receipt of very less proposals for approval in the districts.
- Lack of infrastructure facilities in Industrial Estate/Industrial Areas in the districts; and also lack of projects; land issues; and limited Industrial Areas.
- Insufficient staff/vacancies at DICs/lack of staff.

8.7 FUNCTIONING OF DLSWCCs AS PER THE INDUSTRIES FACILITATION ACT

The study team collected the responses (Yes or No) from all the DLSWCCs by putting a question – “Whether the DLSWCC is conducting meetings regularly as per the guidelines”. All the DLSWCCs (100%) responded that they have been conducting meetings regularly as per the Industries Facilities Act, 2002.

As per the Industries Facilitation Act, the DLSWCC has to meet at least once in a month at District Headquarters or such other place or places as the Chairman may specify to transact business of the Committee. But it is found that no DLSWCC out of 20 DLSWCCs conducted 12 meetings in any year since commencement of the Industries Facilitation Act, 2002.

8.8 COMMUNICATION OF DECISIONS OF DLSWCCs TO APPLICANTS/ DEPARTMENTS

The study team collected responses from all the DLSWCCs whether the decisions of meetings are communicated to the applicants and departments or authorities concerned; time taken to communicate the decisions; reasons for non-communication; whether the compliance report is received and reviewed by the Committee; and reasons for non-receipt of compliance report & revival. All the responses collected from the DLSWCCs are given in the following table.

Communication of Decisions to Applicants/Departments and Responses on Receiving/Reviving of Compliance Report

S.No	Name of District	Response – After getting approval, Whether communicating to the Applicants/ Departments	Time taken to communicate to the Applicants/ Departments	Reasons for non-communication to Applicants/ Departments	Response on Whether the Compliance Report is received and reviewed	Reasons for receipt of Compliance Report
1.	Bagalkot	Yes	Normally 1 Week	NA	Yes	NA
2.	Bellary	Yes	3 to 5 days	NA	Yes	NA
3.	Bidar	Yes	1 Week	NA	Yes	NA
4.	Chamarajnagar	Yes	15 days	NA	Yes	NA
5.	Chikmagalur	Yes	15 days	NA	Yes	NA
6.	Chikkaballapur	Yes	Within 2 days	NA	Yes	NA
7.	Chitradurga	Yes	Within 10 days	NA	Yes	NA
8.	D. Kannada	Yes	1 Week	NA	Yes	NA
9.	Gadag	Yes	1 Week	NA	Yes	NA
10.	Gulbarga	Yes	Within 1 Week	NA	Yes	NA
11.	Haveri	Yes	1 Week	NA	Yes	NA
12.	Kodagu	Yes	One week from the date of approval.	NA	Yes	NA
13.	Kolar	Yes	Fortnight	NA	Yes	NA
14.	Koppal	Yes	After proceedings, within 4 to 5 days	NA	Yes	NA
15.	Mandya	Yes	Within 2 days	NA	Yes	NA
16.	Mysore	Yes	Within 1 Week	NA	Yes	NA
17.	Ramanagaram	Yes	Within 1 Week	NA	Yes	NA
18.	Shimoga	Yes	Within 1 Week	NA	Yes	NA
19.	Tumkur	Yes	1 Week	NA	Yes	NA
20.	Yadgir	Yes	1 Week	NA	Yes	NA

Source: Questionnaire – I

NA = Not Applicable

Communication of Decisions to Applicants/Departments – Abstract

S.No	Duration	Name of Districts
1.	Within 2 Days	Chikkaballapur and Mandya
2.	Within 5 Days	Bellary and Koppal
3.	Within 1 Week	Bagalkot, Bidar, D. Kannada, Gadag, Gulbarga, Haveri, Kodagu, Mysore, Ramanagaram, Shimoga, Tumkur and Yadgir
4.	Within 10 days	Chitradurga
5.	Within 15 Days	Chamarajnagar, Chikmagalur and Kolar

Source: Questionnaire – 1

It is observed from the above table that majority of the DLSWCCs (60%) expressed that the time taken to communicate the decisions of DLSWCC Meetings to the applicants/concerned departments is one week; three DLSWCCs (15%) took about 15 days time; two DLSWCCs (10%) took 2 days and 5 days respectively and only one DLSWCC took 10 days time to communicate the decisions taken by the DLSWCC to the applicants/concerned departments.

It is found from the discussions with the officials of DICs during the field study that all the DLSWCCs (100%) are communicating the decisions taken in DLSWCCs to the applicants/concerned departments and the time taken to communicate the decisions to the applicants/concerned departments is about one week in general as they have to attend other routine/other works as usual.

It is also found from the discussions during field study that all the DLSWCCs (100%) are receiving and reviving Compliance Report by the DLSWCCs regularly.

On analysis of the table it is observed that the time to communicate to the applicants is two days in one extreme and 15 days at the other end. The time taken to communicate and to receive the response from the applicant may be reduced considerably when the latest communication technology is adopted for instance, online communication of response and complaints may be considered in this context.

8.9 BINDING OF OTHER DEPARTMENTS FOR THE DECISIONS OF DLSWCCs

The survey team collected the responses (from the filled-questionnaires provided by the respective DICs) on whether the decisions taken by DLSWCCs are binding on the other departments or authorities concerned; and reasons for non-binding the other departments/authorities concerned. The responses given by the DLSWCCs are presented in the following table.

Decisions of DLSWCCs in binding Other Departments

Sl. No	Name of District	Response on Whether the Decisions taken by DLSWCC is binding on the other Department or Authority concerned?	Reasons for not binding the Other Departments or Authorities
1.	Bagalkot	Yes	NA
2.	Bellary	Yes	NA
3.	Bidar	Yes	NA
4.	Chamarajnagar	Yes	Other Departments having their own Rules & Policies and having their own processes.
5.	Chikmagalur	Yes	NA
6.	Chikkaballapur	Yes	NA
7.	Chitradurga	Yes	NA
8.	D. Kannada	Yes	NA
9.	Gadag	Yes	NA
10.	Gulbarga	Yes	NA

Sl. No	Name of District	Response on Whether the Decisions taken by DLSWCC is binding on the other Department or Authority concerned?	Reasons for not binding the Other Departments or Authorities
11.	Haveri	Yes	NA
12.	Kodagu	Yes	Yes - binding but other Departments sometime takes their own time to communicate the approvals/ queries on the decision of DLSWCC which in turn led to delay in implementation.
13.	Kolar	Yes	NA
14.	Koppal	Yes	NA
15.	Mandya	Yes	NA
16.	Mysore	Yes	NA
17.	Ramanagaram	Yes	NA
18.	Shimoga	Yes	NA
19.	Tumkur	Yes	NA
20.	Yadgir	Yes	NA

Source: Questionnaire – I

NA = Not Applicable

It may be observed from the above table that decisions taken by all the DLSWCCs (100%) are binding on the other departments or authorities concerned to approve the projects or issuing of clearances for establishment of industries in the respective districts.

It is heartening to note that the decisions taken by DLSWCC are binding on the other departments. The very purpose of single window system is to help in the expediting approvals of the proposals and to reduce the bureaucracy. The facilitation Act included various provisions aimed at fast disposal of approvals on mandatory basis. Apart from this, it is also necessary to consider inter departmental conflicts and the intra departmental conflicts that may crop up and resolution of such conflicts may take some time. The common application form (CAF) covering all the departments of the state would solve some of these issues within the departments and between departments.

8.10 ISSUE OF MEETING NOTICES AND OTHER PROCEDURES

The study team collected responses (from the filled-questionnaires provided by the respective DICs) from all the DLSWCCs whether they are issuing the meeting notices convening the date of meeting, along with agenda notes and proceedings are sent in time (within one week in advance) to the Members of DLSWCCs and the reasons for not adhering to issue notices, along with agenda and proceedings.

The study team also collected responses on “whether DLSWCCs are examining all the proposals before taking decision; whether the Member Secretary is getting the approval from the Chairman (DC) within one week from the date of submission; and reasons/ constraints/ problems in following of these procedures”. All the responses collected from the DLSWCCs are given in the following table.

Issuance of Notices, Examination of Proposals & Approvals by the DC

S.No	Name of District	Response on the issuance of Notices, Agenda & Proceedings	Reasons for not adhering to issue Notices, Agenda & Proceedings	Whether DLSWCC examining all the proposals before taking decisions	Whether Member Secretary is getting the approval from the Chairman within one week	Reasons/problems/ constraints/ limitations in examining & approving the proposals
1.	Bagalkot	Yes	NA	Yes	Yes	NA
2.	Bellary	Yes	NA	Yes	Yes	NA
3.	Bidar	Yes	NA	Yes	Yes	NA
4.	Chamarajnagar	Yes	NA	Yes	Yes	NA
5.	Chikmagalur	Yes	NA	Yes	Yes	NA
6.	Chikkaballapur	Yes	NA	Yes	Yes	NA
7.	Chitradurga	Yes	NA	Yes	Yes	NA
8.	D. Kannada	Yes	NA	Yes	Yes	NA
9.	Gadag	Yes	NA	Yes	Yes	NA
10.	Gulbarga	Yes	NA	Yes	Yes	NA
11.	Haveri	Yes	NA	Yes	Yes	NA
12.	Kodagu	Yes	NA	Yes	No	Chairman of the DLSWCC will be busy in other administrative works.
13.	Kolar	Yes	NA	Yes	Yes	NA
14.	Koppal	Yes	NA	Yes	Yes	NA
15.	Mandya	Yes	NA	Yes	Yes	NA
16.	Mysore	Yes	NA	Yes	Yes	NA
17.	Ramanagaram	Yes	NA	Yes	Yes	NA
18.	Shimoga	Yes	NA	Yes	Yes	NA
19.	Tumkur	Yes	NA	Yes	Yes	NA
20.	Yadgir	Yes	NA	Yes	Yes	NA

Source: Questionnaire – I

NA = Not Applicable

It is found from the above table that all the DLSWCCs are issuing Meeting Notices convening the date of meeting including agenda notes and proceedings are sent in time (within one week in advance) and are adhering to issue notices, agenda and proceedings.

It is also found from the above table that all DLSWCCs are examining all the proposals before taking decisions and the Member Secretary is getting the approval from the Chairman within one week except DLSWCC of Kodagu District. The Members of DLSWCCs also expressed that there are no problems, constraints and limitations in getting approvals from the Chairman.

It gives an encouraging picture of issuance of notices and examination of proposals. However it is necessary to examine the amount of secretarial work in fixing the dates for meetings suitable for all the members of the committee. Proper communication system is necessary so as to reduce the work involved in this regard.

8.11 POWER OF DLSWCC TO APPROVE CLEARANCES OF OTHER DEPARTMENTS

The study team collected the responses (from the filled-questionnaires provided by the respective DICs) from all the DLSWCCs on “whether projects are actually approved by the Committee and if so, whether the details of components such as land, power, water, KSPCB issues are discussed and decisions are taken? And reasons for not adhering”. The responses given by the DLSWCCs are given in the following table.

Power of DLSWCC to Approve the Clearances of Other Department in the Committee

Sl. No	Name of District	Response on whether projects are actually discussed and approved in the DLSWCCs	Reasons for not discussing and approving the projects in the DLSWCCs
1.	Bagalkot	Yes	NA
2.	Bellary	Yes	NA
3.	Bidar	Yes	NA
4.	Chamarajnagar	Yes	Other departments having their own Rules & Policies. Separate application required for different departments.
5.	Chikmagalur	Yes	NA
6.	Chikkaballapur	Yes	NA
7.	Chitradurga	Yes	NA
8.	D. Kannada	Yes	NA
9.	Gadag	Yes	NA
10.	Gulbarga	Yes	NA
11.	Haveri	Yes	NA
12.	Kodagu	Yes	NA
13.	Kolar	Yes	NA
14.	Koppal	Yes	NA
15.	Mandya	Yes	NA
16.	Mysore	Yes	NA
17.	Ramanagaram	Yes	NA
18.	Shimoga	Yes	NA
19.	Tumkur	Yes	NA
20.	Yadgir	Yes	NA

Source: Questionnaire-I

NA = Not Applicable

It is found from the above table that all the DLSWCCs (100%) discussed the details of components such as land, power, water, pollution control issues, and other industrial infrastructure with the concerned officials of departments/boards/authorities in the DLSWCC Meetings and approved the projects/clearances in consultation with the respective authorised officers by the respective Chairman of DLSWCC.

It is observed from the discussions during the field study that based on the proceedings of the meetings, the DICs will issue in principle/ provisional sanction of other project approvals/ clearances to set up industries in their districts. The concerned promoters/ entrepreneurs have to fulfill the norms/guidelines of respective departments/boards and apply for clearances/ approvals with each of the Boards/ Departments/ Corporations separately to get permanent clearances/approvals for their industries.

8.12 RECEIPT OF COMBINED APPLICATION FORM AND SCRUTINISATION BY THE MEMBER SECRETARY OFFICE

The responses (from the filled-questionnaires provided by the respective DICs) were collected by the study team from all the DLSWCCs on “whether the application forms were obtained from the applicants and whether the details in the Combined Application Forms (CAF) are filled up and scrutinized by the Member Secretary Office before placing with DLSWCCs and also reasons for non-receipt of CAF”. The responses given by the DLSWCCs are given in the following table.

Receipt of Combined Application Form & Scrutinisation

Sl. No	Name of District	Response on Receipt of Combined Application Form and scrutiny by the Member Secretary Office	Reasons for non-receipt of Combined Application Form and scrutiny by the Member Secretary Office
1.	Bagalkot	Yes	NA
2.	Bellary	Application form is obtained and combined application form is not obtained.	NA
3.	Bidar	Yes	NA
4.	Chamarajnagar	No	So far, we are not obtained combined application. Only prescribed application from the promoter is taken for allotment of land only.
5.	Chikmagalur	Yes	NA
6.	Chikkaballapur	Yes	NA
7.	Chitradurga	Yes	NA
8.	D. Kannada	Yes	NA
9.	Gadag	Yes	NA
10.	Gulbarga	No	On the basis of memorandum received by the applicant.
11.	Haveri	Yes	NA
12.	Kodagu	Yes	NA
13.	Kolar	Yes	NA
14.	Koppal	Yes	NA
15.	Mandya	Yes	NA
16.	Mysore	Yes	NA
17.	Ramanagaram	Yes	NA
18.	Shimoga	Yes	NA
19.	Tumkur	Yes	NA
20.	Yadgir	Yes	NA

Source: Questionnaire –I

NA = Not Applicable

It may be observed from the above table that all the DLSWCCs except three DLSWCCs (Bellary, Chamarajnagar and Gulbarga Districts) expressed that they are obtaining the filled Combined Application Form from the applicants/entrepreneurs and are scrutinizing by the Member Secretary Office before placing in the DLSWCCs for approving the projects/clearances.

The three DLSWCCs (Bellary, Chamarajnagar and Gulbarga Districts) expressed that they are obtaining the filled in Application Form with required project details from the applicants/entrepreneurs. The applications were scrutinized by the Member Secretary Office before placing with the DLSWCCs for approving the project clearances. The DLSWCCs issued in principle project approvals/clearances to start up industries in their districts. Then, the entrepreneurs applied for separate applications to each of the agencies/departments by fulfilling the formalities required by the respective departments.

The Combined Application Form consists of bunch of applications with all required enclosures as per guidelines to get all project approvals/clearances from all concerned departments/agencies/ bodies at single point.

It is found from the field study during the discussions with the Joint Directors of DICs that almost all DLSWCCs are not obtaining the filled in Combined Application Form from the applicants/entrepreneurs. Almost all the DLSWCCs are obtaining some kind of Application Form (need based) from the applicants. It is also found that the Application Form is also different from district to district. This is mainly depends on the availability of industrial infrastructure, scope & type of industries upcoming, scale of industry, etc, which necessitates approvals/clearances required for setting up of industries in the districts.

It is observed that most of the entrepreneurs are not following CAF and in fact there may be other practical difficulties in this regard. Hence an entrepreneurial guidance cell (EGC) may be established so that the entrepreneurs can easily get clarifications on the spot and file their applications. This would help in the reduction of confusion and time involved in clearance of the proposals.

8.13 USEFULNESS OF DLSWCC MEETINGS TO APPLICANTS

The responses (from the filled-questionnaires provided by the respective DICs) were collected by the study team on the usefulness of DLSWCC Meetings and how these meetings are effective and useful to the entrepreneurs. The responses given by the DLSWCCs are given in the following table.

Usefulness of DLSWCC Meetings

Sl. No	Name of District	Response on Usefulness of DLSWCC Meetings	Responses given by DLSWCCs on "Effectiveness and Usefulness of DLSWCC Meetings"
1.	Bagalkot	Yes	The decisions of SWA Committee were informed to the concerned departments/local authorities, which will facilitate the entrepreneur by getting essential requirements i.e power sanctions, site allotments, NOCs, licenses and water supply, etc.
2.	Bellary	Yes	It helps the entrepreneur to obtain necessary licenses from various departments easily.

Sl. No	Name of District	Response on Usefulness of DLSWCC Meetings	Responses given by DLSWCCs on "Effectiveness and Usefulness of DLSWCC Meetings"
3.	Bidar	Yes	1) For allotment of land/ shed, etc 2) For getting power sanctions. 3) For getting loan sanctions. 4) Communicating policy matters, circulars and inter department issues.
4.	Chamarajnagar	Yes	Industries will come up and facilitations, Incentives, taken after policies within time.
5.	Chikmagalur	Yes	The entrepreneur will get land/shed allotment within a reasonable time. He will get all the clearances like KSPCB, labour, factories & boilers, local body, etc within stipulated time. The DIC will handhold the entrepreneur and under escort services.
6.	Chikkaballapur	Yes	(1) Immediate clearances from the concerned departments can be obtained. (2) Any issues pending with the departments, higher-ups will be directly dealt with the authorities. (3) Constant follow-up will facilitate the entrepreneurs in resolving their issues at quicker time.
7.	Chitradurga	Yes	(1) Getting land from KIADB, KSSIDC; (2) Solving different problems like KSPCB, TMC, TP and other local bodies; (3) Getting project clearances.
8.	D. Kannada	Yes	It is effective to provide solutions to power supply, water supply and other day-to-day facilities related issues within short time.
9.	Gadag	Yes	(1) Getting land at concessional rate developed by State agencies (KIADB & KSSIDC); (2) Solving different problems viz., power, water, etc. (3) For approval of projects.
10.	Gulbarga	Yes	DLSWCC Meeting is useful and effective for the applicants those who face the various problems like infrastructure, power, water, road, drainage, street light, etc.
11.	Haveri	Yes	The promoters' problems can be addressed across the table.
12.	Kodagu	Yes	The departments concerned will take action as per the decisions of DLSWCC.
13.	Kolar	Yes	(1) Providing need based services; (2) Facilitation work.
14.	Koppal	Yes	Based on the approval, entrepreneur will get clearances very fast from the concerned departments.
15.	Mandya	Yes	(1) Immediate clearances from the concerned departments are obtained. (2) Any issues pending with the

Sl. No	Name of District	Response on Usefulness of DLSWCC Meetings	Responses given by DLSWCCs on "Effectiveness and Usefulness of DLSWCC Meetings"
			departmental higher-ups will be directly dealt with the authorities. (3) Constant follow-up will facilitate the entrepreneurs in resolving their issues at quicker time.
16.	Mysore	Yes	Speedy clearances are obtained.
17.	Ramanagaram	Yes	Other department clearance will be conducted within short time.
18.	Shimoga	Yes	The promoters' problems can be addressed across the table.
19.	Tumkur	Yes	Fulfill the entrepreneurs' needs in the district level itself.
20.	Yadgir	Yes	Inter departmental problems, issues and approvals are speeded up and resolved.

Source: Questionnaire - I

It is found from the above table that all the DLSWCCs (100%) have felt that the DLSWCC Meetings are very much effective and useful to the entrepreneurs to obtain necessary licenses from the various departments very quickly and easily.

The DLSWCCs will guide and provide handhold services to the entrepreneurs for setting up of industries in their respective districts in addition to follow-ups with other departments to provide licenses/clearances officially under the control of Deputy Commissioner. The DLSWCCs facilitates the entrepreneurs in resolving the pending issues/ clearances at quicker time.

The responses given by DLSWCCs on effectiveness and usefulness of the meetings undoubtedly reflect the real use of such meetings in taking decisions at different stages of implementation of the projects. Naturally the responses from DLS would be quite positive without any doubt. But the real picture would emerge when once feedback is taken from the investors/entrepreneurs who are the real stakeholders in the system. It is necessary to take the views of this class of people belonging to different categories micro small and medium investors regarding the usefulness of the single window system.

8.14 PERSONAL ATTENDANCE OF MEMBERS OF DLSWCCs AND DECISIONS TAKEN BASED ON FEEDBACK

The responses (from the filled-questionnaires provided by the respective Members of DLSWCCs) on 'whether all the members are personally attending the meeting or sending their representatives of members' and 'whether the decisions taken by the Committee are based on the feedback or information given by the representatives of members'. The responses given by the DLSWCCs are given in the following table.

Personal Attendance of Members to DLSWCC Meetings

Sl. No	Name of District	Response from DLSWCCs on Whether the members are personally attending DLSWCC Meetings	Response from DLSWCCs on Whether the decisions taken by the DLSWCC are based on feedback give by Representatives/Members
1.	Bagalkot	Normally the members are attending meetings personally.	No
2.	Bellary	Yes	Decisions are taken by the Committee based on the information given by the members.
3.	Bidar	Attending personally.	Both
4.	Chamarajnagar	Sometimes members sending their representatives for these meetings.	Yes
5.	Chikmagalur	Personally attending the meeting	No
6.	Chikkaballapur	Personally attending.	Yes
7.	Chitradurga	80% of members attended personally; 20% of members send their representatives	Yes
8.	D. Kannada	All members are attending	While taking decisions feedback is also considered.
9.	Gadag	(1) 60% of members attend personally; and (2) 40% of members send their representatives	Yes
10.	Gulbarga	Yes	Yes
11.	Haveri	Yes, attending.	Yes
12.	Kodagu	Yes	Yes
13.	Kolar	Yes, designated officers attends	Yes
14.	Koppal	Yes, Members are attending meeting in general.	Members are giving feedback and suggestions to the Chairman.
15.	Mandya	Yes, Personally attending	Yes
16.	Mysore	Yes	Yes
17.	Ramanagaram	Yes	Yes
18.	Shimoga	Yes, concerned officers attending.	Yes
19.	Tumkur	Yes	Representatives of members.
20.	Yadgir	Yes	Yes

Source: Questionnaire - I

It is found from the above table that all most all the respondents expressed that all the members are personally attending the meeting as well as sometimes they may send their representatives, who are well aware of rules and regulations to the meetings due to non-availability of concerned official/member of DLSWCC as the meeting is conducting by the Deputy Commissioner, who is the District Head.

It is found from the field study while discussing with the officials of DICs that sometimes due to non-availability of Members of DLSWCCs, their representatives attend the meeting. The representatives provide/give required information to take decisions by the Chairman of DLSWCCs and the concerned member is the written proceedings of the DLSWCCs so as to be responsible for the decisions taken in the meetings.

It can be interpreted from the foregoing analysis that the attendance of physical members at the meetings is very difficult for various reasons. Hence they are justified in sending their knowledgeable representatives to participate in the meetings.

8.15 SENDING OF REPORTS TO HIGHER AUTHORITIES BY DLSWCCs

The responses (from the filled-questionnaires provided by the respective DICs) were collected on 'whether the reports regarding approval of projects are sent to higher authorities or not, if reports are sent the quality of report and whether any format has been devised for submitting the report'. The survey team also collected the information on, "if reports are not sent the evaluator shall suggest various means and formats required in consultation with the Head Office". The responses given by the DLSWCCs are given in the following table.

Responses on Sending of Reports to Higher Authorities by DLSWCCs

Sl. No	Name of District	Response on Whether the reports are sent to higher authorities?	Response on Whether the formats have been devised for submitting the report?	Response on Whether the evaluator shall devise formats required in consultation with the Head Office?
1.	Bagalkot	Yes	No	No
2.	Bellary	Yes	No	Yes
3.	Bidar	Yes	No	Yes
4.	Chamarajnagar	Yes	Copies of proceedings sent to higher authorities.	NA
5.	Chikmagalur	Yes	Yes	NA
6.	Chikkaballapur	Yes	Yes	NA
7.	Chitradurga	Yes	Yes	NA
8.	D. Kannada	Yes	Yes	NA
9.	Gadag	Yes	Yes	NA
10.	Gulbarga	Yes	No	No
11.	Haveri	Yes	Yes	Yes
12.	Kodagu	Yes	No	No
13.	Kolar	Yes	Yes	Yes

Sl. No	Name of District	Response on Whether the reports are sent to higher authorities?	Response on Whether the formats have been devised for submitting the report?	Response on Whether the evaluator shall devise formats required in consultation with the Head Office?
14.	Koppal	Yes	Yes, Proceedings will be sent to the Chairman.	NA
15.	Mandya	Yes	Yes	Yes
16.	Mysore	Yes	Yes	NA
17.	Ramanagaram	Yes	No	No
18.	Shimoga	Yes	Yes	NA
19.	Tumkur	Yes	Yes	Yes
20.	Yadgir	Yes	No	Yes

Source: Questionnaire –I

NA = Not Applicable

It is found from the above table that all the respondents expressed that all DLSWCCs are sending reports to higher authorities (Directorate of Industries & Commerce, Bengaluru). Some of the DLSWCCs sending data to higher authorities in the format, and a few are sending only copies of proceedings.

It is found from the discussions of officials of DICs during the field study that all the DLSWCCs are sending data/information to higher authorities by way of formats as well as proceedings.

8.16 DIFFICULTIES FACED BY DLSWCCs DURING APPROVAL/IMPLEMENTATION

The study team collected the responses (from the filled-questionnaires provided by the respective DICs) on ‘whether any difficulties and any constraints are faced during the approval/decision & implementation’. The responses given by the DLSWCCs are provided in the following table.

Difficulties faced by DLSWCCs during Approval/Implementation

Sl. No	Name of District	Response on Whether any difficulties, constraints faced	Response on Difficulties and Constraints faced by DLSWCCs
1.	Bagalkot	No	NA
2.	Bellary	No	NA
3.	Bidar	No	NA
4.	Chamarajnagar	No	NA
5.	Chikmagalur	No	NA
6.	Chikkaballapur	No	NA
7.	Chitradurga	No	NA
8.	D. Kannada	No	NA
9.	Gadag	No	NA
10.	Gulbarga	No	NA
11.	Haveri	Yes	Power, pollution infrastructure, etc
12.	Kodagu	Yes	With respect to approval/ consent for the project, some departments will take their own time to communicate action taken due to various reasons.

Sl. No	Name of District	Response on Whether any difficulties, constraints faced	Response on Difficulties and Constraints faced by DLSWCCs
13.	Kolar	No	NA
14.	Koppal	No	NA
15.	Mandya	No	NA
16.	Mysore	No	NA
17.	Ramanagaram	No	NA
18.	Shimoga	Yes	Like power, pollution, infrastructure.
19.	Tumkur	Yes	NA
20.	Yadgir	No	NA

Source: Questionnaire – I

NA = Not Applicable

It is found from the above table that all most all the respondents except four DICs (Haveri, Kodagu, Shimoga and Tumkur) expressed that they did not face any difficulties and constraints during approving the projects or in taking decisions or while implementation of projects in their districts. Out of four respondents, two respondents (Haveri & Shimoga Districts) said that they faced some difficulties while approving the projects due to insufficient infrastructure viz., power, pollution, infrastructure, etc. One respondent (DIC, Kodagu) said that they faced departmental problems/constraints while approving the projects viz., some departments are taking their own time to communicate action taken/decisions in approving the projects/clearances due to various reasons as stated in the above table.

Thus there are many reasons for some districts like Shimoga, Tumkur, Haveri and Kodagu for difficulties and departmental constraints and hence the response is not that encouraging from these districts however the setting up of co-ordination committee to resolve some of these conflicts in different districts is felt necessary.

8.17 DECISIONS PERTAINING TO PROJECT APPROVALS OR MSME SECTOR

The study team collected the responses (from the filled-questionnaires provided by the respective DICs) on ‘whether the decision of DLSWCC pertains only to project approvals or it also covers policy matters/suggestions for the benefit of MSME sector projects’. The responses given by the DLSWCCs are provided in the following table.

Responses on Whether Projects pertains to Project Approvals and MSME Sector Development

Sl. No	Name of District	Response on whether decisions of DLSWCC pertaining to project approvals or policy matters of MSME Sector	Response on how the DLSWCCs cover policy matters of MSME Sector
1.	Bagalkot	Both	The policy matters of the other Departments like tourism, horticulture, power will help the MSME sector.
2.	Bellary	Both	(1) Upgrading infrastructure in industrial area is discussed; (2) Property tax for industries is discussed; (3) Land allotment procedure is discussed.

Sl. No	Name of District	Response on whether decisions of DLSWCC pertaining to project approvals or policy matters of MSME Sector	Response on how the DLSWCCs cover policy matters of MSME Sector
3.	Bidar	Both	The issues concerned towards infrastructure in Industrial Estate and Area are brought to the notice of the concerned head offices for taking the issues at the higher level and any particular case regarding the issue will be brought to the notice of concerned departments to help the MSME sector.
4.	Chamarajnagar	Both	Obtained applications from the units and solved these in meetings (inter-department problems - Tax, allotment of land, power, finance, labour, pollution, etc)
5.	Chikmagalur	Project Approvals only	NA
6.	Chikkaballapur	Project Approvals only	NA
7.	Chitradurga	Both	Cancellation of unutilized plots/ sheds, power to be given to the Chairman of DLSWCC instead of heads of KIADB/KSSIDC.
8.	D. Kannada	Both	With reference to policy matters, suggestions received from the members are discussed and recommended to higher authorities.
9.	Gadag	Both	(1) Cancellation powers to be given to the Chairman of the Committee in the Policy; (2) To improve the industrial estates; (3) To reduce the water tax, property tax, sales tax, uninterrupted power supply, etc will be received by entrepreneurs and will be suggested to the Government.
10.	Gulbarga	Both	DLSWCC Meeting is useful and effective for the applicants those who face the various problems like infrastructure, power, water, road, drainage, street lighting, etc.
11.	Haveri	Both	Addressed issues pos to concerned industries.
12.	Kodagu	Project Approvals only	NA
13.	Kolar	Project Approvals only	The problems and grievances are redressed.
14.	Koppal	Both	The DIC will mention in Approval Copy regarding incentives and concessions provided to the entrepreneurs under Karnataka Industrial Policy (Incentives, Stamp Duty, Entry Tax, etc)

Sl. No	Name of District	Response on whether decisions of DLSWCC pertaining to project approvals or policy matters of MSME Sector	Response on how the DLSWCCs cover policy matters of MSME Sector
15.	Mandya	Project Approvals only	NA
16.	Mysore	Both	Alienation of agricultural land for industrial use should be done fast.
17.	Ramanagaram	Both	As per the policy guidelines.
18.	Shimoga	Both	Addressing issues pertaining to industry.
19.	Tumkur	Both	(1) Approvals of 109 of MSME Sector; (2) Conversion of MSME Sector; (3) PMEGP MSME Sector
20.	Yadgir	Both	Facilitating credit requirements; resolving infrastructural problems; providing facilities.

Note: NA = Not Applicable

Source: Questionnaire – I

It is found from the above table that 75% of respondents expressed that the discussions of DLSWCCs pertain to project approvals and they cover policy matters/suggestions for the benefit of MSME sector. Only 25% of respondents expressed that the discussions of DLSWCCs pertain to project approvals only based on their experience.

Following are the important conclusions emerging from the chapter.

1. One important striking conclusion is that there is a systematic shift in the behavior of investors from seeking clearance through individual departments to the DLSWCC scheme after the introduction of the scheme. Virtually almost all the investors were using this single window as the means of reducing the average time taken for getting clearances.
2. There is a greater need to reduce the average time taken to give clearances and this would help in efficient operationalization of the project conceived.
3. The DLSWCC meetings are overburdened with a plenty of complex issues discussed in the meetings. Therefore there is a need for prioritization of the issues for prompt clearance of approvals. For instance the small sized projects probably requiring less time for disposal may be taken up for approval. While large sized investment projects requiring more time for detailed analysis may be taken up later on. Similarly investments in new projects vis-a-vis may be considered on priority basis. The projects may be categorized into two or three major types namely projects for spot approvals, project for registration, projects for pre establishment, projects for pre operation stage, projects for post operation stage and projects under implementation and completion, projects that have started production and marketing. The decision pertaining to these types of projects may be taken up depending upon the principle of prioritization.
4. The feedback from individual departments in the matter of inter departmental communication broadly reveals that there is a good system of co-ordination between them. However the introduction of electronic communication system would definitely go a long way in achieving better co-ordination between the departments and also DLSWCCs and the beneficiaries.

9. REFLECTION AND CONCLUSIONS

9.1 PERCEPTION OF USERS IN GETTING APPROVALS

The survey team discussed the issues with the users/beneficiaries and members of the District Industry Associations and collected information on various issues and aspects these included the usefulness of Scheme, opinions in approving the project clearances, guidance/help from Office of Member Secretaries of concerned DICs, problems faced in getting project approvals, whether communicating to users, whether monitoring or suggesting solutions while implementing the projects, suggestions to improve the functioning of DLSWCCs, etc. The responses received from the users/beneficiaries of DLSWCCs are given below.

- Almost all the users/beneficiaries and District Industry Associations expressed that the Scheme is very useful to the prospective entrepreneurs to set up industries in the respective districts.
- They also expressed that they are saving more time in getting approvals from various departments.
- The concerned DICs are guiding the industrialists to get benefits/ incentives/ subsidies from various departments and also they are helping in getting the same from the respective Government Departments.
- Applications and requisite documents should be scrutinized thoroughly by the Member Secretaries of DLSWCCs before placing Committee Meetings for project approvals.

The responses received from some of the District Industry Associations are:

- DLSWCCs should take the land back from the allottees that have not set up the industries if the land was given for industry purpose at subsidized rate.
- Concerned officers from the DICs have to meet the entrepreneurs personally and follow-up with the concerned departments for project clearances.
- DLSWCC Meetings should be conducted every month regularly.
- There should be through monitoring system to implement the decisions taken in previous meetings
- The Government of Karnataka is not providing sufficient industrial plots/ sheds in all the respective districts at subsidized rates to encourage the industries to create industrial environment in Karnataka State.
- The Government of Karnataka (GoK) has to develop sufficient industrial infrastructure viz., uninterrupted electricity, sufficient water facilities, drainage & waste water management facilities, training infrastructure, approach roads, common facilities, etc at each of the industrial estates.
- Concerned officers from the departments should attend the DLSWCCs instead of sending their representatives, who cannot take decisions across the table.
- Regular monitoring system should be strengthened from all the concerned departments, who have to visit the industrial units regularly to set up units and guide the industrialists to overcome from the industry related problems.

9.2 PRACTICES OF DLSWCCs ON AVERAGE TIME REQUIRED TO APPROVE PROJECT(S) ACTUALLY

The Consultants of APITCO collected the personal opinions of the concerned Joint Directors of DICs (from the filled-questionnaires provided by the respective DICs) on average time required to approve/reject the project approvals and are presented in the following table.

Opinions of DICs on the Average Time required for Project Approvals

Sl. No	Opinion on Average Time required for Project Approval	Name of Districts
1.	1 Week	Ramanagaram and Shimoga
2.	10 Days	Koppal
3.	15 Days	Chitradurga
4.	1 Month	Bellary, Bidar, Chamarajnagar, D. Kannada, Gulbarga, Mysore, Tumkur and Yadgir
5.	1 – 2 Months	Bagalkot, and Chikmagalur
6.	2 Months	Chikkaballapur, Gadag, Kodagu, Kolar and Mandya
7.	3 Months	Haveri

Source: Questionnaire-I

It is observed from the above table that majority of the DICs (8 out of 20) opined that the average time required to approve the project(s) in general requires one month and a few DICs (5 out of 20) expressed that the average time required to approve the project(s) in general requires two months. Some of the DICs mentioned as 1 week, 10 days, 15 days and also 3 months as per their experiences.

It is found from the discussions with the officials of DIC during the field study that the time required to approve the projects in general by DLSWCC is between one month to two months depending upon the availability of Deputy Commissioner (DC) and availability of required infrastructure.

It is observed from the above that the average time required to approve/reject a project is about one month if all the official formalities are observed.

10. RECOMMENDATIONS

The success of any governmental scheme depends upon the commitment of the departments concerned, officials involved and the stake holders. In this context separate questionnaire has been administered on the members seeking their suggestions to strengthen the DLSWCC programme.

Following are the objectives of the chapter.

1. To seek the opinion of the members in regard to i) combined application form ii) monitoring system iii) land allotment iv) provision of utilities etc.
2. To seek suggestion from members to strengthen DLSWCCs

Investors and entrepreneurs experience hurdles in obtaining timely approvals at different levels. For the investment to materialize the business environment must be conducive and the various Government Agencies should serve as facilitators, so that the investors feel at home in the State. The expectations of the investors are high and hence the Government of Karnataka has to gear up to meet the challenges of the competitive environment.

10.1 SUGGESTIONS FROM CONSULTANTS

1) DICs to be Proactive Facilitators

The District Industries Centres (DICs) to be proactive to make it as an effective Single Window Agency at District Level. Once a project is cleared in the DLSWCC, it interacts with the other agencies and obtains approvals from them without making the investor's visit to each agency for individual approvals. This process will be completed within defined a time limits.

2) Combined Application Form

It is found from the field study that it is not happening in majority of the districts. All the DLSWCCs should have to accept Combined Application Form (Form I & II) at DIC level. It will speed up the implementation process in the respective districts.

3) Lack of Monitoring System from Directorate of Industries & Commerce

- The State Level Office (Directorate of Industries & Commerce) should meet periodically at least once in a month to review the progress made at the district level and seek necessary data on the implementation of the Scheme(s).
- Review Meeting has to be conducted at least once in three months at State Level with all Committee Members of all the districts. There is less coordination/monitoring system on the Single Window Clearance System by the Directorate of Industries & Commerce, GoK and it should be strengthened.
- Similar kinds of industrial units are coming up in few districts. Awareness Generation Campaigns need to be conducted by the officials of DICs on available resources in the areas of respective districts, where there is a good scope for potential projects.
- The institutions involved in promoting industry in the State – the KUM, KIADB, DIC, KSSIDC, KSPCB, Electricity Companies, local bodies, etc will be made professionally strong to truly serve the purpose of rapid industrial development.

4) Strengthening of Industrial Infrastructure

a. Land Allotment by KIADB and Plot/Shed Allotment by KSSIDC:

- ¶ In order to ensure orderly establishment, growth and development of industries in the State, Government of Karnataka needs to ensure acquisition of land by KIADB for setting up industrial areas. There is no timeframe for completing the acquisition process/proceedings including payment of compensation. Hence, KIADB should indicate a time frame within which the land will be acquired and allotted to investors.
- ¶ Land Bank Data in KIADB should be computerized and made more comprehensive and include all data that the investor would require to take a decision.

¶ KIADB must display in online system a clear allotment procedure to meet the demands of the investors, on First Come First Served Basis, who will complete the all the requisite formalities online and KIADB should ensure transparency in allotment of land/plots in industrial areas. The KIADB should also allot required land to KSSIDC, which in turn allot plots/ sheds to the prospective entrepreneurs.

- c. **Land Conversion:** The Government should speed up the process of conversion of the land from agriculture to industrial land and should monitor the progress in disposal of applications.

10.2 SUGGESTIONS FROM THE MEMBERS OF DLSWCCs ON FUNCTIONING, STRENGTHENING & EMPOWERING OF DLSWCCs

The study team collected the responses from (filled-questionnaires provided by) the members of DLSWCCs on the issues viz., functioning/ strengthening/ empowering of DLSWCCs (from the filled-questionnaires provided by the respective DICs). The responses/ suggestions given by the DLSWCCs are provided in the following table.

Suggestions from the Members of DLSWCCs

S. No	Name of District	Suggestions given by concerned Members of DLSWCCs
1.	Bagalkot	(1) The meetings can be conducted once in two months; (2) The KIADB and KSSIDC should be more effective; and (3) The allotment powers should be enhanced from 02 acres to 05 acres.
2.	Bellary	(1) DLSWCC Meeting should be conducted under the Chairmanship of Additional Director of the Department who will be nodal officer of the District; (2) Head Office will have first hand information about district industrial development work; (3) Every month meeting proceedings should be conveyed to Head Office so that it is easy to monitor and review the projects of DLSWCC; (4) The other department issues raised in the DLSWCC is to brought to the notices of the concern secretary's of the department with the signature of the chairman and secretary of the concerned department the proceedings should be sent for processing; (5) Additional Directors of the Department being the Committee Chairman, speedy implementation of the projects can be taken up.
3.	Bidar	All the sanctions like land allotment, loan sanctions, power sanctions, etc are to be approved in a single application. This will be more effective and empower of DLSWCC.
4.	Chamarajnagar	Separate office to be formed with all other Department Officers, who will sit in DIC only and clearing all licenses/approvals in Single Clearance System.

S. No	Name of District	Suggestions given by concerned Members of DLSWCCs
5.	Chikmagalur	No changes are required.
6.	Chikkaballapur	Monitoring Cell has to be opened in all DIC to monitor and oversee the implementation of decision taken in the DLSWCC.
7.	Chitradurga	(1) Power of cancellation of land should be given, who have not implemented within the stipulated time. (2) Extent of land allotment power is to be increased up to 5 acres and given it to DLSWCC. (3) For renewable energy projects like solar wind mill and electricity generation from bio-mass, project clearances should be given through only DLSWCC.
8.	D. Kannada	(1) Single window is to be strengthened with reference to extent of project approval - cost up to Rs.100 Crore by DLSWCC. (2) Legal authentication is required for the decisions taken in the DLSWCC.
9.	Gadag	(1) Extent of land allotment, power is to be increased up to 10 acres and given it to DLSWCC. (2) Power of cancellation of land should be given for those who have not implemented within the stipulated time (at present 2 years). Given power at District level instead of State level. (3) For renewable energy projects like solar and wind mill, project clearances should be given through only DLSWCC. (4) All the projects even more than 15 crores investment should come to the notice of DLSWCC for effective implementation and suggestions.
10.	Gulbarga	Decisions taken in the DLSWCC meeting are being implementing as per the guidelines of Industrial Facilitation Act.
11.	Haveri	-- (The DIC, Haveri did not provide any suggestions.)
12.	Kodagu	(1) Separate combined application form for DLSWCC projects has to be devised. (2) Mechanism of online submission for project approval to be introduced in district level also. (3) All the departments in the district should be given time frame for communicating approval/consent.
13.	Kolar	Local entrepreneurs should be encouraged. At present land allotment has been withdrawn from DLSWCC Committee and this should be continued up to 2 acres.
14.	Koppal	Strengthen the DIC by appointing Extension Officers as many required to provide escort services to the units. The Quasi Judicial powers to be delegated to the Joint Directors of the concerned districts to solve any licensing issues with the concerned Department. At present entrepreneurs are going to High Court to get suitable orders to run/get the licenses of units. If Quasi Judicial powers will be given, it avoids

S. No	Name of District	Suggestions given by concerned Members of DLSWCCs
		litigations and encourages industries. At present industrial land is not available in the district. The DIC/DLSWCC sent 4 proposals for land acquisition to KIADB, which is not providing land to entrepreneurs.
15.	Mandya	Monitoring Cell has to be opened in all DIC to monitor and oversee the implementation of decision taken in the DLSWCC.
16.	Mysore	Committee Member should be attended. Representative on behalf of the member should not be attended.
17.	Ramanagaram	Land allotment in Bengaluru (Urban) and surrounding district powers vested with Karnataka Udyog Mitra (KUM), Bengaluru as per latest Government Order. Strengthening of DLSWCC can be done by giving powers to allot land at district level.
18.	Shimoga	DLSWCC has to be strengthened in terms of action taking against the entrepreneurs, who are going against the rules and regulations.
19.	Tumkur	Monitoring Cell should be set up in all DICs to monitor the implementations of the approved projects to facilitate consent, NOCs and approvals by other departments. This will helps in speedy implementation of the projects.
20.	Yadgir	1)The Committee should have the power for granting approvals of all industry related departments and at least if the departments do not approve within stipulated time, there should be a provision for deemed approvals for the decisions taken by the Committee. 2)The Combined Application Form should be devised and made online so that the departments concerned all made aware before the meeting and they should be ready to approve/reject a proposal during the meeting itself.

Source: Questionnaire – 1

The suggestions given by the members of DLSWCCs have to be considered favorably by the authorities concerned because these suggestions reflect the problems that they are facing at the gross root level. Almost all suggestions are valid and deserve the attention of the authorities concerned. Some of the suggestions relate to de centralization and delegation of certain powers regarding allotment of land utilities to lower levels to facilitate prompt decision making.

** **

LIST OF ANNEXURES

Annexure No.	Description
1	Questionnaire 1 - For the Members of DLSWCC
2	Questionnaire 2 – For the Users/Beneficiaries of DLSWCC
3	Karnataka Industries (Facilitation) Act, 2002 and Notification on Single Window Clearance Committee
4	Terms of Reference
5	Inception Report
6	Matrix of Compliance to the Observations made in 28th Technical Committee Meeting conducted by KEA
7	Matrix of Compliance to the Observations made by Independent Assessor

Annexure – 1

Questionnaire 1: For the Members of DLSWCC

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

**Questionnaire -1:
For the Members of DLSWCC**

1.	Name of the District				
2.	Name and Designation of the Respondent				
3.	Whether DLSWCC is receiving the proposals for approval?	Yes / No			
3.1	If Yes, procedure adopted by the Committee for granting the approvals				
4.	Year-wise proposals received, approved and rejected in the Committee				
	Year	No. of Proposals received	No. of Proposals approved	No. of Proposals rejected	Reasons/Constraints for not approving
	2003				
	2004				
	2005				
	2006				
	2007				
	2008				
	2009				
	2010				
	2011				
	2012				
	2013				
	2014				

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

5.	What is the average time that the DLSWCC take for deciding (approving or rejecting) a project?	For Approving : For Rejecting :			
5.1	What are the causes for those taking more time for approving the projects?				
5.2	Please suggest the methods to reduce time in approving the projects				
5.3	As per your opinion, what is the average time that the DLSWCC should require for deciding (approving or rejecting) a project?	For Approving : For Rejecting :			
6.	Whether the DLSWCC is conducting meetings regularly as per the guidelines	Yes / No			
6.1	Please provide the following information on meetings conducted by DLSWCC.				
	Year	Date(s) on which Meeting(s) were conducted	No. of approvals & facilitations provided (Date-wise)	Total No. of Meetings conducted in the Year	Issues and Problems discussed in the meeting
	2003				
	2004				
	2005				

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

Year	Date(s) on which Meeting(s) were conducted	No. of approvals & facilitations provided (Date-wise)	Total No. of Meetings conducted in the Year	Issues and Problems discussed in the meeting
2006				
2007				
2008				
2009				
2010				
2011				
2012				
2013				
2014				

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

6.2	If No, specify the reasons for not conducting regular meetings	
7.	Whether the DLSWCC is functioning as per the Industrial Facilitation Act, 2002?	Yes / No
7.1	If No, specify the reasons for not functioning as per Act.	
8.	Whether the DLSWCC is issuing the Meeting Notices convening the date of meeting/ agenda notes/ proceedings and are sent in time (within one week in advance)?	Yes / No
8.1	If No, please give the reasons for not adhering to issue notices, agenda & proceedings.	
9.	Whether DLSWCC is examining all proposals before taking decisions?	Yes / No
9.	Do the Member Secretary is getting project approvals from Chairman within one week?	Yes / No
	If No, please give the reasons/ problems/ constrains/ limitations	
9.1	After getting approval, whether the decision of DLSWCC is communicated to the applicants/ Departments or authorities concerned?	Yes / No
9.2	If Yes, time taken to communicate the decision to the applicants/Departments or authorities concerned.	
9.3	If No, what are the reasons/ problems for non-communication?	
9.4	Whether the compliance report is received and revied by the Committee?	Yes / No
9.5	If No, please give the reasons?	

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

10.	What is the status of litigation if it has been a byproduct of decisions of DLSWCC?	Nil ()	Less ()	More ()
10.1	Whether the DLSWCC is issuing guidelines to reduce litigation or to avoid litigations?	Yes / No		
	If No, please give the reasons?			
11.	Whether the decision taken by DLSWCC is binding on the other departments or authorities concerned?	Yes / No		
11.1	If No, please give the reasons/suggestions for not binding the other departments or authorities?			
12.	Whether projects are actually approved by the Committee and if so, the details of components such as land, power, water, KSPCB issues are discussed and decisions are taken?	Yes / No		
12.1	If No, please give the reasons?			
13.	Whether the application forms obtained from the applicants and whether the details in the combined application forms are filled up and scrutinized by the Member Secretary Office before placing the Committee?	Yes / No		
13.2	If No, please give the reasons?			
14	Whether the DLSWCC Meetings are effective and useful to the applicants?	Yes / No		
14.1	If Yes, how effective these meetings are:			
14.2	If No, please give the reasons			

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

15.	Whether all the members are personally attending the meeting or sending the representatives of members?		
15.1	Whether the decisions taken by the Committee are based on the feedback or information given by the representatives of members.		
16.	Whether the reports regarding approval of projects are sent to higher authorities or not?	Yes/ No	
16.1	If reports are sent to higher authorities, whether any format has been devised for submitting the report?	Yes/ No	
16.2	If No (if reports are not sent), whether the evaluator shall devised various means and formats required in consultation with the Head Office?	Yes/ No	
16.3	If No, please give reasons/suggestions?		
17.	Whether any difficulties, constraints are faced during the approval/ decision and implementation.	Yes / No	
17.1	If Yes, what are the constraints/difficulties faced?		
18.	Whether decision of DLSWCC pertains only to project approvals or it also covers policy matters/ suggestions for the benefit of MSME sector projects?	For Project Approvals	()
		For Benefit of MSME Sector	()
		For Both	()
18.1	If it covers policy matters/ suggestions for the benefit of MSME sector, how?		
19.	Does DLSWCC is monitoring the approved Projects?	Yes / No	
19.1	If Yes, how DLSWCC is monitoring the approved projects?		

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

20.	Is DLSWCC following-up the approved projects for implementation?	Yes / No
20.1	If Yes, what is the follow-up mechanism for implementation of approved projects? What is the weightage given for monitoring?	
20.2	If not monitoring, what are the reasons?	
21.	How does the DLSWCC ensure its decisions are being implemented by all the concerned Departments/ Agencies?	
22.	What types of the proposals are coming for approval of DLSWCC in the District and proportion of MSME Projects approved in the DLSWCC?	

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

23.	Opinion of the Committee Member on the usefulness of the DLSWCC
24.	Suggestions for effective functioning/ strengthening/ empowering of DLSWCC

Note: Please, use separate sheets wherever necessary.

Date :

Signature of the Officer :

Place :

Office Seal :

Annexure – 2

Questionnaire 2: For the Users/Beneficiaries of DLSWCC

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

**Questionnaire – 2:
For the Users/Beneficiaries of DLSWCC**

1.	Name of the District	
2.	Name of the Respondent / Beneficiary	
3.	Name of the Project Proposal sent for approval in DLSWCC	
4.	Project Cost	Rs.
5.	Date of submission of application	
5.1	Date of approval / clearance	
5.2	In your opinion, the average time that the DLSWC should take for approval of your project	
5.3	Please suggest the methods to reduce time in approving the projects by DLSWC	
6.	Did you get any guidance from the Office of Member Secretary before submitting the application?	Yes / No
6.1	If No, what kind of assistance you expected from the officials of Office of Member Secretary	

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

7.	Did you face any problem in getting approval?	Yes / No
7.1	If yes, from which department did you face difficulties?	
	Department(s)	Difficulties faced

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

8.	Your opinion on DLSWCC functioning	Useful / Sufficient () Need to improve () Able to give Clearance () No Use ()
9.	After approval of your project in the meeting by DLSWCC, whether it is communicated to you by the concerned officials?	Yes / No
	How did you know that your project was approved or rejected?	Contacting the officials personally () Communicated by DLSWCC () Other (Specify _____) ()
10.	Did DLSWCC monitor your project after approval	Yes / No
10.1	If No, what kind of assistance you expected?	
11.	Did you receive any help/ suggestions while implementation of your project by DLSWCC?	Yes / No
11.1	If No, what kind of assistance you expected?	
12.	Do you feel any need of improvement in functioning of the DLSWCC?	Yes / No
12.1	If yes, what improvements are needed in functioning of DLSWCC?	

**Evaluation of Functions & Outcome of
District Level Single Window Clearance Committee (DLSWCC)**

13.	Suggestions for Strengthening DLSWCC		
14.	Are you satisfied with the assistance provided by the officials under Scheme?	Yes ()	No ()

Note: Please, use separate sheets wherever necessary.

Date :

Signature of the Beneficiary/Respondent :

Place :

Office Seal :

Annexure – 3

Karnataka Industries (Facilitation) Act, 2002 and Notification on Single Window Clearance Committee

KARNATAKA INDUSTRIES (FACILITATION) ACT, 2002 AND NOTIFICATION ON SINGLE WINDOW CLERANCE COMMITTEE

1.1 THE KARNATAKA INDUSTRIES (FACILITATION) ACT, 2002

(Received the assent of the President on the Twenty Seventh day of October, 2003)

An Act to provide for the promotion of industrial development and facilitation of new investments to simplify the regulatory frame work by reducing procedural requirements and rationalizing documents and to provide for an investor friendly environment in the State of Karnataka.

Whereas, it is expedient to provide for speedy implementation of industrial and other projects in the State by providing single point guidance and assistance to promoters, reducing the procedural requirements rationalizing documents and to ensure smooth operation;

Be it enacted by the Karnataka State Legislature in the Fifty third year of the Republic of India as follows:-

Part I -Preliminary

1. Short title and commencement:

- (1) This Act may be called the Karnataka Industries (Facilitation) Act, 2002.
- (2) It shall come into force on such [date] as the State Government may by notification appoint.

2. Definitions:

In this Act unless the context otherwise requires,-

- (i) “Appellate Authority” means an appellate authority referred to in section 18;
1. All the provisions of the Act have come into force with effect from 22.12.2003, vide Notification No. CI 162 SPI 2001 (Part) dated 16.12.2003 (Karnataka Gazette Part IV-A Extraordinary No. 1671 dated 16.12.2003)
- (i) "Applicable Acts" means the Factories Act, 1948, the Boilers Act, 1923, the Contract Labor (Regulation and Abolition) Act, 1970, the Employees State Insurance Act, 1948, the Minimum Wages Act, 1948, the Payment of Bonus Act, 1965, the Payment of Wages Act, 1936, the Maternity Benefit Act, 1961, Gratuity Act, 1972, the Equal Remuneration Act, 1976 and the Karnataka Shops and Commercial Establishments Act, 1961;
- (ii) “Authority” includes a local authority or any Statutory Board, Corporation or other authority established by the State Government and which are entrusted with the powers or responsibility to grant or issue clearances;
- (iii) “Clearances” means grant or issue of no-objection certificate, allotments consents, approvals, permissions, registration, enrolments, licenses and the like, by any Authority or authorities in connection with setting up an industrial undertaking in the State.
- (iv) “Department” means, a department of the State Government.

- (v) "District Level Single Window Clearance Committee" means a Committee constituted under section 9;
- (vi) 'Entrepreneur' means a person or body of persons or a company, having majority investment or controlling interest in an industrial or undertaking.
- (vii) 'Industrial undertaking' means an undertaking engaged in manufacturing or processing or both or providing service or doing any other business or commercial activity as may be specified by the State Government;
- (viii) 'Nodal Agency' means the Nodal Agency at the State level or at the district level constituted under Section 12;
- (ix) 'State High Level Clearance Committee' means the State High Level Clearance Committee constituted under Section 3;
- (x) 'State Level Single Window Clearance Committee' means the State Level Single Window Clearance Committee constituted under Section 6.

Part - II

3. State High Level Clearance Committee:

- (1) The State Government may by notification constitute a single point clearance committee called on State High Level Clearance Committee consisting of such members as may be specified therein.
- (2) The Committee shall examine and consider the proposals received from any entrepreneur relating to any industrial and other projects to be set up in the State, with an investment of rupees fifty crores and above in each case.
- (3) Member of the Committee shall personally attend the meeting and in case he is unable to attend the meeting, he may depute a senior level officer to attend the meeting with a written authorization to take appropriate decision in the meeting.

4. Functions of the Committee:

- (1) The Committee shall meet at such times and in such places and shall adopt such procedure to transact its business as may be prescribed.
- (2) The Committee shall examine the proposals for setting up any industrial undertakings referred to in Sub-section (2) of Section 3 and shall take a decision and communicate its decision to the entrepreneur and the concerned departments or authorities within such time as may be prescribed.

5. Powers of the Committee:

The Committee shall be the final authority in granting approvals for the projects placed before it. The approvals given by the Committee shall be binding on all the concerned departments or authorities and such departments or authorities, shall issue the required clearances within the stipulated time and subject to compliances by the entrepreneur undertaking of the provisions of the applicable Central or State Acts and the rules made there under.

6. State Level Single Window Clearance Committee:

- (1) The State Government may by notification constitute a single window clearance committee for the State called as the 'State Level Single Window Clearance Committee' consisting of such members as may be specified therein.

- (2) The State Level Single Window Clearance Committee shall examine and consider proposal received from the entrepreneurs relating to industrial and other projects to be set up in the State with an investment of more than three crores rupees and less than rupees fifty crores each.
- (3) A member of the Committee shall personally attend the meetings and in case he is unable to attend the meeting he may depute a senior level officer with a written authorization to take appropriate decision in the meeting.

7. Functions of the Committee:

- (1) The State Level Single Window Clearance Committee shall meet at such times and such places and shall adopt such procedures to transact its business as may be prescribed.
- (2) The State Level Single Window Clearance Committee shall examine the proposals for setting up industrial undertakings referred to in Sub-section (2) of Section 6 and shall take a decision and communicate its decision to the entrepreneur and the departments or authorities concerned within one week of the meeting.

8. Powers of the Committee:

The State Level Single Window Clearance Committee shall be the final authority in granting approvals for the projects placed before it. The approvals given by the Committee shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearance within the stipulated time subject to compliances by the entrepreneurs with the provisions of the applicable Central or State Acts or rules made there under.

9. District level Single Window Clearance Committee:

- (1) The State Government, may, by notification constitute a single point clearance committee at the district level called the 'District Level Single Window Clearance Committee' consisting of such members, as may be prescribed. The District Level Single Window Clearance Committee shall examine and consider proposal received from entrepreneurs relating to industrial projects with the investment of up to rupees three crores each to be set up in the respective districts.
- (2) A Member of the Committee shall attend the meetings personally and in case he is unable to attend the meeting he may depute a senior level officer with the written authorization to take appropriate decision in the meeting.

10. Functions of the Committee:

- (1) The District Level Single Window Clearance Committee shall meet at such times and such places and shall adopt such procedures to transact its business as may be prescribed.
- (2) The District Level Single Window Clearance Committee shall examine the proposals for setting up industrial undertakings referred to in Sub-section (1) of Section 9 and shall take a decision and communicate its decision to the entrepreneurs and the departments or authorities concerned within such time as may be prescribed.

11. Powers of the Committee:

The District Level Single Window Clearance Committee shall be the final authority in granting approvals for the projects placed before it. The approvals given by the Committee at the

district level shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearance within the stipulated time subject to compliances by the entrepreneur of provisions of the applicable Central or State Acts and the rules made there under.

12. Appointment of Nodal Agency:

(1) The State Government may, by notification, appoint the Karnataka Udyog Mitra as a “Nodal Agency” at the State level and the “District Industries Centers” as the “District Nodal Agency” at the district level to undertake investment promotional activities and to render necessary guidance and assistance to entrepreneurs to set up industrial undertakings in the State.

13. Functions of the Nodal Agency:

- 1) The functions of Nodal Agency at the district level shall among others include the following:
 - a) to carryout investment promotional activities,
 - b) to render necessary assistance in policy formulation for industrial progress,
 - c) to guide and assist entrepreneurs to set up industries in the State,
 - d) to issue combined application form to the entrepreneurs and also to receive the forms from them and to arrange required clearances from departments and authorities within the stipulated time.
 - e) to provide secretarial support to the High level Clearance Committee, State level Single Window Clearance Committee and the District level Single Window Clearance Committee.
 - f) to promote environment friendly and clearer technology and production practices,
 - g) to perform any other function as may be entrusted to it by the State Governments.
- 2) The State level Nodal agency shall also perform functions referred to in Sub-section (1) and in addition prepare and regularly update an entrepreneur’s guide providing complete particulars relating to:
 - i) State and Central Industrial policies,
 - ii) Procedure to obtain the required clearances from the department and authorities
 - iii) information on industrial status and advantages existing in the State.
 - iv) salient features of Acts and the rules made there under applicable to an industrial undertaking, and
 - v) any other information useful to the entrepreneurs.

14. Combined Application Forms (CAF):

The State Government may, prescribe Combined Application Form for the use of entrepreneurs whose projects are approved either by the State High Level Clearance Committee or State level Single Window Clearance Committee and District level Single Window Committee, in lieu of existing forms prescribed under applicable Central or State Acts except the application for Licensing of a Factory as provided in section 41-A of the Factories Act, 1948 and the rules made there under for obtaining the required clearances. All Departments or authorities concerned shall accept such Combined Application Form for processing and issue of required clearances.

15. Certification:

- (1) Every entrepreneur shall furnish a 'Self Certification' at the time of submitting the duly completed Combined Application Form and thereafter once in a year to the Nodal Agency undertaking that he shall comply with the applicable provisions of the relevant Acts and the rules made there under. The undertaking shall be furnished in such form as may be prescribed.
- (2) The self-certification furnished by the entrepreneur shall be accepted by the departments and authorities for the purpose of issuing and granting clearance and giving other benefits to the entrepreneur.

16. Rationalization of Inspections:

Inspections under the provisions of applicable Acts or rules by different levels of authorities, shall be conducted jointly with the Office of the Labor Commissioner, Chief Inspector of Factories and Boilers representatives of the Employees State Insurance Corporation and the Employees Provident Fund Organization and Karnataka State Pollution Control Board once in a year. Such inspections shall be based on random selection. However, inspections against specific complaints, may be conducted with the authorization by the heads of the department or authority. Further, inspections in respect of pollution and safety aspects may be conducted as required under the relevant Acts or rules. Other inspections under other laws or rules as may be specified by the State Government from time to time shall be waived and self-certification shall be accepted.

17. Deemed Approval:

Every department or authority notwithstanding anything contained in any other law shall issue clearance within the stipulated time limit failing which such clearances shall be deemed to have been issued.

18. Appeal:

- (1) Any person aggrieved by the decision of the State High Level Clearance Committee, State Level Single Window Clearance Committee District Level Single Window Clearance Committee disapproving the project may within thirty days from the date of receipt of communication of the decision of the Committee appeal to the Appellate Authority as may be prescribed and different appellate authorities may be prescribed in respect of appeals against the decision of different level of committees.
- (2) The Appellate Authority shall after following such procedure as may be prescribed dispose off the appeal within a period of one month from the date of its receipt.

19. Penalty:

Any entrepreneur who fails to comply with the conditions or undertaking in the self-certification given to the Nodal Agency or other department or authorities shall on conviction be punishable with fine which may extend to five thousand rupees for the first offence and for the second or subsequent offence with fine which may extend to ten thousand rupees.

20. Offences by Companies etc.:

- (1) Where an offence under this Act is committed by a company, the company, as well as every person in charge of and responsible to, the company for the conduct of its business at the

time of the commission of the offence shall be deemed to be guilty of the offence and shall be liable to be proceeded against and punished accordingly:

Provided that, nothing contained in this sub-section shall render any such person liable to any punishment if he proves that the offence was committed without his knowledge or that he exercise all due diligence to prevent the commission of such offence.

(2) Notwithstanding anything contained in sub-section (1) where an offence under this Act has been committed with the consent or connivance of, or that commission of the offence is attributable to any neglect on the part of any director, manager secretary or other officer such Director, Manager, Secretary or other officer shall also be deemed to be guilty of that offence and shall be liable to be proceeded against and punished accordingly.

Explanation:

For the purposes of this section,-

- (a) “Company” means anybody corporate and includes a firm or other association of individuals; and
- (b) “director” in relation to a firm means a partner in the firm.

21. Power to Make Rules:

(1) The State Government may, by notification, after previous publication make rules to carry out the purposes of this Act.

(2) Every rule made under this Act shall be laid as soon as may be, after it is made before each House of the State Legislature while it is in session for a total period of thirty days which may be comprised in one session or in two or more successive sessions and if, before the expiry of the session in which it is so laid or the sessions immediately following both Houses agree to make any modification in the rule or both Houses agree that the rules should not be made the rules shall thereafter have effect only in such modified form or be of no effect, as the case may be so, however, that any such modification or annulment shall without prejudice to the validity of anything previously done under that rule.

22. Protection of Action taken in Good Faith:

No suit or legal proceedings shall lie against the Chairman or other members of the State High Level Clearance Committee or State Level Single Window Clearance Committee or District Level Single Window Clearance Committee or any employee of such Committee in respect of anything which is in good faith done or intended to be done under this Act or any rule made there under.

23. Power to Remove Difficulties:

If any difficulty arises in giving effect to the provisions of this Act, the State Government may, by order not inconsistent with the provisions of this Act remove the difficulties.

Provided that no such order shall be made after the expiry of the period of two years from the date of commencement of the Act.

1.2 NOTIFICATION FROM COMMERCE AND INDUSTRIES SECRETARIAT

No.CI 208 SPI 2002, Bangalore, dated 4th August, 2004

Whereas the draft of the Karnataka Industries (Facilitation) Rules, 2004 which the Government of Karnataka proposes to make was published as required by Sub-section (1) of Section 21 of the Karnataka Industries (Facilitation) Act, 2002 [Karnataka Act 45 of 2003] in Notification No.CI 208 SPI 2002, dated 12th January 2004, published in Part-IVA of the Karnataka Gazette Extraordinary, dated 14th January 2004, inviting objection or suggestions from all the persons likely to be affected thereby within thirty days from the date of publication in the Official Gazette.

And whereas the said notification was made available to the public on 14th January, 2004. Now, therefore, in exercise of the powers conferred by Section 21 of the Karnataka Industries (Facilitation) Act, 2002 [Karnataka Act 45 of 2003], the Government of Karnataka hereby makes the following rules, namely:

1. Title and Commencement:

- (1) These rules may be called the Karnataka Industries (Facilitation) Rules, 2003.
- (2) They shall come into force from the date of their publication in the official Gazette.

2. Definitions:

In these rules, unless the context otherwise requires:

- a) “Act” means the Karnataka Industries (Facilitation) Act, 2002 (Karnataka Act 45 of 2003).
- b) “Combined Application Form” means a form referred to in Section 14 of the Act duly filled and complete in all respects.
- c) “Form” means a form appended to these rules.
- d) “Section” means a section of the Act.
- e) “Self-Certification” means an undertaking given by an entrepreneur from time to time under Section 15 of the Act.

1.2.1 State High Level Clearance Committee (SHLCC)

3. Meeting of the State High Level Clearance Committee (SHLCC), etc.:

- a) The State High Level Clearance Committee (SHLCC) shall meet at least once in two months at Bangalore or such other place as the Chairman may specify from time to time to transact its business.
- b) Eligible proposals received from entrepreneurs at least 21 days prior to the ensuing SHLCC meeting shall be placed before the committee.
- c) The Member Secretary shall by issuing a meeting notice, convene a meeting of State High Level Clearance Committee indicating the date, time and place of the meeting. He shall enclose agenda and notes on the projects highlighting therein the proposal in brief and assistance, support or infrastructure facilities, which are required by the entrepreneur to the meeting notice for the transaction of business in the said meeting. He shall send a notice of meeting at least seven days in advance.

- d) Member Secretary shall also send communication intimating the date, time and place of the SHLCC meeting to the entrepreneurs, whose proposals are included in the Agenda.
- e) All the Members and invitees of the State High Level Clearance Committee shall attend the meeting. In case the Principal Secretary or Secretary or the Head of Department or Chief Executive of a Government agency is pre-occupied with other important engagements or business, he shall depute the senior most officer to attend the meeting on his behalf with full briefing of the department views.
- f) The State High Level Clearance Committee shall examine all the proposals placed before it and take appropriate decisions.
- g) The Member-Secretary of the Committee shall within seven days prepare the proceedings of the State High Level Clearance Committee taking into account the departmental opinion or concurrent given by the Departments in the meeting.
- h) After getting approval from the Chairman of State High Clearance Committee, the Member Secretary shall circulate the proceedings among all the members. If no comments are received within 15 days of dispatch of proceedings it shall be presumed that the proceedings are confirmed.
- i) Within 15 days after confirmation of the proceedings of the State High Level Clearance Committee, the Commerce and Industries Department, shall take further action to issue Government Order sanctioning infrastructural assistance and incentives and concessions if any, without any further consultation with the concerned Departments. Provided that in case of sectors other than Commerce and Industries, the concerned Administrative Department of the State Government shall initiate similar action by issuing Government Orders within fifteen days.
- j) Copies of the order shall be sent to all the field departments and entrepreneurs concerned.
- k) Within thirty days from the date of receipt of the formal Government Order by the Department concerned, the other departments shall take action for issue of consequential orders or notifications, as the case may be.

1.2.2 State Level Single Window Clearance Committee (SLSWCC)

4. Meeting in the State Level Single Window Clearance Committee (SLSWCC), etc.:-

- a) The State Level Single Window Clearance Committee (SLSWCC) shall meet ordinarily on 3rd Monday of every month at Bangalore or such other place as the Chairman may specifically as transact business of the committee.
- b) The Member-Secretary shall issue a meeting notice convening a meeting of the State Level Single Window Clearance Committee indicating the date, time and place of meeting. He shall enclose agenda to the meeting notice for transaction of business in the said meeting. He shall send notice of meeting at least seven days in advance on the meeting. Member Secretary shall also send notice intimating the date, time and place of meet the SLSCC meeting to the entrepreneurs, whose proposals are included in the Agenda.
- c) He shall place all the proposals received before the Committee for its consideration.

- d) The State Level Single Window Clearance Committee shall examine all the proposals placed before it and take appropriate decisions.
- e) The Member-Secretary shall prepare proceedings and obtain the approval of the Chairman of State Level Single Window Clearance Committee within seven days of the meeting.
- f) After the proceedings are approved, the Member Secretary shall communicate the decision of the committee within a period of seven days from the date of such approval, to the authorities or departments concerned and to the entrepreneurs concerned.

1.2.3 District Level Single Window Clearance Committee (DLSWCC)

5. Composition of District Level Single Window Clearance Committee

The District Level Single Window Clearance Committee shall consist of following members namely.

1.	The Deputy Commissioner of the District concerned	Chairman
2.	The Chief Executive Officer, Zilla Panchayat of the district concerned	Member
3.	The Jurisdictional Executive Engineer Electricity Supply Company Ltd	Member
4.	District Level Officer of the Karnataka State Pollution Control Board	Member
5.	The Jurisdictional Representative of the Karnataka State Financial Corporation	Member
6.	The Lead District Manager of the Lead Bank	Member
7.	District Level Officers of Tourism, Agriculture, Horticulture & Information Technology Departments	Member
8.	The Jurisdictional Joint or Deputy Commissioner of Commercial Taxes	Member
9.	The Branch Manager, Karnataka Small Scale Industries Development Corporation	Member
10.	The Representative of Karnataka Industrial Areas Development Board	Member
11.	The Commissioner, Urban Development Authority	Member
12.	The Assistant Director, Town Planning	Member
13.	The Commissioner or Chief Officer of City Municipal Corporation or Municipal Council	Member
14.	The Deputy Chief Inspector of Factories and Boilers	Member
15.	The Assistant Director, Kannada and Culture Department	Member
16.	Representatives from District Industries Associations	Member
17.	District Level Officer of Khadi & Village Industries Board	Member
18.	Regional Director, Department of Ecology and Environment	Member
19.	The Joint Director of the respective District Industries Centre	Member Secretary
	Chairman can invite any other members if necessary.	

6. Meeting of the District Level Single Window Clearance Committee, etc.

- (1) The District Level Single Window Clearance Committee (DLSWCC) shall meet at least once in a month at District Headquarters or such other place or places as the Chairman may specify to transact business of the Committee.
- (2) The Member Secretary shall issue a meeting notice convening a meeting of the DLSWCC indicating a date, time and place of the meeting. He shall enclose agenda to the meeting notice for the transaction of the business in the said meeting. He shall send notice of meeting at least seven days in advance.
- (3) He shall place all the proposals received before the Committee for its consideration.
- (4) The DLSWCC shall examine all the proposals placed before it and take appropriate decisions.
- (5) The Member-Secretary shall prepare proceedings and obtain the approval of the Chairman of DLSWCC within seven days of the meeting.
- (6) After the proceedings are approved, the Member-Secretary shall communicate the decision of the Committee within a period of seven days of such approval to the authorities or department concerned and to the entrepreneurs concerned.

g) Combined Application Form (CAF):

There shall be a Combined Application Form (CAF), as specified in Form 1.

h) Form of Undertaking:

The undertaking that every entrepreneur shall furnish in Form II.

i) Rationalization of Inspections:

Rationalization of Inspections under Section-16 of the Act shall be coordinated by Directorate of Factories and Boilers.

j) Appeal:

An appeal under Sub-section (i) of Section 18 shall lie:

- (i) to the State Government against decisions of the State High Level Clearance Committee
 - (ii) to the State High Level Clearance Committee against the decision of the State Level Single Window Clearance Committee
 - (iii) to the State Level Single Window Clearance Committee against the decision of the District Level Single Window Clearance Committee.
- (2) Every appeal shall be made in Form-III and shall be accompanied by a fee of rupees five hundred payable in cash. The appeal shall be presented either in person or by an agent duly authorized.
 - (3) The Appellate authority shall, after giving a reasonable opportunity of being heard to the appellant, pass such order, as it deems fit. The orders of the Appellate Authority shall be final.
 - (4) Every order passed by the Appellate Authority shall be communicated to the Applicant within fifteen days from the date of order.

(By Order and in the name of the Governor of Karnataka, M. Shivalingaswamy, Under Secretary to Government (ID), Commerce & Industries Department)

k) Powers of the Committee

The District Level Single Window Clearance Committee shall be the final authority in granting of approvals for the project placed before it. The approvals given by the committee at the district level shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearance within the stipulated time subject to compliances by the entrepreneurs of provisions of the applicable Central or State Acts and the rules made there under.

(12) Functions of the District Level Single Window Clearance Committee

The Committee should meet at such times and such places and shall adopt procedures to transact its business as prescribed.

The District Level Single Window Clearance Committee should examine the proposals for setting up industrial undertakings and should take decision and communicate its decision to the entrepreneurs and departments or authorities concerned with in such time as prescribed.

Annexure – 4

Terms of Reference

Terms of Reference(TOR) for Evaluation of Functions & Outcome of District Level Single Window Clearance Committee(DLSWCC)

Study Title

Title of the proposed study is "Evaluating the Functions & Outcome of District Level Single Window Clearance Committee (DLSWCC)"

Background

The Govt of Karnataka enacted "The Karnataka Industries(Facilitation) Act 2002" and Rules for the Promotion of Industrial Development and facilitation of new Investments to simplify the regulatory frame work by reducing procedural requirement and rationalizing the documents and to provide for an investors friendly environment in the State of Karnataka and also to expedient the Implementation of industrial and other projects in the State by providing single point guidance and assistance to promoters.

As per The Karnataka Industries(Facilitation) Act 2002", the Karnataka Udyoga Mitra had been appointed as ' Nodal Agency' at the State level for the project cost of Rs.3.00 Cr. and above. 'District Industries Centers ' have been nominated as the 'District Nodal Agency' at the district level to undertake investment promotional activities and to render necessary guidance and assistance to entrepreneurs up to project cost of Rs.3.00Cr.

Govt by its order no: CI/208/SPI/2002 dated: 04/08/2004 constitutes District Level Single Window Clearance Committee under the Chairmanship of Deputy Commissioner.

Functions of the District Level Single Window Clearance Committee

1) The Committee should meet at such times and such places and shall adopt procedures to transact its business as prescribed.

2) The District Level Single Window Clearance Committee should examine the proposals for setting up Industrial undertakings and should take decision and communicate its decision to the entrepreneurs and departments or authorities concerned with in such time as prescribed.

Powers of the committee:-

The District Level Single Window Clearance Committee shall be the final authority in granting of approvals for the projects placed before it. The approvals given by the committee at the district level shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearance within the stipulated time subject to compliances by the entrepreneurs of provisions of the applicable Central or State Acts and the rules made there under.

Meeting of the District Level Single Window Clearance Committee:

The Committee (DLSWCC) shall meet at least once in a month at District Headquarters or such other place or places as the Chairman may specify to transact of the Committee.

The Member Secretary shall issue a meeting notice, convening a meeting of the District Level Single Window Clearance Committee (DLSWCC) indicating a date, time and place of the meeting. He shall enclose agenda to the meeting notice for the transaction of the business in the said meeting. He shall send notice of meeting at least seven days in advance.

He shall place all the proposals received before the committee for its consideration.

The District Level Single Window Clearance Committee shall examine all the proposals placed before it and take appropriate decision.

The Member-Secretary shall prepare proceedings and obtain the approval of the Chairman of the District Level Single Window Clearance Committee within seven days of the meeting.

After the proceedings are approved, the Member-Secretary shall communicate the decision of the committee within a period of seven days of such approval to the authorities or department concerned and to the entrepreneurs concerned.

Combined Application Form(CAF):- There shall be a Combined Application Form(CAF), as specified on Form I.

Form of Undertaking: - The undertaking that every entrepreneur shall furnish shall be in Form II.

Rationalisation of Inspection:- Rationalisation of Inspections under Section-16 of the Act shall be coordinated by Directorate of Factories and Boilers.

Appeal:- An appeal under sub-section (i) of section 13.

Evaluation Scope, Purpose and Objective

The importance of taking the evaluation of the DLSWCC is to study the effectiveness of the functioning of the committee as per the Facilitation Act provided, the main objective is to improve the effectiveness of the decision taken in DLSWCC in providing the solutions for the issues & problems of MSME's that are taken up in the meeting.

Evaluation Questions

- 1) Whether the DLSWCC are receiving the proposals for approval of the projects and the procedure adopted by the committee for granting the approvals.
- 2) How many approvals for projects have been given by the DLSWCs each financial year in each district since the coming up of the Karnataka Industries Facilitation Act 2002? Is there any trend or statistically significant output emerging from the data?
- 3) What is the average time that the DLSWCs take for deciding (approving or rejecting) a project? Is the time taken significantly different for different districts? What are the causes for those taking more time and methods to reduce it?

- 4) What is the status of litigation, if it has been a byproduct, of the decisions of DLSWCs? What are the causes district wise, year wise? What guidelines should be issues for functioning of DLSWCs to reduce litigation, if it can be avoided.
- 5) What is the perception of the users who have approached DLSWCs for getting their projects cleared?
- 6) Based upon the data that is generated far from 3 above, what should be the time if deemed approval given to DLSWCs in each district?
- 7) Whether the District Level Single Window Clearance Committee (DLSWCC) is meeting regularly? If yes, how frequently number of meetings held year-wise and date of Meetings, approval and facilitations provided, issues and problems discussed shall be analyzed. If no, Reason for not conducting the meetings shall be evaluated, analyzed and reported.
- 8) Whether the DLSWCC is functioning as per the Industrial facilitation Act 2002.
- 9) Whether the decision of DLSWCC is communicated to the applicants /department or authorities concerned – Time taken to communicate the decision and whether the compliance report is received and revived by the committee.
- 10) Whether the decision taken by DLSWCC is binding on the other departments or authorities concerned
- 11) Whether Meeting notices convening the meeting date/ agenda notes/ proceedings are sent in time.
- 12) Whether projects are actually approved by the committee and if so the details of components such as land, power, water, KSPCB issues are discussed and decisions taken.
- 13) Whether the combined application form is obtained from the applicant and whether the details in the combined application form is filled up and scrutinized by the member secretary office.
- 14) Whether the DLSWCC meeting is effective and useful to the applicants.

- 15) Whether all the members are personally attending the meeting or Representative of the members are attending the meeting, whether the decisions are taken by the committee based on the feedback or information given by the representatives by members.
- 16) Whether the reports regarding approval of projects are sent to higher authorities or not, if reports are sent the quality of the report and whether any format has been devised for submitting the report. If reports are not sent the evaluator shall suggest various means and formats required in consultation with the Head Office.
- 17) Feedback from the District Industries Association , Chairman, Member of the committee with regard to functioning/strengthening /Empowering of DLSWCC.
- 18) Whether any difficulties, constraints are faced during the approval/decision and implementation.
- 19) Whether the decision of District Level Single Window Clearance committee pertains only to project approvals or it also covers policy matters/suggestions for the benefit of MSME sector projects.
- 20) How District Level Single Window Clearance committee is monitoring the approved projects
- 21) What is the follow-up mechanism for implementation of approved projects
- 22) What is the weight age given to monitoring
- 23) How does the District Level Single Window Clearance committee ensure its decisions are being implemented by all the concerned departments/agencies.
- 24) Proportion of MSME projects approved in the District Level Single Window

Evaluation methodology

The evaluator is required to visit the District Industries Center, obtain the data for 10 years and analyze the data, good and bad practices shall be highlighted in the report. Obtain the opinion of Chairman, Members Secretary and some of the important members such as KIADB, KSSIDC, KSPCB, Concerned Electricity Supplying company and other member as deem fit by the evaluator. The evaluator is also required to interview few applicants for their opinion on functioning of DLSWCCs.

The necessary questioner shall be adopted for collecting the data and obtaining the opinions.

Deliverables and time schedules

Joint Directors of DICs, of the respective districts will provide the required information and data to the Evaluator. The details of contacts of the Joint Directors and other stake holders shall be obtained from the Directorate of Industries and Commerce. The following timelines and deliverables are expected:

- a. Work plan submission – within one month after the release of the contract sum.
- b. Primary data collection, interviews with stake holders and agencies concerned – within three months after the work plan is approved by KEA.
- c. Draft evaluation report submission – within one month after completing the data collection and interviews. This report will be submitted for approval by a joint team of KEA and line department/agency officers.
- d. Final report submission – within one month after the draft report is approved

Excluding the time taken for approval, the study will be completed within 6 months.

Cost and schedule of Budget releases

Cost , schedule and budget release are suggested by the Karnataka Evaluation Authority as follows:

- a. The first installment of Consultation fee amounting to 30% of the total fee shall be payable as advance to the Consultant after the approval of the inception report, but only on execution of a Bank guarantee of a scheduled nationalized bank valid for a period of at least 12 months from the date of issuance of advance.
- b. The second installment of Consultation fee amounting to 50% of the total fee shall be payable to the Consultant after the approval of the Draft report.
- c. The third and final installment of Consultation fee amounting to 20% of the total fee shall be payable to the Consultant after the receipt of the 10 hard and 3 soft copies of the final report in the form and presentation style approved by the KEA. Three hard and one soft copy of final report along with all raw data, literature relied upon, data process etc. To be given to KEA for hosting on website.

Contact person to get further details about the study

Joint Director(ID) cell # 9449089624 / Deputy Director(ID) cell # 9945840603/

Assistant Director(ID) cell # 9845245012

Office Tele fax No: 080-22386798

E-Mail id: jd-id@karnatakaindustry.gov.in/ dd-id@karnatakaindustry.gov.in

Annexure – 5

Inception Report

INCEPTION REPORT

ON

EVALUATION STUDY ON

EVALUATION OF FUNCTIONS & OUTCOME OF DISTRICT LEVEL SINGLE WINDOW CLEARANCE COMMITTEE (DLSWCC)



November 2014

Submitted to:
Karnataka Evaluation Authority,
Government of Karnataka Bangalore



APITCO Limited
8th Floor, Parishrama Bhawan,
Basheerbagh, Hyderabad 500 004

Submitted to:
Karnataka Evaluation Authority,
Government of Karnataka, Bengaluru

INCEPTION REPORT ON
EVALUATION STUDY ON
EVALUATION OF FUNCTIONS & OUTCOME OF DISTRICT
LEVEL SINGLE WINDOW CLEARANCE COMMITTEE (DLSWCC)

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CHAPTER 1

INTRODUCTION

1.1 Preamble

Karnataka Evaluation Authority (KEA) has entrusted APITCO, Hyderabad an Evaluation of “Functions & Outcome of District Level Single Window Clearance Committee (DLSWCC)”.

The importance of taking the evaluation of the DLSWCC is to study the effectiveness of the functioning of the committee as per the facilitation act provided; the main objective is to improve the effectiveness of the decision taken in DLSWCC in providing the solutions for the issues & problems of MSMEs that are taken up in meeting.

1.2 Background

The Government of Karnataka enacted “The Karnataka Industries (Facilitation) Act 2002” and rules for promotion of industrial development and facilitation of new investments to simplify the regulatory frame work by reducing procedural requirement and rationalizing the documents and to provide for an investors friendly environment in the state of Karnataka and also to expedient the implementation of industrial and other projects in the State by providing single point guidance and assistance to promoters.

As per the Karnataka Industries (Facilitation) Act 2002 the Karnataka Udyoga Mitra had been appointed as “Nodal agency” at the State level for the project cost of Rs. 3.00 Crores and above. District Industries Centres have been nominated as the District Nodal agency at the district level to undertake investment promotional activities and to render necessary guidance and assistance to entrepreneurs’ upto project cost of Rs. 3.00 Crores.

Government constitutes District Level Single Window Clearance Committee (DLSWCC) under the Chairmanship of Deputy Commissioner

1.2.1 Functions of the District Level Single Window Clearance Committee

The Committee should meet at such times and such places and shall adopt procedures to transact its business as prescribed.

The District Level Single Window Clearance Committee should examine the proposals for setting up industrial undertakings and should take decision and communicate its decision to the entrepreneurs and departments or authorities concerned with in such time as prescribed.

1.2.2 Powers of the Committee

The District Level Single Window Clearance Committee shall be the final authority in granting of approvals for the project placed before it. The approvals given by the committee at the district level shall be binding on the departments or authorities concerned and such departments or authorities shall issue the required clearance within the stipulated time subject to compliances by the entrepreneurs of provisions of the applicable Central or State Acts and the rules made there under.

1.2.2 Powers of the Committee

The DLSWCC shall meet at least once in a month at District Headquarters or such other place or places as the Chairman may specify to transact of the Committee.

The Member Secretary shall issue a meeting notice, convening a meeting of the District Level Single Window Clearance Committee (DLSWCC) indicating a date, time and place of the meeting. He shall enclose agenda to the meeting notice for the transaction of the business in the said meeting. He shall send the notice of the meeting at least seven days in advance.

He shall place all the proposals received before the committee for its consideration. The District Level Single Window Clearance Committee shall examine all the proposals placed before it and take appropriate decision.

The Member Secretary shall prepare proceedings and obtain the approval of the Chairman of the District Level Single Window Clearance Committee within seven days of the meeting.

After proceedings are approved, the Member secretary shall communicate the decision of the committee within a period of seven days of such approval to the authorities or department concerned and to the entrepreneurs concerned.

1.3 Scope of Work

The scope of work and tasks to accomplish the above objectives are given below.

- Whether the DLSWCC are receiving the proposals for approval of the projects and the procedure adopted by the committee for granting the approvals

- How many approval for projects has been given by the DLSWCCs each financial year in each district since the coming up of the Karnataka Industries Facilitation Act 2002? Is there any trend or statistically significant output emerging from the data?
- What is the average time that the DLSWCC take for deciding a Project? Is the time taken significantly different districts? What are the causes for those taking more time and methods to reduce it?
- What is the status of litigation, if it has been a byproduct, of the decisions of DLSWCC? What are the causes district wise? what guidelines should be issues for functioning of DLSWCC to reduce litigation, if it can be avoided
- What is the perception of the users who have approached DLSWCC for getting their projects cleared?
- Based upon the data that is generated for from 3 above, what should be the time if deemed approval given to DLSWCC in each district?
- Whether the DLSWCC is meeting regularly? If yes how frequently number of meetings held year wise and date of meetings approval and facilitations provided, issues and problems discussed shall be analyzed. If no, Reasons for not conducting the meetings shall be evaluated, analyzed and reported
- . Whether the DLSWCC is functioning as per the industrial facilitation act 2002?
- Whether the decision of DLSWCC is communicated to the applicants/ department or authorities concerned – time taken to communicate the decision and whether the compliance report is received and revised and revised by the committee.
- Whether the decision taken by DLSWCC is binding on the other departments or authorities concerned
- Whether Meeting notices convening the meeting date/ agenda notes/ proceedings are sent in time
- Whether projects are actually approved by the committee and if so the details of components such as land, power, water, KSPCB issues are discussed and decisions taken
- Whether the combined application form is obtained from the applicant and whether the details in the combined application form is filled up and scrutinized by the member secretary office
- Whether the DLSWCC meeting is effective and useful to the applicants
- Whether all the members are personally attending the meeting or Representative of the members are attending the meetings, whether the decisions are taken by the committee based on the feedback or information given by the representatives by members

- Whether the reports regarding approval of projects are sent to higher authorities or not, if reports are sent the quality of the report and whether any format has been devised for submitting the report. If reports are not sent the evaluator shall suggest various means and formats required in consultation with the Head office
- Feedback from the District Industries Association, Chairman member of the committee with regard to functioning / strengthening / Empowering of DLSWCC
- Whether any difficulties, constraints are faced during the approval/ decision and implementation.
- Whether decision of DLSWCC pertains only to project approvals or it also covers policy matters /suggestions for the benefit of MSME sector projects
- How DLSWCC is monitoring the approved projects
- What is the follow-up mechanism for implementation of approved projects
- What is the weightage given to monitoring? How does the DLSWCC ensure its decisions are being implemented by all the concerned departments/ agencies
- Promotion of MSME projects approved in the DLSWCC

1.4 Coverage of the Study

The proposed study envisages covering all 32 districts of Karnataka State.

1.5 Structure of the Inception Report

The inception report with brief instruction on objective, scope of work and coverage in this chapter is followed by approach and methodology, mode of field surveys, framework of questionnaire, interviews, and group interactions to be held, and illustrates the data processing techniques proposed to be used for the analysis of survey results in the second chapter. The third chapter gives the validation and reporting plan. The chapter four gives team management. Chapter five gives Work schedule.

CHAPTER 2

APPROACH AND METHODOLOGY

2.1 Approach & Methodology

This evaluation entails visit the District industries Centres (DICs), obtain the data for 10 years and analyze the data, good and bad practices will be highlighted in the report. Obtaining the opinion of Chairman, Members Secretary and some of the important members such as KIADB, KSSIDC, KPCB, Concerned Electricity supplying company and other members as deem fit by the study. It is also required to interview few applicants for their opinion on functioning of DLSWCCs.

The study is being carried out with the help of eight-stage methodology. It is initiated with project inception, which is followed by desk research & literature review, field survey & personal interviews, focal group interactions, data analysis, validation of findings, interim report preparation and report finalization & Presentation. The detailing of the approach and methodology is given in this chapter.

2.2 Desk Research and Literature Review

The study has been initiated with desk research and review on literature on the following aspects:

2.2.1 Regulatory and policy framework: The Karnataka Industries (Facilitation) Act 2002 for the development of Industries and facilitation of new investments. The comprehensive desk research would be done for detailed study with respect to DLSWCC.

2.2.2 Institutional Aspects: On the institutional aspects front, important members viz. KIADB, KSSIDC, KSPCB, Electricity Dept will be covered.

2.2.3 Survey instruments: Questionnaires, checklists and focus group interview prompts will be prepared during the desk research for further collecting data/information during the study. With these elements of questionnaire, a better framework would be developed through desk research for preparation of the questionnaire schedules, FGDs and interviews for elicitation on the responses from DLSWCC members

2.2.4 Study Team: The study team as detailed in the inception report would be trained for eliciting the questionnaires, conducting interviews and FGDs. Composition of study team for survey, and meetings will be finalized and members briefed on their respective roles and responsibilities.

2.3 Field Surveys and Group Interactions

2.3.1 Field Surveys: the field survey would be first piloted, before it is presented for finalization. Further after presentation and finalization of questionnaire schedules the field surveys would be undertaken. In-depth discussions would also be held with KIADB, KSSIDC, KSPCB, Electricity Dept to articulate the overall functions and outcomes of DLSWCC. Focused field surveys constitute the most critical component of the methodology. During the field surveys, users of DLSWCC will be contacted personally to interact and collect the requisite information.

2.3.2 One-to one interview: The field surveys will be carried out by the team members who are appropriately qualified and experienced in carrying out similar assignments earlier. The team members will contact the target respondents with the help of structured questionnaires to collect information on various facets relevant to the study, especially focusing on various aspects. They will also carry out in-depth interviews of the respondents and experts with the help of open-ended questions to share experiential learning and elicit expert opinions to enrich the quality of information and facilitate project decisions.

2.3.3 Focal Group Discussions: Focus group discussions and brainstorming sessions would be carried out to understand the existing and emerging needs by the users of DLSWCC. The impressions of potential users in different aspects of DLSWCC would be collected. We would capture other information from the Members and users at large on all aspects required for the analysis

2.3 Data Processing

During this phase, the data/information collected during the field survey phase will be collated, processed, tabulated, analyzed and interpreted with the help of suitable techniques.

2.3.1 SWOT Analysis: The strengths, weaknesses, opportunities and threats would be undertaken, based on which the necessary interventions to utilize the potential among the SMEs, the opportunities for their growth would be delineated also interventions for overcoming the weaknesses and threats both internal and external would be designed. This would be done for the promotion of industrial development and facilitation of new investments

2.3.2 GAP Analysis: From the opinions of the DLSWCC members and users, the GAPs would be identified. These become essential to identify bottlenecks in clearances of industrial Proposals in DLSWCC.

2.3.3 Barriers Analysis: From the opinions of the various beneficiaries and stakeholders identify the limitations and major barriers that hinder the proper utilization of loans for the designated purpose. And what are the factors that lead the beneficiaries to utilize the loans for other purposes.

2.3.4 Driver Analysis: From the opinions of the various beneficiaries and stakeholders identify the factors that have enabled proper utilization of the loans for the designated purposes. And also delineate the factors that enable the non- or minimal utilization of the loans for other than designated purposes.

2.3.5 Case Studies: we would identify through field surveys and desk research cases of successes and failures. With field visits and personal interviews with front runners/ leading & successful entrepreneurs prepare success stories. Similarly, we would also document the cases of failure and draw reasons underlying for the same.

2.3.6 Proposed Interventions: From the above analysis final recommendations will be made with the help of a decision matrix focusing on all implementation aspects.

2.4 Validation of Findings

The study findings as emanating from the field survey will be validated with the help of interactions with stakeholders during a validation workshop. This would be done in consultation of State Level Single Window Clearance Committee (SLSWCC).

2.5 Preparation of Reports

The Draft Report would give the status of survey and findings from field include observations and suggestions for effective decisions taken in DLSWCCs in providing the solutions for the issues & problems of MSMEs that are taken up in meeting.

The final report would be detailed report with executive summary for circulation before presentation to Joint team of KEA.

A team of APITCO professionals visited Bidar district of Karnataka and did the pilot study. The structured questionnaire has been tested by the team keeping in view of Terms of reference. The evaluation team met the Joint Director of District Industries Centre, Bidar and gets the information as per the designed questionnaire. Some results of the pilot study are as detailed below.

- District Nodal agency is getting applications from the entrepreneurs for getting clearances
- All applications are scrutinized by the office of the Member secretary before placing before the committee
- DLSWCC is not meeting regularly as per the guidelines. This year, only one meeting was conducted.
- Reasons for not conducting regular meetings are (1) KSIDB is not equipped with all infrastructural facilities like Land, roads, drains, Power, etc. (2) Not receiving the action taken reports in time from most of the departments (3) Approvals are depending on the nature of the Projects. (4) Due to Different Procedures of different departments delay in decision making.
- Member Secretary issuing the meeting notice 1 week advance
- DLSWCC is examining all proposals before taking decisions
- DLSWCC is issuing Deemed approval is issuing immediately
- Member Secretary is getting approval of chairman within one week.
- After getting approval, the Member secretary is communicating the decision of the committee to the authorities and entrepreneurs within seven days
- More number of litigations found
- The decision taken by DLSWCC is binding on the other departments
- Most of the members are not personally attending the meeting and sending the representatives.
- Reports regarding approval of projects are sending to higher authorities
- DLSWCC is not monitoring the approved Projects
- No follow-up mechanism for implementation of approved projects
- DLSWCC is not ensuring its decisions are being implemented by all the concerned departments
- Members and Users are not satisfied about the functioning of DLSWCC.
- Suggested that first of all, Departments should be well equipped with all infrastructural facilities for immediate clearances.
- Also suggested that the Decision makers / Sound knowledge representatives regarding their acts should be attend the meeting.
- Also suggested quarterly meetings instead of monthly meetings.

4.1 Field Study & Data Analysis

The following team members will have the responsibility for respective area of work. They would undertake the field surveys in 32 districts. Total of 32 Joint directors of DICs and other members of DLSWCC would be interviewed. While, best possible we would get filled the schedules of questionnaires from few more of the users.

Sr. No.	Team Member	Area of Work
1	M. Srinivas Rao Chief consultant	Survey and Questionnaire design, Data Analysis , Decision Analysis and Report Preparation
2	T. Srinivas Senior consultant	Team Head - Field Study
3	V.B. Rajendra Prasad Project Officer	Team Member – Field Study
4	P.K. Panda Consultant	Team Member – Field Study
5	Ch. Shankar Consultant	Team Member – Field Study

5.1 Activity Schedule

No.	Output	Timeline from the start of the assignment
1	Desk Research & Literature Review	Nov 15 th , 2014
2	Preparation of questionnaire and getting it approved from stake holders	Nov 18 th 2014
3	Field Survey & interaction DLSWCC members	Feb 15 th 2015
4	Analysis of the survey findings	Feb 25 th 2015
5	Draft Report	March 15 th 2014
6	Final report	April 15 th 2014

Annexure – 6

**Matrix of Compliance to the Observations made
in 28th Technical Committee Meeting conducted
by KEA**

Compliance to the Observations made in the 28th Technical Committee Meeting

S.No	Observation made in the 28 th Technical Committee Meeting	Compliance by APITCO to the Observations made in the 28 th Technical Committee Meeting
1	<p>The report can be divided into two parts. In first part details of field work done and information/data collected from various sources can be given. The second part can give the analysis of information collected in part one. The analysis portion should be based on the facts and figures no general statement be made which are perception based.</p> <p>The report needs to be revised to eliminate the errors and deficiencies pointed out. The findings and conclusions need to be to the pointed and crisp. Language used in the report needs refinement.</p>	<p>The report is modified as per the format and guidelines, which are received from KEA.</p> <p>The report is revised by eliminating errors and deficiencies pointed out by the KEA (Independent Assessors and other officials). The findings and conclusions are modified as suggested by KEA. Language used in the study report is also refined as suggested.</p>

Annexure – 7

Matrix of Compliance to the Observations made by Independent Assessor

Compliance to the Observations made by the Independent Assessor

S.No	Observation made by Independent Assessor	Compliance by APITCO to the Observations made by the Independent Assessor
1	Executive Summary <ul style="list-style-type: none"> It is lengthy with un-necessary details like introductory details, TOR/Scope of Work, Approach and Methodology, Karnataka Industries Act 2002, Notification, Profile of Stakeholders, etc Cover maximum details in bullet points in less number of pages. Emphasis need to be given for field findings keeping TOR in mind. It requires restructuring and providing more information keeping TOR as base. Use of unnecessary words repeatedly (marked in the report) need to be avoided. 	<ul style="list-style-type: none"> The Executive Summary was revised as per KEA Format & Guidelines as suggested by KEA. Karnataka Industries (Facilitation) Act 2002 is annexed separately as Annexure-3 to the main report. Used bullet points wherever necessary in the report. Restructured and provided information keeping TOR as base. Unnecessary words or sentences are modified/removed in the main report.
2	Introduction, Objectives and Methodology <ul style="list-style-type: none"> Introduction: Adequately covered Objectives and Methodology: Objectives are stated as per TOR Methodology: It is covered adequately. 	<p>There was no suggestion in this part.</p> <p>The Introduction, Objectives and Methodology are now prepared as per KEA Format & Guidelines as suggested by KEA.</p>
3	Area of Study The study covers only 20 districts of Karnataka. The remaining districts are not covered due to no response from respective districts.	The study team initially covered the total number of 30 districts in the State of Karnataka and all the DLSWCCs in these 30 districts were asked to support the study by duly giving the information required, however, ten districts have backed out and they did not provide data though initially they have accepted to provide. Hence, the study covers only 20 districts instead of all the 30 districts of Karnataka State.
4	Hypothesis Field studies have been covered adequately.	<p>There was no suggestion in this part.</p> <p>Hypothesis was prepared as per KEA Format & Guidelines as suggested by KEA.</p>
5	Limitations Not provided	Limitations were provided in the main report in Para No.2.5 of main report.

S.No	Observation made by Independent Assessor	Compliance by APITCO to the Observations made by the Independent Assessor
6	Review of Literature i) The list of references for the literature is not provided. ii) Collection of statistical details are from respective District Industries Centres, Directorate of Industries & Commerce, etc.	Review of Literature is provided in the main report in Para No.7.2 of main report.
7	Analysis and Discussions	
	i) Collection of information is adequate for 20 districts. The analysis does not give comprehensive picture about the State DLSWCC. The coverage of the districts is limited to 20 only.	The study team initially covered the total number of 30 districts in the State of Karnataka and all the DLSWCCs in these 30 districts were asked to support the study by duly giving the information required, however, ten districts have backed out and they did not provide data though initially they have accepted to provide. Hence the study covers only 20 districts instead of all the 30 districts of Karnataka. However, the validity of the conclusions emerging from the field from the field study may be deemed as a census study because of wide and extensive coverage of the State.
	ii) In many tables and in many places in the report, NA is mentioned. It means information is Not Available. These information need to be filled up appropriately in the report.	<p>The study report contains 'NA' in many places. It means that 'Not Applicable'.</p> <p>Ex.: If any question is answered by respondent with 'No' for a question at 1st level, then this question was again asked immediately to provide reasons for not complied for at 2nd level. Only those answered 'No', will write justification for the said 2nd level question.</p> <p>Who answered 'Yes' for the said 1st level question/query, they wrote 'NA' for the 2nd level question as presuming/saying that 'Not Applicable' as they have already answered positively with 'Yes' at 1st level.</p>
	iii) Statistical analysis for significant output emerging from the data need to be provided.	Statistical analysis for significant output emerging from the data (filled-questionnaires provided by the Members of DLSWCCs) was provided in the main report in Para No.8 of 'Findings & Discussions'.
	iv) The roll of other departments regarding attending the meeting cooperation extended for the cleared projects in DLSWCC requires clarity.	<p>The 'Personal Attendance of the Members of DLSWCCs attending the Meeting and the Decisions taken based on the Feedback' are collected from (filled-questionnaires provided by) the Members of DLSWCCs and are given in Para No.14.</p> <p>The responses collected from (filled-questionnaires provided by) the Members of DLSWCCs on implementing the decisions taken in DLSWCCs are given in Para No.5.4.</p>
	v) Monitoring mechanism, weight-age need to be clearly provided in the report.	The study team collected responses from the filled-questionnaires provided by the Members of DLSWCCs on Monitoring Mechanism and are provided in Para No.5.2.

S.No	Observation made by Independent Assessor	Compliance by APITCO to the Observations made by the Independent Assessor
	vi) Mentioned vaguely about follow-up action for the cleared projects.	The study team collected responses from (filled-questionnaires as provided by) the members of DLSWCCs on following-up mechanism and are given in Para No.5.3.
	vii) There is need for in-depth analysis.	The in-depth analysis was done and provided in the main report in Para No.8 of 'Findings & Discussions'.
8.	Conclusions & Recommendations Not as per KEA format. The wordings in the report are different – Suggestions for the improvement of the Scheme. The suggestions are district-wise and they are provided by members of DLSWCC. Unnecessary explanations and unconcerned details need to be trimmed off.	Revised the Conclusions & Recommendations as per KEA format. Wording, unnecessary explanations and unconcerned details are removed/trimmed off as per suggested by KEA.
	The report need to be structured as per KEA format. The chapter headings – Conclusions & Recommendations need to be incorporated in the report and accordingly changes have to be made. In addition to this, the recommendation needs to be made as short term, long term and change in Policy. They should be based on the study, concrete, clear and relevant.	The report is structured as per KEA format/guidelines. The chapter headings – 'Conclusions & Recommendations' – is changed as per KEA format/guidelines and changes in the Chapter/Para are also made as suggested by KEA in Para No.1.4 and in Para No.10.1 of the main report.
9	Importance of the Study & Limitations Inadequately covered and no limitations are given in the report.	Limitations are covered and provided in Para No.2.5 of the main report.
10	Overall Presentation The report requires total restructuring as per the KEA requirement.	Restructured the study report as per KEA requirement.
11	Policy Brief There is a separate chapter (2) regarding Policy Brief about Karnataka Industries (Facilitation) Act, 2002.	The Policy Brief about Karnataka Industries (Facilitation) Act, 2002 is annexed to the main report as Annexure 3 instead of Chapter 2 in the main report as earlier.
12	Other Suggestions.	
	<ul style="list-style-type: none"> In Chapter 2 – there is Sub-Chapter I & II. It is confusing. It may be replaced by Part I & II. 	Now, it is replaced by Part I & Part II instead of Chapter I & II. The Chapter is annexed to the main report as Annexure -3.

S.No	Observation made by Independent Assessor	Compliance by APITCO to the Observations made by the Independent Assessor
	<ul style="list-style-type: none"> Unnecessary details need to be removed. 	Removed unnecessary details as suggested by lead pencil marks by KEA.
	<ul style="list-style-type: none"> Avoid repetition by referring page no. and table no. wherever necessary. 	Avoided repetition by referring page numbers and table numbers wherever necessary in the main report as suggested.
	<ul style="list-style-type: none"> Avoid vague statements and irrelevant repetitive explanations in the report. 	Removed vague statements and irrelevant repetitive explanations in the main report as suggested.
	<ul style="list-style-type: none"> The remarks are made with lead pencil in the report wherever necessary for improving the study report. 	Improved the study report by deleting or correcting the report wherever suggested by lead pencil marks by KEA.



File No.KEA 36 EVN 2012(P)



ಕರ್ನಾಟಕ ಮೌಲ್ಯಮಾಪನ ಪ್ರಾಧಿಕಾರ
Karnataka Evaluation Authority

**EVALUATION STUDY ON FUNCTIONS &
OUTCOME OF DISTRICT LEVEL SINGLE
WINDOW CLERANCE COMMITTEE (DLSWCC)**